

Supporting Documentation:

Martha E. Shenton Testimony

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Subcommittee on Commerce, Manufacturing, and Trade

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Table of Contents.

1 – JAMA Press Release (Soccer)

2 – Time Magazine (Soccer)

3 – The NY Times (Soccer)

4 – JAMA Soccer Paper:

5 – The NY Times (Hockey)

6 – J of Neurosurgery (Hockey)

7 – Review Paper of MR and
Diffusion Imaging in Mild TBI

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CONTACT

Lori J. Schroth, (617) 534-1604, lj Schroth@partners.org

Does Playing Soccer Harm the Brain?

Researchers observe alterations in white matter integrity in a study of 12 soccer players even in the absence of symptomatic concussion

Soccer is one of the world's most popular sports and it is also the only sport where the head, unprotected, is a primary point of contact for the ball when playing. In other contact sports, the negative effects of repetitive traumatic brain injury are well recognized; however, the effects of frequent *subconcussive* (below the threshold of concussion) blows to the head, as seen in soccer players, remains controversial.

In the first study to show alterations in white matter in professional soccer players, researchers from Brigham and Women's Hospital (BWH) in Boston, MA and Ludwig-Maximilians-University in Munich, Germany investigated the brains of 12 soccer players using high-resolution diffusion tensor imaging to investigate structural changes in the brain, specifically white matter architecture. White matter is the communication network responsible for communicating messages between neurons (gray matter) in the brain.

This research is published in a letter in the *Journal of the American Medical Association* on November 14, 2012.

"Our study found differences in integrity of the white matter of the brains of soccer players compared with swimmers," said Inga Katharina Koerte, M.D, lead author and a physician-researcher in the Psychiatry Neuroimaging Laboratory at BWH. "Although only participants without previous symptomatic self reported concussion or physician diagnosed concussion were included, we found changes in the brain that are consistent with findings observed in patients with mild traumatic brain injury."

Researchers evaluated 12 right-handed male soccer players from elite soccer clubs in Germany compared to eight swimmers, a sport with low exposure to repetitive brain trauma, from competitive clubs. Aside from their professional sport, the groups were otherwise similar in age, handedness and gender.

In this study, conventional magnetic resonance images used routinely in clinical settings showed no abnormalities when read by a neuroradiologist, suggesting the importance of using more sensitive measures to detect subtle changes in the brain. Researchers then employed high-resolution diffusion tensor imaging, and observed widespread differences between the twelve soccer players and the eight swimmers.

High-resolution diffusion tensor imaging non-invasively provides information about the diffusion of water molecules in biological tissue and can therefore reveal microscopic details about tissue architecture. In the brain's white matter, diffusion of water molecules reflects coherence, organization, and density of fibers which makes it highly sensitive to changes in white matter architecture.

The alterations were observed in the white matter of the frontal lobe, temporal and occipital lobes in the soccer players when compared to the swimmers. These regions of the brain are known to be responsible for attention, visual processing, higher order thinking and memory.

“The origin of these results is not clear. One explanation may be the effect of frequent subconcussive brain trauma, although differences in head injury rates, sudden accelerations, or even lifestyle could contribute,” said Martha Shenton, PhD, senior author and a researcher at the VA Boston Healthcare System and Brigham and Women’s Hospital. “Additional research is needed to confirm these results we observed in this small sample of soccer players and to help clarify the effects that alterations of white matter have on behavior and health.”

Co-authors, including Ross Zafonte, DO, Vice President of Medical Affairs at the Spaulding Rehabilitation Network, and Birgit Ertl-Wagner, MD and Maximilian Reiser, MD from Ludwig-Maximilians-University, Munich, Germany, agree that following up on this important finding will be key to understanding the meaning of the changes observed in this group of athletes.

This research was funded by the Else Kröner-Fresenius-Stiftung, Germany (IKK) and the Deutsche Akademischer Austauschdienst (IKK). This work was also supported in part by the INTRuST Posttraumatic Stress Disorder and Traumatic Brain Injury Clinical Consortium funded by the Department of Defense Psychological Health/Traumatic Brain Injury Research Program (X81XWH-07-CC-CS-DoD; RZ, MES), and by an NIH NINDS funded R01 (R01 NS 078337 MES). The sponsors had no role in the design and conduct of the study; in the collection, analysis, and interpretation of the data; or in the preparation, review, or approval of the manuscript.

Brigham and Women's Hospital (BWH) is a 793-bed nonprofit teaching affiliate of Harvard Medical School and a founding member of **Partners HealthCare**. BWH has more than 3.5 million annual patient visits, is the largest birthing center in New England and employs more than 15,000 people. The Brigham’s medical preeminence dates back to 1832, and today that rich history in clinical care is coupled with its national leadership in **patient care**, **quality improvement** and **patient safety initiatives**, and its dedication to **research**, **innovation**, **community engagement** and **educating and training** the next generation of health care professionals. Through investigation and discovery conducted at its **Biomedical Research Institute** (BRI), BWH is an international leader in basic, clinical and translational research on human diseases, involving nearly 1,000 physician-investigators and renowned biomedical scientists and faculty supported by \$640 million in funding. BWH continually pushes the boundaries of medicine, including building on its legacy in organ transplantation by performing the **first face transplants** in the U.S. in 2011. BWH is also home to major landmark epidemiologic population studies, including the **Nurses'** and **Physicians'** Health Studies, **OurGenes** and the **Women's Health Initiative**. For more information and resources, please visit BWH’s **online newsroom**.



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Sport

Study: Soccer Players Without Concussions Still Have Brain Changes

By Alexandra Sifferlin | Nov. 13, 2012 | 1



JIM CUMMINS / GETTY IMAGES

A small study of professional [soccer](#) players found that even those who have never experienced a concussion still have changes in the white matter of their brains, likely from routine and unprotected headers.

The [brain's](#) white matter is made up of nerves and their myelin protective coating (similar to the insulation that blankets electrical wire) that play a significant role in connecting brain regions and establishing neural networks that are critical to cognition. Previous studies have investigated how concussions lead to changes in this white matter, but a new study lead by Dr. Inga K. Koerte of Harvard Medical School in [Boston](#), is one of the first to look at how even blows to the head that aren't considered concussions may lead to traumatic brain injury.

([MORE: NFL Players Have a Higher Risk of Death from Brain Disease](#))

In the study, the researchers compared brain scans of 12 male soccer players from German elite-level soccer clubs who had not experienced a concussion, to brain scans of 11 competitive swimmers who had similarly never experienced repetitive brain trauma. The research team used high-resolution diffusion tensor imaging (DTI), which looks at the brain microscopically and is much more effective at catching white matter changes than the standard MRI.

The researchers found surprising alterations in the white matter that were "consistent with findings observed in patients with mild TBI, and suggesting possible demyelination [nerve disorder]." Even though the players had no concussions, their brains told a different story of damage, including changes to the myelin sheaths surrounding nerves.

"Although our study is small, it is the first to look at soccer players with no symptoms and no diagnoses of concussions," says Koerte. "We think it is an important finding not just for soccer players, but other athletes of other sports too."

([MORE: Study: Brain Injuries in Childhood Have Lasting Effects on Learning](#))

While concussions have long been a part of professional sports such as boxing and football, researchers are still struggling to define concussions clinically, and research into brain changes resulting from repetitive blows to the head is a relatively new area of research. "[Brain damage from repetitive blows] would have tremendous public health implications," says Dr. Jeffrey Bazarian, an associate professor of emergency medicine at UPMC. "If players are damaging their brains, it is a large public health issue because everyone, even at a young age, hits their head like this."

But right now we really don't have enough information." Bazarian was not involved with the study, but has also used DTI to assess mild brain injury in high school football and hockey players.

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Although Bazarian credits the study authors for using DTI, he says that the lack of strict criteria defining concussions means they are difficult to diagnose. And the culture of professional sports means it is unlikely players will admit to having a concussion, especially when their pay depends on their staying in the game. "The athlete themselves will know if they've had a concussion or not, but there is no way to call them out on that," he says.

(**MORE:** [Frequent Soccer-Ball Headers Linked to Brain Injury](#))

Koerte says more research involving more players at different ages is needed to get a better idea of how potentially damaging head trauma can be at young ages. If it turns out repetitive head bumps are harming cognition, Koerte says regulations like sitting out after a single or a designated number of hits may be needed. Or heading shouldn't be allowed at all until a certain age.

The study is published in the *Journal of the American Medical Association (JAMA)*.



Alexandra Sifferlin [@acsifferlin](#)

Alexandra Sifferlin is a writer and producer for TIME Healthland. She is a graduate from the Northwestern University Medill School of Journalism.

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NOVEMBER 30, 2012, 7:02 PM

Study Shows Possible Brain Damage Caused by Subconcussive Blows

By [JEFF Z. KLEIN](#)

A [hockey concussion study](#) published Friday in the journal [Neurosurgical Focus](#) includes in its findings an intriguing element - possible radiological evidence of brain trauma caused by subconcussive blows.

The effect of subconcussive blows on the brain is in many ways the Planet X of concussion science. Researchers say that small blows have a cumulative effect and can lead to damage in the brain similar to that caused by diagnosed concussions. They say that if small blows, like the ones in hockey that result from checks into the boards or routine collisions and falls to the ice, are repeated often enough, they can even lead to [chronic traumatic encephalopathy](#), or C.T.E., a degenerative brain disease.

The problem is that the effect of subconcussive blows had not been measured comprehensively until now -- if researchers from Harvard, the University of Montreal and elsewhere are correct about what they are seeing in the new hockey study and in a recently published study of soccer players in the Journal of the American Medical Association. The researchers caution that the results in this area of the study are preliminary and inconclusive, but they use words like "striking" and "surprising" when talking about them.

The new hockey concussion study followed two unnamed Canadian university teams - one men's, one women's - through the 2011-12 season. Researchers scanned every player's brain before and after the season, using advanced magnetic resonance imaging like [diffusion tensor imaging](#) and [MR spectroscopy](#).

When the scans were analyzed, researchers found substantive metabolic changes among the majority of players, including those who were not diagnosed with concussions.

"It was pretty compelling," said Dr. Martha E. Shenton, a researcher who analyzed [pre - and postseason diffusion tensor imaging of white matter in the brains of 17 players on the men's team](#).

Only 3 of the 17 players had sustained diagnosed concussions during the season, and two of those three showed the most pronounced white-matter changes in the study. But most of the 14 non-concussed players displayed a lesser degree of the same kind of changes - and that was what surprised Shenton.

"To see changes in such a short period of time, I was surprised," she said. "I was betting, quite frankly, that we wouldn't see any changes between pre- and postseason."

Shenton, who works at Brigham and Women's Hospital in Boston and the Neuroscience Division of the V.A. Hospital in Brockton, Mass, added: "When you see brain chemistry changes like this, it's clear something's going on. Are some of these people are going to end up with more serious kind of brain injury damage? How sensitive is the brain? How resilient? These are all things we don't know the answer to these questions."

Dr. Inga K. Koerte, a researcher who worked alongside Shenton in this part of the study, noted that the changes observed might have been affected by concussions the hockey players sustained earlier in their careers, or evidence of something else altogether. But she, too, suspected that the scans were showing the effects of subconcussive blows sustained during the 2011-12 season.

"You may not need to have a diagnosed concussion to actually have changes in your white matter," said Koerte, of Brigham and Women's Hospital, Harvard and the University of Munich. "It may be that subconcussive blows to your head accumulate over time, so that you develop changes that are similar to those that you get when you have one clinical concussion."

Koerte and Shenton published research [earlier this month in JAMA](#), in which they and other researchers also found [evidence of white matter changes in German soccer players who had no history of diagnosed concussion](#). They noted that after advanced scanning of the soccer players' brains, changes were found consistent with findings observed in patients with concussion damage."

They said that study showed the first radiological evidence of damage to the brains of athletes caused by subconcussive blows.

In hockey study published Friday, researchers analyzed MR spectroscopy imaging of the brains of the male and female players involved, again using scans taken before and after the season. Those scans [showed evidence of neural damage](#) in the brains of the female players not diagnosed with concussions over the course of the season.

"It is very interesting that the damage was found primarily in the women rather than the men," said Dr. Hugo Théoret of the University of Montreal, who analyzed the MR spectroscopy scans.

Théoret stressed that these findings, too, are preliminary. But he said the evidence of greater damage in the brains of the female players might point to greater susceptibility to concussion among women. (The study published Friday, and other hockey studies, showed higher concussion rates for female players, believed to be a product of women's smaller necks and lesser ability to withstand whiplash in collisions when compared with men.)

The MR spectroscopy may also show evidence of damage caused by subconcussive blows, Théoret said. He looked at the scans of the 14 female players who had not sustained a diagnosed concussion, and found that most showed a marker for damage in the tissue connecting the two brain hemispheres and is particularly vulnerable to blows to the head.

"Most of those players had a concussion previously in their careers," Théoret said.

"What we could be seeing is damage caused by hits to the head that are subconcussive, but on a concussed brain that is not normal to begin with. If so, it's very alarming."

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packaged foods followed food labeling changes that went into effect in 2006.¹ Further labeling changes to specify whether a food product contains any quantity of TFAs would further increase transparency of a food's TFA content.

While we agree with Mr Katarey and Ms Francis that it is possible for a product labeled zero *trans* fat to have close to 0.5 g of *trans* fat per serving, we are unaware of any published data on the distribution of TFA content for foods labeled zero *trans* fat but still containing some partially hydrogenated oil that are necessary to estimate the proportion of these foods with close to 0.5 g per serving.

We agree with Drs Backholer and Peeters that there are no known health benefits of industrially produced TFAs. We also agree that we cannot be sure that further food labeling changes would eliminate exposure to partially hydrogenated oil for all individuals. While we are not aware of any current data to indicate that persons of lower income have higher dietary intakes of TFAs or that less expensive brands of the same food product have higher TFA content than the more expensive brands, we agree these are important areas of research.

A recent FDA study provided evidence of differences in the TFA content of different brands of the same food.² For example, different brands of frozen pizza contained from 0 to 5 g of TFAs per serving. Therefore, elimination of partially hydrogenated oils from packaged foods would eliminate these differences and would help persons who may only have access to specific brands and those who are not aware of the different content levels of TFAs.

Kelley S. Scanlon, PhD, RD
William H. Dietz, MD, PhD

Author Affiliations: Division of Nutrition, Physical Activity, and Obesity, Centers for Disease Control and Prevention, Atlanta, Georgia (kscanlon@cdc.gov). Dr Dietz is now retired from the Centers for Disease Control and Prevention.

Conflict of Interest Disclosures: The authors have completed and submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest and none were reported.

Disclaimer: The findings and conclusions in this report are those of the authors and do not necessarily reflect the official position of the Centers for Disease Control and Prevention.

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RESEARCH LETTER

White Matter Integrity in the Brains of Professional Soccer Players Without a Symptomatic Concussion

To the Editor: Soccer is the most popular sport in the world, with more than 250 million active players.¹ It is the only sport in which the unprotected head is a primary point of contact when heading the ball. In other contact sports, the deleterious long-term effects of repetitive traumatic brain

injury (TBI), such as impaired white matter integrity,² are well recognized.³ However, whether frequent subconcussive blows to the head lead to TBI remains controversial,^{4,5} although evidence suggests impaired neuropsychological function in soccer players.⁵ We evaluated concussion-naïve soccer players using high-resolution diffusion tensor imaging (DTI), which is highly sensitive for detecting alterations in white matter architecture.

Methods. All right-handed male soccer players from 2 training groups of an elite-level soccer club in Germany were approached to participate. All were trained since childhood for a career in professional soccer. A comparison cohort of swimmers, which is a sport with low exposure to repetitive brain trauma, was recruited from competitive clubs to match on age (group-matched), handedness, and sex. Exclusion criteria were history of concussion or any other neuropsychiatric disorder. The local ethics committee approved the study and written informed consent was obtained.

A DTI sequence with 64 diffusion directions was acquired on a 3T magnetic resonance scanner (Verio, Siemens Healthcare) in July and August 2011. Group analyses were performed using automated whole-brain, tract-based spatial statistics⁶ for the following measures of diffusivity: fractional anisotropy, mean diffusivity, radial diffusivity, and axial diffusivity. Fractional anisotropy and mean diffusivity have been shown to be sensitive markers for mild TBI.

Axial diffusivity and radial diffusivity measure axonal and myelin pathology. Voxel-wise statistics were used to investigate group differences at a 2-sided significance level of $P < .05$, corrected for multiple comparisons. Voxels with a significant group difference were merged into a single cluster. Diffusivity measures were obtained for each individual and a linear regression model was applied to test for group differences adjusted for age and years of training. SPSS version 20 (SPSS Inc) was used.

Results. Twelve of 40 soccer players (mean [SD] age, 19.7 [1.6] years; mean duration of playing soccer, 13.3 [2.9] years) and 11 of 20 swimmers (mean [SD] age, 21.4 [2.8] years; mean duration of training, 9.3 [2.9] years) met the inclusion criteria. Three swimmers were excluded from the statistical analyses for anatomic or technical problems.

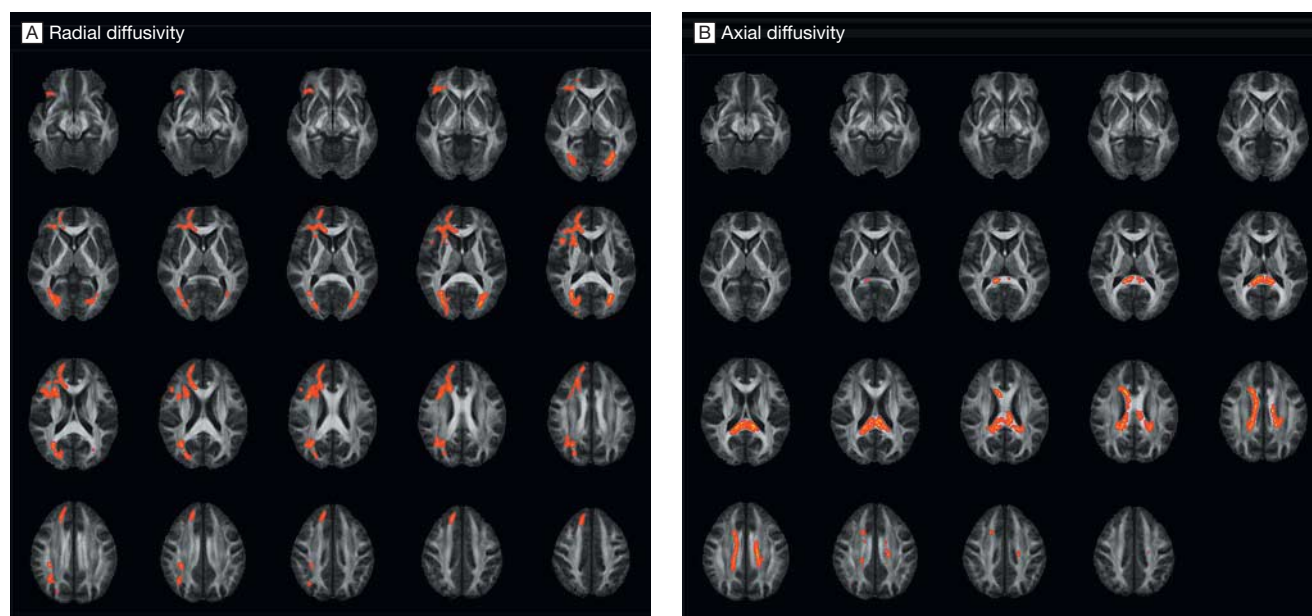
Widespread differences between groups were found, with increased radial diffusivity in soccer players (mean, 0.000444 [95% CI, 0.000427-0.000461] mm²/s vs 0.000368 [95% CI, 0.000356-0.000381] mm²/s in swimmers) in the right orbitofrontal white matter, the genu and anterior portions of the corpus callosum, association fibers involving bilateral inferior fronto-occipital fasciculus, bilateral optic radiation, and bilateral anterior cingulum, right anterior, right superior, and bilateral posterior corona radiata, right anterior limb of the internal capsule, right external capsule, and right superior frontal gyrus (FIGURE 1A).

Axial diffusivity was higher in the corpus callosum in soccer players (mean, 0.00156 [95% CI, 0.00154-0.00158] mm²/s vs 0.00143 [95% CI, 0.00140-0.00146] mm²/s in

swimmers; Figure 1B). No significant differences were found for fractional anisotropy or mean diffusivity. Cluster analysis revealed significantly higher radial and axial diffusivity in soccer players; age and years of training had no significant association with diffusivity values (FIGURE 2). Structural images as read by a neuroradiologist showed no abnormalities.

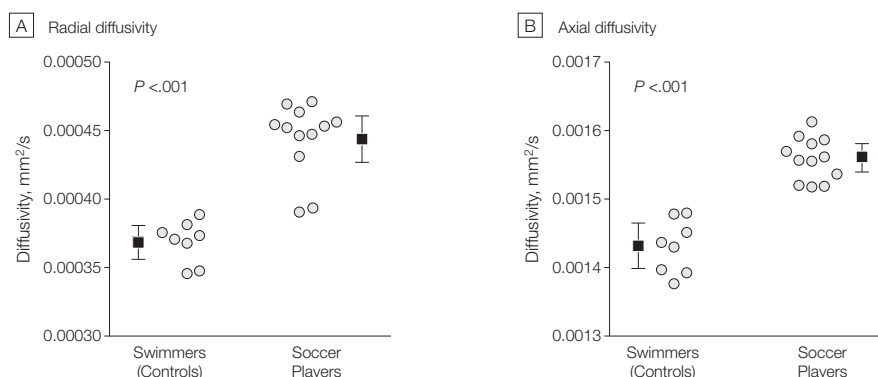
Comment. This study found differences in white matter integrity in a small sample of soccer players compared with swimmers. Although only participants without previous symptomatic concussion were included, advanced DTI revealed widespread increase in radial diffusivity in soccer players, consistent with findings observed in patients with mild TBI, and suggesting possible demyelination.

Figure 1. Results of the Tract-Based Spatial Statistics (TBSS) Analysis



The diffusion tensor for each voxel was estimated by the multivariate linear fitting algorithm, and the tensor matrix was diagonalized to obtain 3 pairs of eigenvalues (λ_1 , λ_2 , λ_3) and eigenvectors. Voxelwise summary parameters included fractional anisotropy, radial diffusivity ($[\lambda_2 + \lambda_3]/2$), axial diffusivity (λ_1), and mean diffusivity ($\lambda_1 + \lambda_2 + \lambda_3$). Group analyses were performed using whole-brain TBSS⁶ for the following measures of diffusivity: fractional anisotropy, mean diffusivity, radial diffusivity, and axial diffusivity. For all analyses, threshold-free cluster enhancement was used to obtain significant differences between groups at $P < .05$. After accounting for multiple comparisons using the family-wise error rate, the voxels highlighted in red demonstrate significantly increased radial diffusivity and axial diffusivity values for the soccer group compared with swimmers.

Figure 2. Diffusivity Measures for Each Individual



Voxels with a significant group difference as revealed by Tract-Based Spatial Statistics (Figure 1) were merged to a single cluster. Circles indicate individual values, squares indicate mean values, and error bars indicate 95% confidence intervals. Diffusivity measures were obtained for each individual and plotted for the 2 study groups. Linear regression showed no significant association of age or years of training with radial diffusivity ($P = .13$ and $P = .12$, respectively) or for axial diffusivity values ($P = .22$ and $P = .54$, respectively).

The etiology of the findings, however, is not clear. One explanation may be the effect of frequent subconcussive brain trauma, although differences in head injury rates, sudden accelerations, or even lifestyle could contribute. Additionally, soccer players showed increased axial diffusivity in the absence of increased radial diffusivity limited to the corpus callosum, possibly resulting from specialized training or neuroinflammation.

Limitations of the study include small sample size, single cross-sectional evaluation, and lack of information regarding functional outcomes. Future studies are needed to confirm the results and elucidate the etiology and effects of white matter alterations in soccer players.

Inga K. Koerte, MD
Birgit Ertl-Wagner, MD
Maximilian Reiser, MD
Ross Zafonte, DO
Martha E. Shenton, PhD

Author Affiliations: Psychiatry Neuroimaging Laboratory (Dr Koerte; ikoerte@bwh.harvard.edu) and Department of Physical Medicine and Rehabilitation (Dr Zafonte), Harvard Medical School, Boston, Massachusetts; Institute for Clinical Radiology, Ludwig-Maximilians-University, Munich, Germany (Drs Ertl-Wagner and Reiser); and VA Boston Healthcare System, Boston, Massachusetts (Dr Shenton).

Author Contributions: Drs Koerte and Shenton had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

Study concept and design: Koerte, Reiser, Shenton.

Acquisition of data: Koerte, Ertl-Wagner, Reiser.

Analysis and interpretation of data: Koerte, Ertl-Wagner, Zafonte, Shenton.

Drafting of the manuscript: Koerte.

Critical revision of the manuscript for important intellectual content: Koerte, Ertl-Wagner, Reiser, Zafonte, Shenton.

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Defense, the National Alliance for Medical Imaging, the National Institute of Mental Health, National Alliance for Research in Schizophrenia and Depression, Fogarty International Center, National Health and Medical Research Council, and Silvio Conte Centers for Basic Translational Mental Health Research; and receiving travel expenses and accommodations from the 9th World Congress on Brain Injury.

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CORRECTIONS

Error in Text. In the Original Contribution entitled "Effect of Adjuvant Chemotherapy With Fluorouracil Plus Folinic Acid or Gemcitabine vs Observation on Survival in Patients With Resected Periapillary Adenocarcinoma: The ESPAC-3 Periapillary Cancer Randomized Trial" published in the July 11, 2012, of *JAMA* (2012; 308[2]:147-156), the median survival for the ampullary pancreatobiliary group was transposed with the median survival for the ampullary intestinal group. This article has been corrected online.

Data Error in Table: In the Original Contribution entitled "Low-Dose Aspirin for Primary Prevention of Atherosclerotic Events in Patients With Type 2 Diabetes: A Randomized Controlled Trial," published in the November 12, 2008, issue of *JAMA* (2008;300[18]:2134-2141), there were incorrect data in Table 1. The correct numbers (percentages) for "past smoker" were 274 (22) in the Aspirin Group and 246 (19) in the Nonaspirin Group. The article has been corrected online.

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Report Urges ‘Cultural Shift’ as Hockey Coaches Defy Concussion Specialists

By JEFF Z. KLEIN

Despite several years of intensive research, coverage and discussion about the dangers of [concussions](#), the idea of playing through [head injuries](#) is so deeply rooted in hockey culture that two university teams kept concussed players on the ice even though they were taking part in a major concussion study.

[The study](#), which was published Friday in a series of articles in the journal Neurosurgical Focus, was conducted during the 2011-12 hockey season by researchers from the University of Western Ontario, the University of Montreal, Harvard and other institutions.

“This culture is entrenched at all levels of hockey, from peewee to university,” said Dr. Paul S. Echlin, a concussion specialist and researcher in Burlington, Ontario, and the lead author of the study. “Concussion is a significant public health issue that requires a generational shift. As with smoking or seat belts, it doesn’t just happen overnight — it takes a massive effort and collective movement.”

The study is believed to be among the most comprehensive analyses of concussions in hockey, which has a rate of [head trauma](#) approaching that of football. Researchers followed two Canadian university teams — a men’s team and a women’s team — and scanned every player’s brain before and after the season. Players who sustained head injuries also received scans at three intervals after the injuries, with researchers using advanced [magnetic resonance imaging](#) techniques.

The teams were not named in the study, in which an independent specialist physician was present at each game and was empowered to pull any player off the ice for examination if a potential concussion was observed.

The men’s team, with 25 players and an average age of 22, played a 28-game regular season and a 3-game postseason. The women’s team, with 20 players and an average age of 20, played 24 regular-season games and no playoff games. Over the course of the season, there were five observed or self-reported concussions on the men’s team and six on the women’s team.

Researchers noted several instances of coaches, trainers and players avoiding examinations, ignoring medical advice or otherwise obstructing the study, even though the players had signed consent forms to participate and university ethics officials had given institutional consent.

“Unless something is broken, I want them out playing,” one coach said, according to the study.

In one incident, a neurologist observing the men’s team pulled a defenseman during the first period of a game after the player took two hits and was skating slowly. During the intermission the player reported [dizziness](#) and was advised to sit out, but the coach suggested he play the second period and “skate it off.” The defenseman stumbled through the rest of the game.

“At the end of the third period, I spoke with the player and the trainer and said that he should not play until he was formally evaluated and underwent the formal return-to-play protocol,” the neurologist said, as reported in the study. “I was dismayed to see that he played the next evening.”

After the team returned from its trip, the neurologist questioned the trainer about overruling his advice and placing the defenseman at risk.

“The trainer responded that he and the player did not understand the decision and that most of the team did not trust the neurologist,” according to the study. “He requested that the physician no longer be used to cover any more games.”

In another episode, a physician observer assessed a minor concussion in a female player and recommended that she miss the next night’s game. Even though the coach’s own playing career had ended because of concussions, she overrode the medical advice and inserted the player the next evening.

According to the report, the coach refused to speak to another physician observer on the second evening. The trainer was reluctant to press the issue with the coach because, the trainer said, the coach did not want the study to interfere with the team.

“Interesting gap between theory and practice,” one of the study’s physicians said in the report. “The athlete’s and coach’s decision to return to play the next day despite incurring a minor concussion reflects what occurs thousands of times every day.”

After this second instance of a coach overriding medical advice following a concussion diagnosis, the researchers talked to the coaches about the serious long-term threat their actions posed to their players’ health. By the end of the study, the teams’ cooperation improved markedly.

A similar study by Echlin's research team followed two Canadian junior hockey teams, with male players ages 16 to 20, for the 2009-10 season. [In that study](#), independent specialists examined players immediately after on-ice collisions and were able to recommend they be held out of games. Coaches and trainers resisted that study as well, and one of the two junior teams dropped out during the season.

That study found concussion rates seven times higher than previously reported. In the study to be published Friday, the male players sustained concussions at three times the rate reported in most previous studies, and the female players at five times the rate reported in most studies. The women also sustained concussions almost twice as frequently as the men, despite rules in women's hockey designed to curb body checking. The brain scans taken after the season also showed substantive metabolic changes among the majority of players, including those who were not diagnosed with concussions. Researchers said the changes in the brains might be evidence of trauma caused by subconcussive blows.

"You may not need to have a diagnosed concussion to actually have changes in your white matter," said Dr. Inga K. Koerte, a researcher in the study. "It may be that subconcussive blows to your head accumulate over time, so that you develop changes that are similar to when you have one clinical concussion."

Koerte, of Brigham and Women's Hospital in Boston, Harvard and the University of Munich, stressed that the finding in this area was preliminary and required further research.

Echlin said that dismissive attitudes toward head trauma persisted in hockey at all levels, despite the widespread attention on Sidney Crosby's drawn-out recovery from concussion symptoms in 2011 and 2012.

"This is our national game which we all love," Echlin wrote, "and it is time to consider a cultural shift to address the prevention and treatment of this serious brain injury that is occurring at epidemic proportions."

A prospective study of physician-observed concussion during a varsity university hockey season: white matter integrity in ice hockey players. Part 3 of 4

*INGA K. KOERTE, M.D.,^{1,3} DAVID KAUFMANN, M.D.,^{1,3} ELISABETH HARTL, M.D.,¹ SYLVAIN BOUX, PH.D.,¹ OFER PASTERNAK, PH.D.,¹ MAREK KUBICKI, M.D., PH.D.,^{1,2} ALEXANDER RAUSCHER, PH.D.,⁴ DAVID K. B. LI, M.D.,⁴ SHIROY B. DADACHANJI, M.D.,⁵ JACK A. TAUNTON, M.Sc., M.D.,⁵ LORIE A. FORWELL, M.Sc.P.T.,⁶ ANDREW M. JOHNSON, PH.D.,⁷ PAUL S. ECHLIN, M.D.,⁸ AND MARTHA E. SHENTON, PH.D.^{1,2,9}

¹Psychiatry Neuroimaging Laboratory and ²Department of Radiology, Brigham and Women's Hospital, Harvard University, Boston, Massachusetts; ³Institute for Clinical Radiology, University of Munich, Ludwig-Maximilians-University, Munich, Germany; ⁴Department of Radiology and ⁵Division of Sports Medicine, Department of Medicine, University of British Columbia, Vancouver, British Columbia; ⁶Fowler Kennedy Sport Medicine Clinic and Faculty of Health Sciences, ⁷Faculty of Health Studies, The University of Western Ontario, London, Ontario; ⁸Elliott Sports Medicine Clinic, Burlington, Ontario, Canada; and ⁹Clinical Neuroscience Division, Laboratory of Neuroscience, Department of Psychiatry, VA Boston Healthcare System, Brockton, Massachusetts

Object. The aim of this study was to investigate the effect of repetitive head impacts on white matter integrity that were sustained during 1 Canadian Interuniversity Sports (CIS) ice hockey season, using advanced diffusion tensor imaging (DTI).

Methods. Twenty-five male ice hockey players between 20 and 26 years of age (mean age 22.24 ± 1.59 years) participated in this study. Participants underwent pre- and postseason 3-T MRI, including DTI. Group analyses were performed using paired-group tract-based spatial statistics to test for differences between preseason and postseason changes.

Results. Tract-based spatial statistics revealed an increase in trace, radial diffusivity (RD), and axial diffusivity (AD) over the course of 1 season. Compared with preseason data, postseason images showed higher trace, AD, and RD values in the right precentral region, the right corona radiata, and the anterior and posterior limb of the internal capsule. These regions involve parts of the corticospinal tract, the corpus callosum, and the superior longitudinal fasciculus. No significant differences were observed between preseason and postseason for fractional anisotropy.

Conclusions. Diffusion tensor imaging revealed changes in white matter diffusivity in male ice hockey players over the course of 1 season. The origin of these findings needs to be elucidated.
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KEY WORDS • ice hockey • mild traumatic brain injury • axial diffusivity • diffusion tensor imaging • sports-related concussion • radial diffusivity • fractional anisotropy • Canada

SPORTS-RELATED mild TBI, also referred to as concussion, is known to have a high incidence in contact sports such as American football, boxing, wrestling, soccer, and ice hockey.^{2,18,54} Mild TBI is typically associated with acute symptomatology including dizzi-

ness, headache, visual disturbances, and cognitive impairment.^{14,41} Although the majority of athletes recover within a few days, concerns have been raised about the possible long-term effects of mild TBI on the brain's structural and functional integrity.^{4,10-13,23-25,44,46,62}

Until very recently, the diagnosis of mild TBI has been based on clinical symptoms rather than on radiological evidence. This practice has arisen from the observation that the brain often appears quite normal on CT as well as on conventional MRI.⁵⁷ However, studies that use more advanced MRI, in particular DTI, are able to detect subtle white matter changes indicating diffuse axonal injury, the most common injury observed in mild TBI.^{35,51,63}

Abbreviations used in this paper: AD = axial diffusivity; CIS = Canadian Interuniversity Sports; DTI = diffusion tensor imaging; FA = fractional anisotropy; HCEP = Hockey Concussion Education Project; MRS = magnetic resonance spectroscopy; RD = radial diffusivity; SWI = susceptibility weighted MR imaging; TBI = traumatic brain injury.

* Drs. Koerte and Kaufmann contributed equally to this work.

Diffusion tensor imaging provides information about the diffusion of water molecules, reflecting coherence, organization, and density of white matter in the brain. Fractional anisotropy is one of the most frequently used parameters and indicates the direction of the diffusion of the water molecules. In this context, a high FA value means a more unidirectional flow, whereas a low FA indicates equal water movement in virtually any direction. Higher FA thus indicates more elongated shapes of water diffusion, which are often observed in white matter, where water mainly diffuses parallel rather than perpendicular to the fibers. Fractional anisotropy is often used as a measure of white matter integrity. This is in contrast to lower FA, which is observed in, for example, CSF, where water diffuses at the same speed in all directions.

Another often-used parameter of white matter integrity is trace, also known as mean diffusivity, which measures the total diffusion in all directions. A high trace indicates that there is faster water diffusion. Two other diffusivity measures are RD and AD, which in white matter are purported to measure myelin and axonal pathology.^{1,6,7}

Diffusion changes following mild TBI are mainly characterized by increased trace/mean diffusivity^{29,50,52} and decreased FA,^{3,21,29,33,37,50} generally observed in white matter, indicating reduced white matter integrity. Only a small number of studies have reported the opposite results, an increase in FA.^{39,63} In a recent review of DTI findings in mild TBI,⁵⁷ it was noted that some studies investigating the acute and long-term course of white matter injury found an early decrease in mean diffusivity and RD directly after the injury, followed by an increase equal to or above baseline values.^{7,59–61} Animal models of brain trauma have also linked DTI parameters to histopathology, supporting the aforementioned findings in human patients.^{7,59} Moreover, diffusivity parameters have been observed to correlate with measures of executive function, attention, memory, and learning in mild TBI in general^{35,36,47,53} as well as in sports-related mild TBI.⁵²

Ice hockey players experience frequent impacts to the head.^{15,16,18–20,48,49} Previously reported concussion incidence rates have varied between 1.6 and 3.1 per 1000 athlete exposures.^{2,20} A recent study by Echlin et al.¹⁸ reported a significantly higher incidence of 21.5 per 1000 athlete exposures. Echlin et al.¹⁷ (Part 2 of 4 in this issue) report an incidence of 8.47 concussions per 1000 athlete exposures. The higher incidence rate reported in these recent studies may be due to the greater awareness of sports-related concussion among the study physicians and their superior vantage points within the arena.¹⁸ Furthermore, although the incidence rate in the Echlin et al. study documents clinically diagnosed concussions during the observation period, many of the observed and nonobserved impacts that were not diagnosed as concussions may have had a cumulative effect on the brain's functional and structural integrity.

To date, the majority of advanced MRI studies have been performed in players of other contact sports such as American football,^{9,23–27,41,42} boxing,^{22,30,44} and rugby,^{28,31,32,45} with only a small number of studies focused on ice hockey players.^{5,8,14,40,56} Bazarian and coworkers,⁵

for example, examined 1 concussed American football player, ice hockey and American football players with multiple (26–399) subconcussive hits to their heads, and 6 controls. Changes in white matter were observed most in the concussed athlete, intermediate changes in athletes with subconcussive blows to the head, and no changes at all in controls.⁵ These findings suggest that even in the absence of clinically symptomatic concussions, players are likely to evince white matter alterations if advanced neuroimaging procedures are used. The aim of this study was to investigate the effect of repetitive head impacts on white matter integrity using advanced DTI, impacts that were sustained during 1 CIS ice hockey season.

Methods

Participants and Study Protocol

Twenty-five male ice hockey players between 20 and 26 years of age (mean age 22.24 ± 1.59 years) were included in the study. All participants were part of the HCEP, a cohort study performed during a CIS ice hockey season (2011–2012). The clinical data for this study are described in detail in Echlin et al.¹⁷ (Part 2 of 4 in this issue).

Briefly, exclusion criteria were MRI exclusion criteria, as well as a history of any neurological or neuropsychiatric disease other than a previously experienced concussion. The study protocol was approved by the ethics committee within the universities at which the CIS teams were based. All participants provided written informed consent prior to the beginning of the study. Participants underwent preseason and postseason assessment including neuropsychological evaluation and advanced neuroimaging with DTI, MRS, and SWI. This study focuses on the DTI analyses, whereas the detailed description and comprehensive interpretation of concussion incidence, neuropsychological testing, as well as MRS results are presented in other papers within this issue. The men's team DTI results and both men's and women's team SWI results from this study will be presented in a future publication.

Magnetic Resonance Imaging Protocol and Data Acquisition

Data acquisition was performed on a 3-T MRI machine (Achieva, Philips) with an 8-channel head coil array. A DTI sequence with 2 averages and the following parameters was performed: 60 noncolinear diffusion directions, TR 7015 msec, TE 60 msec, matrix size 100×100 , voxel size $2.2 \times 2.2 \times 2.2$ mm, b-value = 0 and 700 sec/mm², and 70 slices.

Preprocessing of DTI

Magnetic resonance imaging data sets were examined for image quality. To remove intrascan misalignments due to eddy currents and head motion, an affine registration (FSL 4.1, part of the FMRIB Software Library, The Oxford Centre for Functional MRI of the Brain) of the diffusion-weighted images to the baseline image was performed for each participant. Gradient directions were adjusted using

White matter integrity in ice hockey players

the rotational component of the affine transformations. Nonbrain tissue and background noise were then removed from the b0 image using 3Dslicer version 3.6.2 (Surgical Planning Laboratory, Brigham and Women's Hospital). This program is freely available to the public (<http://www.slicer.org>). The diffusion tensor for each voxel was estimated using a multivariate linear fitting algorithm, and the 3 pairs of eigenvalues ($\lambda_1, \lambda_2, \lambda_3$) and eigenvectors were obtained. Voxel-wise summary parameters including FA were calculated as follows:

$$FA = \sqrt{\frac{1}{2} \frac{(\lambda_1 - \lambda_2)^2 + (\lambda_1 - \lambda_3)^2 + (\lambda_2 - \lambda_3)^2}{(\lambda_1^2 + \lambda_2^2 + \lambda_3^2)}}$$

$$RD = [(\lambda_2 + \lambda_3)/2], AD = [\lambda_1], \text{trace} = [\lambda_1 + \lambda_2 + \lambda_3]$$

White Matter Skeleton

Whole-brain tract-based spatial statistics,⁵⁸ a voxel-based standard-space group statistical analysis (FSL 4.1), was used to perform the whole brain analysis of white matter changes in ice hockey players between preseason and postseason. The program, as well as a detailed description of the method, can be found on the FMRIB website (<http://www.fmriv.ox.ac.uk/fsl/tbss/index.html>). Using this program, the first step involved assigning a target image by identifying the most representative FA image, taking the participants' FA data, and aligning every image to every other one. This target image was then affine-aligned to a standard space ($1 \times 1 \times 1 \text{ mm}^3$, Montreal Neurological Institute [MNI 152]). Finally, all images were coregistered into the target image. This step adjusts each data set so that the FA data of each individual fits the others exactly. These aligned FA images were then averaged to generate a cross-participant mean FA image, a 4D data set that combines all individual's FA information in 1 single file. Based on this mean FA image, the mean FA skeleton was created, which represents the center of all white matter fiber tracts common to the group. The mean FA skeleton was thresholded using an FA value of 0.3 to exclude peripheral tracts where there was significant intersubject variability and/or partial volume effects with gray matter (the borderline between the basal ganglia and the internal capsule). Each participant's aligned FA data were then projected onto the mean skeleton to create a skeletonized FA map by searching the area around the skeleton in the direction perpendicular to each tract, finding the highest local FA value, and then assigning this value to the corresponding skeletal structure. This was done to ensure that the skeleton represents the same fiber structures across the participants, bypassing possible registration inaccuracies.

Statistical Analysis

The skeletonized FA, RD, AD, and trace data were then used to perform voxel-wise statistical tests, which are based on a nonparametric approach utilizing permutation test theory to test for diffusivity differences in each participant's postseason data against preseason data. The testing was performed by the FSL Randomize program, in which random permutations were set at 5000. Paired-

group statistics of preseason versus postseason scans were performed on the FA, RD, AD, and trace data. We used threshold-free cluster enhancement to avoid choosing an arbitrary initial cluster-forming threshold; this method provides a voxel-wise significance value, p , that is fully corrected for multiple comparisons across space. We considered voxels with a p value < 0.05 as significant.

Results

Study Data

Five of the 25 male hockey players in the study experienced a clinically symptomatic concussion during the season, according to the Zurich consensus statement on concussions from the 3rd International Conference on Concussion in Sport.⁴³ Fourteen of the 25 players admitted that they had suffered at least 1 concussion prior to the start of the study. None of the participants had any symptoms related to acute clinical concussion when entering the study.

Six of the 25 participants were excluded because either a preseason or postseason scan was not available. Two additional participants were excluded due to motion artifacts. The analyses were therefore performed on 34 data sets of 17 hockey players, in which 3 of them had experienced a physician-diagnosed concussion during the time of the study.

Tract-Based Spatial Statistics

The paired-group test was performed on the 17 preseason and 17 postseason data sets. Findings revealed a significant increase in trace, AD, and RD in the postseason scans ($p < 0.05$). Compared with preseason data, postseason images showed higher trace, AD, and RD values in the right precentral region, the right corona radiata, and the anterior and posterior limb of the internal capsule. This region contains pathways of the corpus callosum, the right superior longitudinal fasciculus, and the right corticospinal tract. The spatial distribution of the clusters with increased diffusivity measures is presented in Fig. 1a–c. The individual's measures in the significant clusters, as revealed by tract-based spatial statistics, are displayed in the respective scatter plots in Fig. 1d–f. There was no significant difference for FA between pre- and postseason scans. Table 1 lists the mean percentage increase in diffusivity parameters from preseason to postseason (AD 5.2%, range -1.96% to 12.07% ; RD 7.89%, range -4.75% to 18.09% ; trace 5.78%, range -1.72% to 13.98%) as well as the change in percentage for each individual. A more pronounced increase in RD was found for the 3 concussed athletes compared with the other players ($p = 0.047$, Mann-Whitney U-test). No statistically significant difference was found for AD and trace values. When testing for higher diffusivity in preseason versus postseason images we found higher RD, AD, and trace values in the left hemisphere but not in the right (data not shown). Figure 2 displays an example of the corticospinal tract in each hemisphere as assessed using tractography.

Discussion

This study showed an increase in diffusivity in ma-

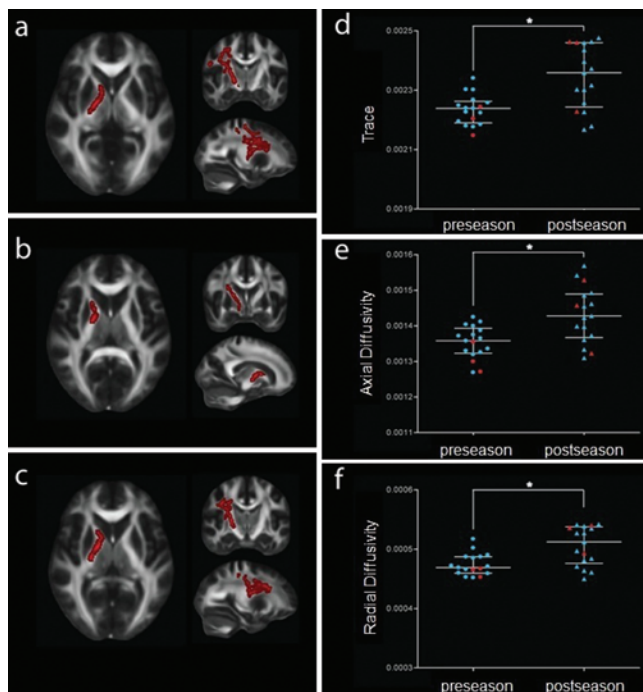


FIG. 1. Results of the tract-based spatial statistical analysis (**a–c**) and respective scatter plots of participant measures (**d–f**). Voxels highlighted in red demonstrate significantly increased trace value (**a**), AD (**b**), and RD (**c**) on postseason images when compared with preseason images. Voxels are thickened into local tracts and overlaid on the group mean FA image. Images are shown according to radiological convention (*right* = participants' left). Compared with preseason images, postseason images showed higher values for trace (median [interquartile range] 0.00224 [0.00006] vs 0.00236 [0.0008], respectively), AD (0.00136 [0.00006] vs 0.00143 [0.001], respectively), and RD (0.000469 [0.00003] vs 0.000512 [0.00006], respectively). Participants who experienced a clinically symptomatic concussion (Cases 1, 4, and 17) during the season are indicated in red in the scatter plots. Importantly, note that 2 of the 3 concussed players moved from the middle or lower portion of the distribution at preseason to the top of the range at postseason.

for white matter tracts in the right hemisphere over the course of 1 ice hockey season, suggesting altered white matter integrity in ice hockey players. Tract-based spatial statistics revealed large clusters with increased trace-, RD-, and AD-containing fibers of the corpus callosum, the right corticospinal tract, the right internal capsule, and the right superior longitudinal fasciculus. These results may indicate alterations in white matter, such as reduced thickness of the myelin sheaths and/or changes to the axon itself.^{7,62}

The findings reported in our cohort of hockey players are similar to those previously published on mild TBI in other contact sports. These studies have reported abnormalities in a variety of brain regions, including the corona radiata,^{29,39,50} uncinate fasciculus,^{21,39} corpus callosum,^{39,50,64} corticospinal tract,³³ internal capsule,^{3,29,50} and superior longitudinal fasciculus.²¹ Interestingly, the difference in diffusivity measures between preseason and postseason was significant even though only 3 of the study participants included in the statistical analysis had experienced a clinically symptomatic concussion over the course of the season. This suggests that white matter al-

TABLE 1: Percentage change from preseason to postseason in the diffusivity measures for each of the 17 participants in the study

Case No.	AD	RD	Trace
1*	3.93	11.57	5.43
2	2.70	-4.75	0.80
3	3.26	7.68	4.04
4*	9.64	18.09	12.33
5	5.12	0.37	6.97
6	11.76	11.38	12.76
7	-0.38	4.96	-1.72
8	6.40	8.84	7.00
9	2.93	3.61	2.49
10	2.92	13.86	3.38
11	-1.96	2.10	-1.32
12	7.42	11.34	8.16
13	7.16	11.03	7.80
14	12.07	15.23	11.10
15	2.35	-1.46	0.14
16	2.98	3.64	5.00
17*	10.02	16.67	13.98
mean	5.20	7.89	5.78

* Participants who experienced a concussion during the season. The values for 2 of these players (Cases 4 and 17) are greater than the mean percentage change.

terations occur even in the absence of a diagnosed concussion, which is in accordance with other studies in contact sports where concerns have been raised about the cumulative effects of frequent brain trauma.^{4,10–13,23–25,44,46,62}

Diffusion tensor imaging has only recently been applied to investigating brain abnormalities in mild TBI, and to date, most published studies have only analyzed FA. Only a small number of studies exist that have included mean diffusivity in addition to FA (the review by Shenton et al. in 2012⁵⁷ lists 22), and an even smaller number of more recent studies exist that have analyzed RD and/or AD in addition to mean diffusivity and FA (Shenton et al.⁵⁷ lists 8). Diffusion changes indicating altered white matter integrity following mild TBI are mainly characterized by decreased FA^{3,21,29,33,37,50} and increased mean diffusivity,^{29,50,52} and in the more recent studies, increased RD has also been found.^{33,34} In addition, animal models have shown increased RD to be associated with postinjury demyelination.⁶¹ Our results showed an increase in trace/mean diffusivity, RD, and AD. However, we did not find a significant decrease in FA. This may be due to the fact that we compared individuals before and after the season and did not use a comparison with a control group. Moreover, tract-based spatial statistics compares all voxels that are part of the entire white matter skeleton between the two groups while adjusting for multiple comparisons. Therefore, tract-based spatial statistics is known to be very conservative and only shows highly significant differences between groups.

Previously published studies mainly report white



FIG. 2. Tractography of the corticospinal tract of the left and right hemisphere. Tracts were obtained with the Unscented Kalman filter–based 2-tensor tractography algorithm^{38,55} to trace fiber paths of the entire brain. Fibers were separately filtered through the precentral gyrus and the anterior horns for each side.

matter changes in both hemispheres, although findings of asymmetry have been observed in some studies.⁹ In our cohort, statistically significant effects were only visible in the right hemisphere. This might be due to the fact that we compared preseason and postseason assessment instead of also comparing hockey players to a control group, where we might have found a pronounced overall effect rather than a localized effect within the right hemisphere. Additionally, 56% of all participants reported at least 1 previously sustained concussion before entering the study. Therefore, the results of this study may only reflect additional changes in white matter alterations over the course of 1 season, because the effects of mild TBI might have already been present in the majority of participants. In this context, the right hemisphere may be more susceptible to diffuse axonal injury than the left, where changes might not be as pronounced within the investigated group of athletes. However, the interpretation of findings of white matter alterations in the right hemisphere is at best speculative. Further studies are needed, including complete information regarding the laterality of sustained impacts to the head, which may provide the necessary link between injury mechanism and the location of white matter alterations in sports-related concussion.

The limitations of this study include the small sample size and the lack of a control group of healthy athletes taking part in noncontact sports. The tract-based spatial statistics findings of higher RD, AD, and trace values in the left hemisphere for the preseason data when compared with postseason data were not predicted and are difficult to interpret. Gross image artifacts and magnet inhomogeneities have been ruled out, but further studies including a control group are needed to confirm this finding and to evaluate its possible significance. General limitations of tract-based spatial statistics include the fact that it only evaluates voxels belonging to white matter and thus gray matter is not included for investigation.

Conclusions

Diffusion tensor imaging revealed changes in white matter diffusivity in ice hockey players over the course of 1 season. The origin of these changes needs to be elucidated. One possible explanation may be the effect of brain trauma, but an effect of training could also contribute. Future studies are needed to confirm these findings in both male and female athletes and to relate DTI findings to other advanced neuroimaging techniques and to neurocognitive function.

Disclosure

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Author contributions to the study and manuscript preparation include the following. Conception and design: Echlin, Kaufmann, Hartl, Bouix, Pasternak, Kubicki, Forwell, Johnson, Shenton. Acquisition of data: Echlin, Rauscher, Li, Dadachanji, Taunton. Analysis and interpretation of data: Echlin, Koerte, Kaufmann, Hartl, Bouix, Pasternak, Kubicki, Forwell, Johnson, Shenton. Drafting the article: Echlin, Koerte, Kaufmann, Hartl, Bouix, Pasternak, Kubicki, Forwell, Johnson, Shenton. Critically revising the article: all authors. Reviewed submitted version of manuscript: all authors. Statistical analysis: Echlin, Koerte, Kaufmann, Hartl, Bouix, Kubicki, Johnson. Administrative/technical/material support: Echlin, Pasternak, Rauscher, Li, Forwell, Shenton. Study supervision: Echlin, Koerte, Hartl, Rauscher, Li, Dadachanji, Taunton, Forwell, Shenton.

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White matter integrity in ice hockey players

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Address correspondence to: Paul S. Echlin, M.D., Elliott Sports Medicine Clinic, 1100 Walkers Line, Suite 2, Burlington, Ontario, Canada L7N 2G3. email: psechlin@gmail.com.

A review of magnetic resonance imaging and diffusion tensor imaging findings in mild traumatic brain injury

M. E. Shenton · H. M. Hamoda · J. S. Schneiderman · S. Bouix ·
O. Pasternak · Y. Rathí · M.-A. Vu · M. P. Purohit · K. Helmer ·
I. Koerte · A. P. Lin · C.-F. Westin · R. Kikinis · M. Kubicki ·
R. A. Stern · R. Zafonte

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Abstract Mild traumatic brain injury (mTBI), also referred to as concussion, remains a controversial diagnosis because the brain often appears quite normal on conventional computed tomography (CT) and magnetic resonance imaging (MRI) scans. Such conventional tools, however, do not adequately depict brain injury in mTBI because they are not sensitive to detecting diffuse axonal injuries (DAI), also described as traumatic axonal injuries (TAI), the major brain injuries in mTBI. Furthermore, for the 15 to 30 % of those diagnosed with mTBI on the basis of cognitive and clinical

symptoms, i.e., the “miserable minority,” the cognitive and physical symptoms do not resolve following the first 3 months post-injury. Instead, they persist, and in some cases lead to long-term disability. The explanation given for these chronic symptoms, i.e., postconcussive syndrome, particularly in cases where there is no discernible radiological evidence for brain injury, has led some to posit a psychogenic origin. Such attributions are made all the easier since both posttraumatic stress disorder (PTSD) and depression are frequently co-morbid with mTBI. The challenge is thus to use

M. E. Shenton
Clinical Neuroscience Laboratory, Laboratory of Neuroscience,
Department of Psychiatry, VA Boston Healthcare System,
and Harvard Medical School,
Brockton, MA, USA

M. E. Shenton (✉) · H. M. Hamoda · J. S. Schneiderman ·
S. Bouix · O. Pasternak · Y. Rathí · M.-A. Vu · M. P. Purohit ·
I. Koerte · M. Kubicki
Psychiatry Neuroimaging Laboratory, Departments of Psychiatry
and Radiology, Brigham and Women’s Hospital,
and Harvard Medical School,
1249 Boylston Street,
Boston, MA 02215, USA
e-mail: Shenton@bwh.harvard.edu
URL: <http://pnl.bwh.harvard.edu>

H. M. Hamoda
Department of Psychiatry, Children’s Hospital, and Harvard
Medical School,
Boston, MA, USA

O. Pasternak · C.-F. Westin
Laboratory of Mathematics in Imaging, Department of Radiology,
Brigham and Women’s Hospital, and Harvard Medical School,
Boston, MA, USA

M. P. Purohit · R. Zafonte
Spaulding Rehabilitation Hospital,
Massachusetts General Hospital and Harvard Medical School,
Boston, MA, USA

M. P. Purohit
Division of Medicine, Beth Israel-Deaconess Medical Center,
and Harvard Medical School,
Boston, MA, USA

K. Helmer
Department of Radiology, Athinoula A. Martinos Center,
Massachusetts General Hospital, and Harvard Medical School,
Charlestown, MA, USA

A. P. Lin
Center for Clinical Spectroscopy, Department of Radiology,
Brigham and Women’s Hospital, and Harvard Medical School,
Boston, MA, USA

R. Kikinis
Surgical Planning Laboratory, MRI Division,
Department of Radiology, Brigham and Women’s Hospital,
and Harvard Medical School,
Boston, MA, USA

R. A. Stern
Center for The Study of Traumatic Encephalopathy,
Departments of Neurology and Neurosurgery,
Boston University Medical School,
Boston, MA, USA

neuroimaging tools that are sensitive to DAI/TAI, such as diffusion tensor imaging (DTI), in order to detect brain injuries in mTBI. Of note here, recent advances in neuroimaging techniques, such as DTI, make it possible to characterize better extant brain abnormalities in mTBI. These advances may lead to the development of biomarkers of injury, as well as to staging of reorganization and reversal of white matter changes following injury, and to the ability to track and to characterize changes in brain injury over time. Such tools will likely be used in future research to evaluate treatment efficacy, given their enhanced sensitivity to alterations in the brain. In this article we review the incidence of mTBI and the importance of characterizing this patient population using *objective radiological measures*. Evidence is presented for detecting brain abnormalities in mTBI based on studies that use advanced neuroimaging techniques. Taken together, these findings suggest that more sensitive neuroimaging tools improve the detection of brain abnormalities (i.e., diagnosis) in mTBI. These tools will likely also provide important information relevant to outcome (prognosis), as well as play an important role in longitudinal studies that are needed to understand the dynamic nature of brain injury in mTBI. Additionally, summary tables of MRI and DTI findings are included. We believe that the enhanced sensitivity of newer and more advanced neuroimaging techniques for identifying areas of brain damage in mTBI will be important for documenting the biological basis of postconcussive symptoms, which are likely associated with subtle brain alterations, alterations that have heretofore gone undetected due to the lack of sensitivity of earlier neuroimaging techniques. Nonetheless, it is noteworthy to point out that detecting brain abnormalities in mTBI does not mean that other disorders of a more psychogenic origin are not comorbid with mTBI and equally important to treat. They arguably are. The controversy of psychogenic versus physiogenic, however, is not productive because the psychogenic view does not carefully consider the limitations of conventional neuroimaging techniques in detecting subtle brain injuries in mTBI, and the physiogenic view does not carefully consider the fact that PTSD and depression, and other co-morbid conditions, may be present in those suffering from mTBI. Finally, we end with a discussion of future directions in research that will lead to the improved care of patients diagnosed with mTBI.

Keywords Mild traumatic brain injury · mTBI · TBI · Diffusion tensor imaging · DTI · Magnetic resonance imaging · MRI · Diffusion-weighted imaging · DWI · Susceptibility-weighted imaging · SWI · Signature injury of war · Concussion · Postconcussive syndrome · Postconcussive symptoms · Complicated mTBI · Uncomplicated mTBI · Physiogenesis · Psychogenesis · Miserable minority

Introduction

The scope of the problem More than 1.7 million people each year in the United States experience a traumatic brain injury (TBI), with 75 to 85 % of these injuries categorized as mild (mTBI; CDC 2010; Faul et al. 2010; Bazarian et al. 2006). This number is likely an underestimate because it does not include those who are seen in private clinics or by primary care physicians, nor does it include those who do not seek medical treatment (Langlois et al. 2006). It is estimated, in fact, that 14 % of mTBI patients are seen in private clinics or by their own doctors, with an additional 25 % receiving no medical attention (Sosin et al. 1996). Based on the large number of known and likely unknown cases, traumatic brain injury has been referred to as the “silent epidemic” (e.g., Goldstein 1990). Recently, the public has become more aware of TBI based on news reports of sports injuries leading to long-term effects of repetitive trauma to the brain, as well as news reports about soldiers returning from Iraq and Afghanistan with TBI. With respect to the latter, the most frequent combat-related injury incurred by soldiers returning from Iraq and Afghanistan is TBI, and most particularly mTBI (Okie 2005). The frequency of these injuries has led to TBI being called the “signature injury of war” (Okie 2005). Further, approximately 22 % of the wounded soldiers arriving at Lundstuhl Regional Medical Center in Germany have head, neck, or face injuries, with cases of TBI resulting primarily from improvised explosive devices (IEDs), landmines, high pressure waves from blasts, blunt force injury to the head from objects in motion, and motor vehicle accidents (Okie 2005; Warden 2006). Of particular note, mTBI characterizes most of the blast-induced traumatic brain injuries seen in service members returning from Iraq and Afghanistan, with reports of 300,000 service members sustaining at least one mTBI as of 2008 (Tanielian and Jaycox 2008). Mild TBI is thus a major health problem that affects both civilians and military populations. The estimated economic cost is also enormous, with mTBI accounting for 44 % of the 56 billion dollars spent annually in the United States in treating TBI (Thurman 2001).

Lack of radiological evidence Mild TBI is, however, difficult to diagnose because often the brain appears quite normal on conventional computed tomography (CT) and magnetic resonance imaging (MRI) (e.g., Bazarian et al. 2007; Inglese et al. 2005; Hughes et al. 2004; Iverson et al. 2000; Miller 1996; Mittl et al. 1994; Povlishock and Coburn 1989; Scheid et al. 2003). This lack of radiological evidence of brain injury in mTBI has led clinicians typically to diagnose mTBI on the basis of clinical and cognitive symptoms, which are generally based on self-report, and are non-specific as they overlap with other diagnoses (e.g., Hoge et al. 2008; Stein and McAllister 2009). To complicate

matters further, while most of the symptoms in mTBI are transient and resolve within days to weeks, approximately 15 to 30 % of patients evince cognitive, physiological, and clinical symptoms that do not resolve 3 months post-injury (e.g., Alexander 1995; Bazarian et al. 1999; Bigler 2008; Rimel et al. 1981; Vanderploeg et al. 2007). Instead, these symptoms persist and in some cases lead to permanent disability (Carroll et al. 2004a and b; Nolin and Heroux 2006), and to what has been referred to as persistent post-concussive symptoms (PPCS), or postconcussive syndrome (PCS), although the latter term, “PCS,” is controversial (e.g., Arciniegas et al. 2005).

This “miserable minority” (Ruff et al. 1996) often experience persistent postconcussive symptoms (PPCS) that include dizziness, headache, irritability, fatigue, sleep disturbances, nausea, blurred vision, hypersensitivity to light and noise, depression, anxiety, as well as deficits in attention, concentration, memory, executive function, and speed of processing (e.g., Bigler 2008). Kurtzke and Kurland (1993) estimates the incidence of persistent symptoms as being equal to the annual incidence of Parkinson’s disease, Multiple Sclerosis, Guillain-Barré Syndrome, Motor Neuron Disease, and Myasthenia Gravis, combined. Moreover, the modal age for injury is young, in the 20’s and 30’s. Thus mTBI affects a large number of individuals in the prime of life, where there is, to date, no consistent or reliable correlations between cognitive/clinical symptoms and radiological evidence of brain injury based on conventional neuroimaging.

The explanation given for PPCS, particularly when there is no discernible radiological evidence, has led some to posit a psychogenic origin (e.g., Belanger et al. 2009; Hoge et al. 2008; Lishman 1988; Machulda et al. 1988). More specifically, Hoge and colleagues (2008; 2009) suggest that postconcussive symptoms reported by soldiers with mTBI are largely or entirely mediated by posttraumatic stress disorder (PTSD) and depression. In their study, after controlling for both PTSD and depression, the only remaining symptom was headaches. Headaches, nonetheless, are an important symptom of TBI, particularly mTBI.

The term “miserable minority,” described above, has been used to identify those who likely have a more psychogenic etiology to their symptoms (e.g., Ruff et al. 1996). Such attributions are easy to make given that the symptoms of mTBI, as noted above, overlap with other disorders (e.g., Hoge et al. 2008). Belanger et al. (2009) also suggest that most of the symptoms reported by those with mTBI are likely the result of emotional distress. Others have also argued that emotional distress and/or psychiatric problems account for those who continue to experience postconcussive symptoms (e.g., Belanger et al. 2009; Greiffenstein 2008; Hoge et al. 2008; Lishman 1988; Machulda et al. 1988).

Persistent symptoms, however, may be the result of more subtle neurological alterations that are beneath the threshold

of what can be detected using conventional neuroimaging techniques that all too often do not reveal brain pathology in mTBI (e.g., Hayes and Dixon 1994; Huisman et al. 2004; Fitzgerald and Crosson 2011; Green et al. 2010; Miller 1996; Niogi and Mukherjee 2010). This is not at all surprising, since conventional techniques are not sensitive to detecting diffuse/traumatic axonal injuries (DAI/TAI), the major brain injuries observed in mTBI (e.g., Benson et al. 2007).

There is also evidence from the literature to suggest that in several cases of mTBI where there was no radiological evidence of brain injury, autopsy following death from injuries other than mTBI revealed microscopic diffuse axonal injuries that conventional neuroimaging tools did not detect, presumably because they were not sufficiently sensitive (e.g., Adams et al. 1989; Bigler 2004; Blumbergs et al. 1994; Oppenheimer 1968).

We would argue that the controversy between mTBI being psychogenic versus physiogenic in origin is not productive because the psychogenic view does not carefully consider the limitations of conventional neuroimaging techniques in detecting subtle brain injuries in mTBI, and the physiogenic view does not carefully consider the fact that PTSD and depression, and other co-morbid conditions, may be present in those suffering from mTBI. Further, patients with mTBI may complain more when their symptoms are not validated. That is, when there is no radiological evidence that explains their symptoms, and yet they still experience symptoms, these patients may complain more because of the lack of validation, versus those patients who have radiological evidence that validates their symptoms, leading them to complain less, simply because they have a medical explanation for their symptoms.

The challenge The challenge then is to use neuroimaging tools that are sensitive to DAI/TAI, such as Diffusion Tensor Imaging (DTI), to detect brain injuries in mTBI. Specifically, with recent advances in imaging such as DTI it will now be possible to characterize better extant brain injuries in mTBI. Of note, DTI is a relatively new neuroimaging technique that is sensitive to subtle changes in white matter fiber tracts and is capable of revealing *microstructural* axonal injuries (Basser et al. 1994; Pierpaoli and Basser 1996; Pierpaoli and Basser 1996), which are also potentially responsible for persistent postconcussive symptoms.

Other promising techniques include susceptibility weighted imaging (SWI), which is sensitive to micro-hemorrhages that may occur in mTBI (e.g., Babikian et al. 2005; Haacke et al. 2004; Park et al. 2009; Scheid et al. 2007), and Magnetic Resonance Spectroscopy (MRS), which measures brain chemistry sensitive to neuronal injury and DAI (e.g., Babikian et al. 2006; Brooks et al. 2001; Garnett et al. 2000; Holshouser et al. 2005; Lin et al. 2005; Lin et al. 2010; Provencher 2001; Ross et al. 1998; Ross et al. 2005; Seeger et al. 2003; Shutter

et al. 2004; Vagnozzi et al. 2010). *In this review we focus primarily on MRI and, most particularly, on DTI findings in mTBI.* In a separate article in this special issue, Dr. Alexander Lin and colleagues review MRS, single photon emission tomography (SPECT), and positron emission tomography (PET) findings relevant to brain chemistry alterations in mTBI, and Dr. Brenna McDonald and colleagues review functional MRI (fMRI) findings in mTBI. The reader is also referred to Dr. Robert Stern and colleagues' article, also in this issue, which reviews the evidence for repetitive concussive and subconcussive injuries in the etiology of chronic traumatic encephalopathy in sports-related injuries such as professional football (see also Stern et al. 2011).

Focus of this review Here we present evidence for brain abnormalities in mTBI based on studies using advanced MRI/DTI neuroimaging techniques. Importantly, these advances make it possible to use more sensitive tools to investigate the more subtle brain alterations in mTBI. These advances will likely lead to the development of biomarkers of injury, as well as to staging of reorganization and reversal of white matter and gray matter changes following injury, and to the ability to chart the progression of brain injury over time. Such tools will also likely be used in future research to evaluate treatment efficacy, given their enhanced sensitivity to alterations in the brain.

Taken together, the findings presented below suggest that more sensitive neuroimaging tools improve the detection of brain injuries in mTBI (i.e., diagnosis). These tools will, in the near future, likely provide important information relevant to outcome (prognosis), as well as play a key role in longitudinal studies that are needed to understand the dynamic nature of brain injury in mTBI. We also believe that the enhanced sensitivity of newer and more advanced neuroimaging techniques for identifying brain pathology in mTBI will be important for documenting the biological basis of persistent postconcussive symptoms, which are likely associated with subtle brain alterations, alterations that heretofore have gone undetected due to the lack of sensitivity of earlier, conventional neuroimaging techniques.

Below we provide a brief primer of neuroimaging techniques, although the reader is referred to Kou et al. (2010), Johnston et al. (2001), Le and Gean (2009), and Niogi and Mukherjee 2010 for more detailed information. For a description of the molecular pathophysiology of brain injury, the reader is referred to Barkhoudarian et al. (2011). The reader is also referred to Dr. Erin Bigler's article in this special issue for information regarding post-mortem and histological findings in mTBI as well as for a discussion of the physiological mechanisms underlying TBI. Dr. Bigler emphasizes that neuroimaging abnormalities are "gross indicators" of the underlying cellular damage resulting from

trauma-induced pathology. We concur and believe that we now have neuroimaging tools that are sufficiently sensitive to discern both more gross indicators of pathology, as well as microstructural changes in white matter, and micro-hemorrhages using newer imaging technologies. The reader is also referred to Smith et al. (1995) and to several recent and excellent reviews of neuroimaging findings in mTBI (e.g., Belanger et al. 2007; Bruns and Jagoda 2009; Gentry 1994; Green et al. 2010; Hunter et al. 2011; Kou et al. 2010; Le and Gean (2009); Maller et al. 2010; Niogi and Mukherjee 2010). Jang (2011) has also published a recent review of the use of DTI in evaluating corticospinal tract injuries after TBI.

Following the brief primer, we present MRI and DTI findings relevant to mTBI. We used PUBMED to locate these articles. The following keywords were used: (MRI or DTI or Diffusion Tensor) AND (Concussion or Mild TBI or Mild Traumatic Brain Injury or mTBI). The dates for the articles selected were inclusive to September 16, 2011. We did not include articles that were case studies, nor did we include articles that focused on pediatric and adolescent populations (see article in this special issue by Wilde and colleagues, which covers this topic). We also did not include articles that did not specify the severity of injury, but instead described only the mechanism of injury, i.e., falls, motor vehicle accident, hit by tram (e.g., Liu et al. 1999). For the morphometric MRI empirical studies, we note that most included mild, moderate, and severe TBI, rather than mTBI alone. Consequently we included all three. This was less the case for the DTI empirical studies, where many focused only on mTBI. We were thus able to separate empirical studies that focused solely on mTBI from those that included several levels of severity, although we report on both. We include detailed summary tables of MRI and DTI findings in order to provide the interested reader with a more in depth and detailed review of each empirical study included in this review. Following the review of MRI and DTI findings, we present future directions for research in mTBI, which include the use of multiple modalities for imaging the same patients, and the importance of following patients longitudinally. We also present new imaging methods that go beyond advanced imaging approaches reviewed here that, to date, are still as yet not used routinely in a clinical setting. The potential for developing biomarkers to identify and to characterize mTBI is also presented. The need here is critical as mTBI is not only difficult to detect but the injuries to the brain are heterogeneous, and biomarkers are needed for individualized diagnosis as well as for early and effective treatment interventions.

Neuroimaging primer and role of neuroimaging in mTBI

Overview TBI is a heterogeneous disorder and there is no one single imaging modality that is capable of characterizing

the multifaceted nature of TBI. Advances in neuroimaging are, nonetheless, unprecedented and we are now able to visualize and to quantify information about brain alterations in the living brain in a manner that has previously not been possible. These advances began with computed axial tomography (CT) in the 1970's, and then with magnetic resonance imaging (MRI) in the mid-1980's, with more refined and advanced MR imaging over the last 25 years, including perfusion weighted imaging (useful for measuring abnormal blood supply and perfusion), susceptibility-weighted imaging (SWI; useful for measuring micro-hemorrhages – e.g., Haacke et al. 2004; Park et al. 2009), magnetization transfer MRI (useful for measuring traumatic lesions – e.g., see review in Le and Gean 2009), diffusion weighted imaging (DWI; useful for measuring edema and developed initially for studies of stroke – see review in Le and Gean (2009)), diffusion tensor imaging (DTI; useful for measuring white matter integrity – e.g., Basser et al. 1994), and functional MRI (fMRI; useful for measuring altered cortical responses to controlled stimuli – e.g., see article by McDonald et al. in this issue). Other neuroimaging tools, although not a complete list, include positron emission tomography (PET; useful for measuring regional brain metabolism using 2-fluoro-2-deoxy-d-glucose, both hyper and hypo metabolism observed in TBI – see Le and Gean (2009) for review), single photon emission tomography (SPECT; useful for measuring cerebral blood flow but less sensitive to smaller lesions that are observed on MRI – see article by Lin et al. in this issue), and magnetic resonance spectroscopy (MRS; useful for measuring brain metabolites/ altered brain chemistry – see article by Lin et al. in this issue). The clinical use of such tools lags behind their development, although the gap between development and clinical application is narrowing.

Below, we provide a brief primer for some of the neuroimaging tools available today. We include skull films, CT, and MRI including DWI/DTI, and susceptibility weighted imaging. This primer is not detailed nor is it comprehensive. Instead, our intention is to provide the reader who is less familiar with neuroimaging techniques with a context for some of the tools available for investigating mTBI. Other neuroimaging modalities, which will not be described here, include MRS, PET, SPECT, and fMRI. MRS, PET, and SPECT, will be reviewed by Dr. Alexander Lin and colleagues, and Dr. Brenna McDonald and colleagues will review fMRI, in separate articles in this issue. Table 1 provides a brief summary of these neuroimaging tools.

Skull-X-ray and CT Skull films, or skull X-rays, while excellent for detecting skull fracture, are not used routinely to investigate brain trauma because they provide very limited information (e.g., Bell and Loop 1971; Hackney 1991). Figure 1 depicts a normal skull film. Computed Tomography or Computed Axial Tomography (CT) supplanted the

use of skull films for evaluating neurotrauma when this technology became available in the 1970s. CT provides three-dimensional images of the inside of an object, in this case the brain, using two-dimensional X-Ray images obtained around a single axis of rotation. Since CT was introduced in the 1970s, it has become the imaging modality of choice for evaluating closed head injury in the emergency room (ER) (e.g., Johnston et al. 2001).

CT is, in fact, the main imaging modality used in the first 24 h for the management of neurotrauma in the ER (e.g., Coles 2007). The reasons for this are because it is widely available in most hospitals, it is fast, and it is accurate for detecting emergent conditions such as skull fractures, brain swelling, intracranial hemorrhage, herniation, and radio-opaque foreign bodies in the brain (see review in Johnston et al. 2001; Le and Gean (2009)). The use of thin-volume CT scanners are also often located in close physical proximity to the ER, thus making it easy to transport neurotrauma patients. Additionally, the presence of metallic objects will not result in possibly dangerous accidents in the CT suite as would be the case using an MR scan, depending upon the nature of the trauma, and depending upon whether or not unknown small pieces of metal are hidden inside the patient following a car accident or other type of brain trauma. Of further note, MRI scanners are generally not in close physical proximity to the ER, and the scanning time is longer, which is an important consideration for patients who are not medically stable. Moreover, the CT environment is able to accommodate the set up of life support and monitoring equipment that is, at this time, often more compatible for the CT than for the MRI environment, although this is changing. CT thus remains the most important neuroimaging tool used in the first 24 h of acute neurotrauma in the ER, where the most important question to be answered quickly is: does this person need immediate neurosurgical intervention?

Figure 2 depicts a normal CT scan. Note that the skull and the brain are visible, although there is no differentiation between gray and white matter, which is discernible using MRI. There are also bone artifacts with CT that are not present with MRI, which means that areas of injury around bone are easier to detect using MRI. MRI also uses no ionizing energy, as CT does, which becomes important when considering pediatric populations. This is also a consideration when several repeat scans are needed over time to follow the progression of injury.

MRI and SWI Magnetic resonance imaging was introduced in the mid-1980s with the first images acquired on low-field magnets, i.e., 0.5 Tesla (T). Originally this type of imaging was called nuclear magnetic resonance (NMR) imaging but the name was changed to magnetic resonance (MR) imaging, or MRI. The basic principle behind MRI is that

Table 1 Summary of modalities

Imaging Technique/Modality:	Function:	Advantages Offered:
X-ray Computed Tomography (CT)	Imaging of bony structures 3D X-ray imaging of an object (e.g., brain and skull).	Primarily used for detecting fractures. Quick, able to have medical equipment in scanning area, good for skull fractures or gross injuries/abnormalities requiring emergent surgical intervention such as subdural hematomas.
Clinical Magnetic Resonance Imaging (MRI)	Uses radiofrequency pulses to detect changes in spin signal of hydrogen atoms.	Better resolution than CT, particularly for soft tissue, can provide gross delineation between gray and white matter structures, better visualization of brain stem areas compared to CT, can also detect subacute hemorrhages and macroscopic areas of white matter damage.
Diffusion Weighted Imaging (DWI)/ Diffusion Tensor Imaging (DTI)	Special type of MRI sequence that uses the diffusion properties of water to detect microstructural tissue architecture.	Best imaging technique available for detecting white matter integrity/damage, able to detect microscopic white matter damage and trace specific tracts of the brain (e.g., corpus callosum, superior longitudinal fasciculus, uncinate).
Susceptibility Weighted Imaging (SWI)	Special type of MRI technique that takes advantage of susceptibility differences among structures (e.g., oxygenated vs. deoxygenated blood and iron).	Provides increased sensitivity to detect areas of micro-hemorrhage, particularly at gray-white matter junctions, that are not detectable on standard MRI.
Magnetic Resonance Spectroscopy (MRS)	Measures brain chemistry by producing a spectrum where individual chemicals, or metabolites can be identified and concentrations can be measured.	Provides neurophysiological data that is related to structural damage/changes, neuronal health, neurotransmission, hypoxia, and other brain functions.
Positron Emission Tomography (PET)	Uses radiotracers labeled with different isotopes that emit signals indicating areas of uptake or binding in the brain, most commonly used is 18-Fluorodeoxyglucose, an analog of glucose.	Provides information on the concentration of a chemical or protein in the brain such as the amount of glucose, which reflects activity, or the density of a type of protein such as beta amyloid, a hallmark of neurodegenerative disease.

radiofrequency (RF) pulses are used to excite hydrogen nuclei (single proton) in water molecules in the human body, in this case the brain. By modulating the basic magnetic field, and the timing of a sequence of RF pulses, the scanner

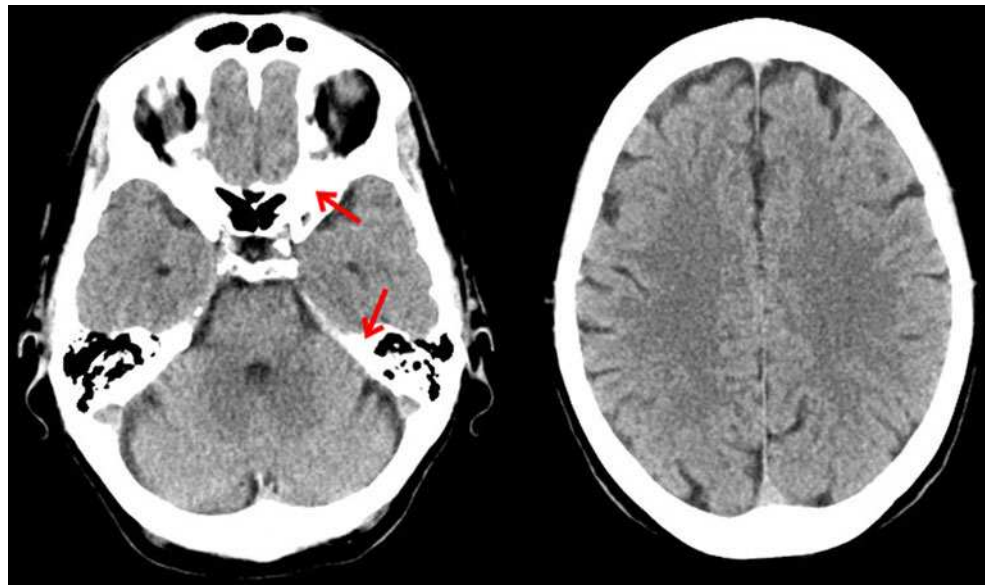


Fig. 1 Lateral (*left*) and frontal (*right*) view of normal skull X-ray. (Courtesy of Amir Arsalan Zamani, M.D.)

produces a signal that is spatially encoded and results in images. While NMR can be observed with a number of nuclei, hydrogen imaging is the only one that is widely used in the medical use of MRI.

MR images can be produced with different contrasts and can be optimized to show excellent contrast between gray and white matter, which CT does not. Early MRI scans had poor spatial resolution and the time to acquire images was slow, taking many minutes to acquire even one image. Since the mid-1980s, however, the field strengths of the magnet have increased from 0.5 to 1.0, to 1.5 T, and to 3.0 T and beyond. In combination with advances in the capabilities of the gradient magnetic fields and the RF equipment available (parallel imaging), it is now possible to acquire sub-millimeter morphologic images and rich contrast combinations in clinical settings, in a shorter period of time. Moreover, reconstruction algorithms can recreate images even when the volume of the pixel elements (voxels) is not completely isotropic (i.e., the same size in all directions). Figure 3 depicts MRI scans acquired on a 3 T magnet using

Fig. 2 CT scan of a normal brain. Left side is at the level of the temporal lobe where bone can be seen as white areas (see red arrows). Right side is at the level of the frontal lobe. (Courtesy of Amir Arsalan Zamani, M.D.)

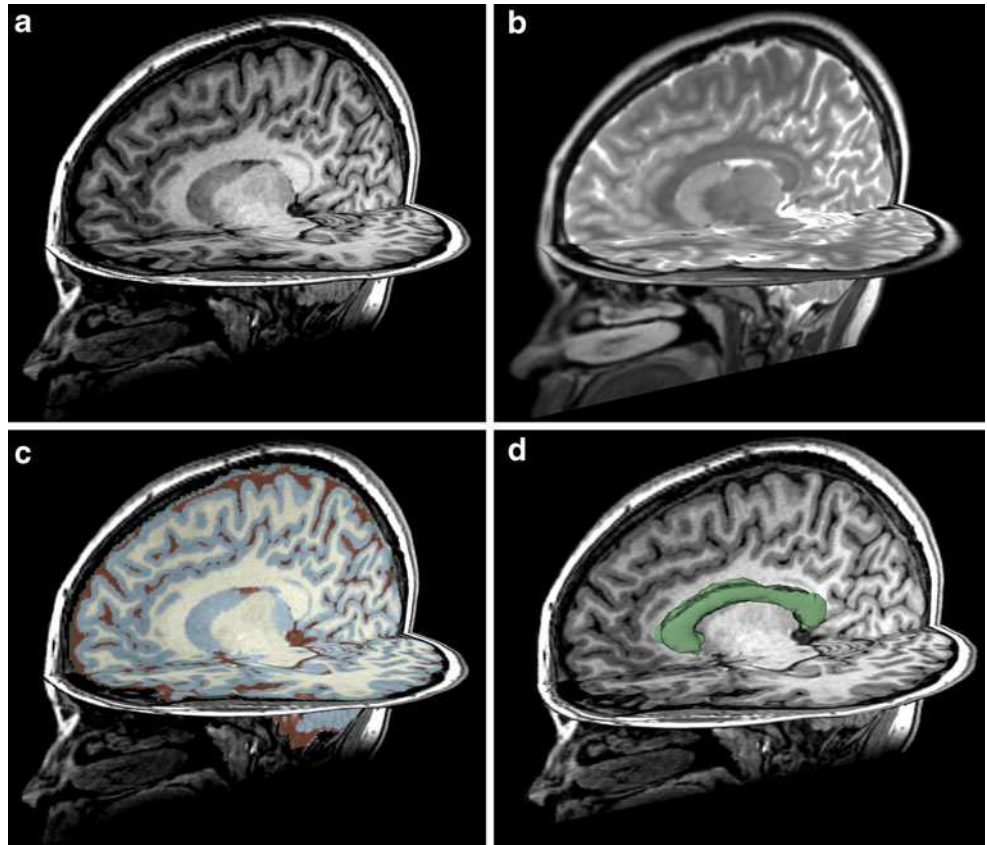


1.5 mm slices. Note the high contrast between gray and white matter that is not visible on CT (see Figure 2). Cerebral spinal fluid (CSF) is also prominent, and one can use the differences in signal intensity of gray matter, white matter, and CSF to parcellate automatically the brain into these three tissue classes (e.g., Fischl et al. 2004; Pohl et al. 2007). Of note here, the different tissue classes, from the parcellation, include quantitative information such as whole

brain volume for gray matter, white matter, and CSF. This work is based on research developed over more than a decade in the field of computer vision.

Due to its superior contrast resolution for soft tissues, MRI technology is far more sensitive than CT in detecting small contusions, white matter shearing, small foci of axonal injury, and small subacute hemorrhages (see review in Niogi and Mukherjee 2010). That MRI is able to discern these

Fig. 3 Structural MRI scans acquired on a 3 T magnet using 1.5 mm slices: **a** T1-weighted image, **b** T2-weighted image, **c** T1-weighted image showing gray matter, white matter, and CSF parcellation, and **d** T1-weighted image showing the corpus callosum region of interest



more subtle abnormalities, compared with CT, makes it particularly well suited for evaluating mTBI. Additionally, there is higher contrast between brain and CSF, and between gray and white matter, as well as better detection of edema with MRI than CT, all important factors in evaluating TBI (see review in Johnston et al. 2001).

Of further note, and of particular interest to mTBI, Mittl and coworkers (1994) found that in mTBI, where CT findings were negative, 30 % of these cases showed lesions on MRI that were compatible with hemorrhagic and non-hemorrhagic diffuse axonal injuries. The increased sensitivity of MRI over CT in discerning radiological evidence of brain injury in mTBI has also been shown, and commented upon, by a number of other investigators including Jenkins and coworkers (1986), Levin and coworkers (1984; 1987), Eisenberg and Levin (1989), and Bazarian and coworkers (2007). Gentry and coworkers (1988) also observed that in a prospective study of 40 closed injury patients, MRI was superior to CT in detecting non-hemorrhagic lesions. These findings, taken together, suggest that while CT may be critically important in the first 24 h to assess the immediate need for neurosurgical intervention, for mTBI, MRI is likely to be more sensitive for detecting small and subtle abnormalities that are not detected using CT (e.g., Gentry et al. 1988; Levin et al. 1987).

There are also several types of MRI sequences that add to what can be gleaned from conventional MRI, including the use of T1, T2-weighted FLAIR (FLuid Attenuated Inversion Recovery) to examine *macroscopic* white matter lesions and contusions on the cortical surface, as well as susceptibility-weighted imaging (SWI), which is a type of gradient-recalled echo (GRE) MRI that can be performed on conventional scanners. SWI was originally developed for venography and called Blood-Oxygen-Level-Dependent (BOLD) venographic imaging (Ashwal et al. 2006; Haacke et al. 2009; Reichenbach et al. 2000; see also review in Kou et al. 2010 and Niogi and Mukherjee 2010). SWI takes advantage of susceptibility differences between tissues, resulting in an enhanced contrast that is sensitive to paramagnetic properties of intravascular deoxyhemoglobin, i.e., sensitive to venous blood, to hemorrhage, and to iron in the brain. In essence, susceptibility differences are detected as phase differences in the MRI signal. In the image processing stage, SWI superimposes these phase differences on the usual (magnitude) MR image, thereby allowing the susceptibility differences to be accentuated in the final image. Of further note, SWI shows six times greater ability to detect hemorrhagic diffuse axonal injuries than other MRI techniques (Tong et al. 2003; 2004). This technique is thus particularly appropriate for discerning micro-hemorrhages in TBI, as it is sensitive to bleeding in gray/white matter boundaries, where small and subtle lesions are not discernible using other MRI techniques, making it particularly useful in the

more acute and subacute stages following brain trauma. SWI, in conjunction with diffusion measures (e.g., DTI), will thus likely be important for discerning the subtle nature of mTBI abnormalities in the future. SWI is offered as a licensed acquisition and processing package by several vendors, but it can be acquired and processed on any scanners that are 1.0 T, 1.5 T, 3.0 T, or above. Figure 4 depicts susceptibility-weighted images, where small black areas indicate blood vessels.

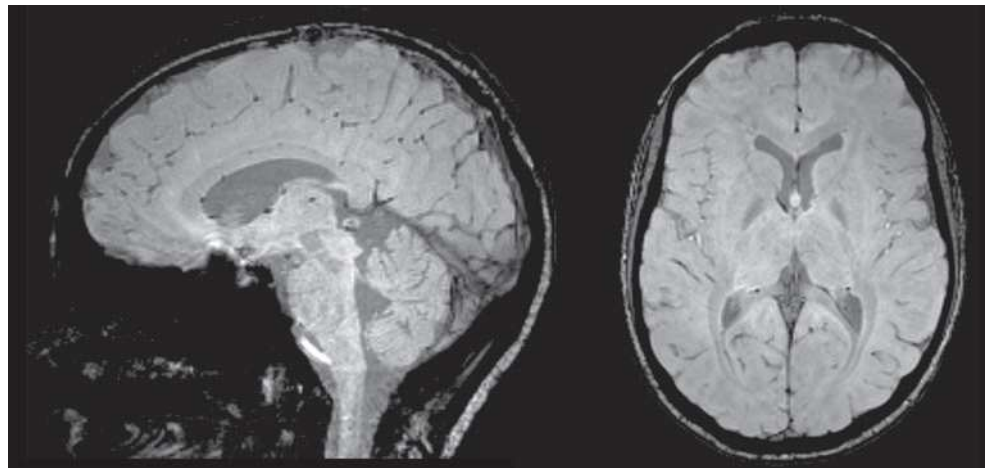
DWI and DTI Diffusion weighted imaging (DWI), developed in 1991 for use in humans (e.g., Le Bihan 1991), is based on the random motion of water molecules (i.e., Brownian motion). This motion in the brain is affected by the intrinsic speed of water displacement depending upon the tissue properties and type, i.e., gray matter, white matter, and CSF. DWI was first used to evaluate acute cerebral ischemia where it was thought that decreased diffusion was the result of neuronal and glial swelling and likely related to cytotoxic edema, whereas increased diffusion was thought to reflect vasogenic edema. The method has been applied to TBI with mixed results (see Niogi and Mukherjee 2010).

Apparent Diffusion Coefficient (ADC) is a measure of diffusion, on average, and the word “apparent” is used to emphasize that what is quantified is at the level of the voxel, and not at the microscopic level. This measure has been used as an indicator of edema, which, in conjunction with DTI (see below), can be used to quantify, indirectly, both edema and damage to the integrity of white matter fiber bundles in TBI (see review in Assaf and Pasternak 2008; Niogi and Mukherjee 2010). A measure of free water, however, derived from DTI (Pasternak et al. 2009; Pasternak et al. 2010; 2011a; b) may provide a better measure of edema, and this will be discussed further in the section on future directions of research.

DTI is a DWI technique that has opened up new possibilities for investigating white matter *in vivo* as it provides information about white matter anatomy that is not available using any other method — either *in vivo* or *in vitro* (Basser et al. 1994; Pierpaoli and Basser 1996; Pierpaoli and Basser 1996; see also review in Assaf and Pasternak 2008). At today’s image resolution, it does not detect water behavior within individual axons. Instead it describes local diffusion properties. In other words, the individual behavior of axons cannot be described using DTI, but diffusion properties can be described that are relevant to fiber bundles.

DTI differs from conventional MRI in that it is sensitive to *microstructural* changes, particularly in white matter, whereas CT and conventional MRI (including also FLAIR) reveal only *macroscopic* changes in the brain. Thus subtle changes using DTI can reveal *microstructural* axonal injuries (Basser et al. 1994; Pierpaoli and Basser 1996; Pierpaoli

Fig. 4 Sagittal (*left*) and axial (*right*) view of susceptibility-weighted images (SWI) of a normal brain. Small black areas indicate blood vessels in the brain that are enhanced using SWI



and Basser 1996), which are potentially responsible also for persistent postconcussive symptoms.

The concept underlying DTI is that the local profile of the diffusion in different directions provides important indirect information about the microstructure of the underlying tissue. It has been invaluable in investigations of white matter pathology in multiple sclerosis, stroke, normal aging, Alzheimer's disease, schizophrenia and other psychiatric disorders, as well as in characterizing diffuse axonal injuries in mTBI (see reviews in Assaf and Pasternak 2008; Kou et al. 2010; Shenton et al. 2010; Whitford et al. 2011).

The latter focus on TBI is relatively recent (see review of the literature, below). Those investigating mTBI, in particular, have been disappointed by the lack of information gleaned from conventional MRI and CT, although, as noted previously, this is not surprising given that the most common injuries observed in mTBI are diffuse axonal injury/traumatic axonal injury (DAI/TAI), which are not easily detected using conventional MR or CT scans. With the advent of DTI, however, DAI/TAI have the potential to be quantified and this information can be used for diagnosis, prognosis, and for the evaluation of treatment efficacy.

Quantification of pathology using DTI is based on measures that calculate the amount of restriction of water movement in the brain, which is determined to a large extent by the tissue being measured. For example, the movement of water is unrestricted in a medium such as CSF, where it diffuses equally in all directions (i.e., isotropic). However, in white matter, the movement of water is more restricted by axonal membranes, myelin sheaths, microtubules, neurofilaments, etc. In white matter, this restriction is dependent on the directionality of the axons (i.e., diffusion is not equal in all directions) and is referred to as anisotropic diffusion.

Using tensors, adapted from the field of engineering, the average *shape* of the diffusion is characterized as more or less spherical when there is no impediment to water diffusion, as for example in CSF (i.e., unrestricted water is free to diffuse in all directions: isotropic). However, the average

shape of the diffusion becomes more elongated, or cigar shaped, when there is a preferred orientation in which water is restricted, as for example in white matter. Here, water diffuses freely in directions parallel to axons but it is restricted in directions that are perpendicular to the axons, which results in the magnitude of the diffusion along the axons being larger than the two perpendicular directions, leading to an elongated ellipsoidal *shape* of the diffusion tensor, described as anisotropic. The measurement of the distance that water diffuses, over a given period of time, for at least six non-collinear directions, makes it possible to reconstruct a diffusion tensor (and the associated ellipsoid) that best describes water diffusion within a given voxel. Consequently, the *volume* (*size*) and *shape* of the ellipsoid can be calculated, and this provides important information about the diffusion properties, and hence about microstructural aspects of brain tissue.

There are various ways that the *shape* and *size* of a diffusion ellipsoid can be quantified, but the two most common indices used are Fractional Anisotropy (FA) for *shape*, and Mean Diffusivity (MD) for *size*. FA is a scalar measure that ranges from 0 to 1, with 0 being completely isotropic, meaning that water diffuses equally in all directions, and 1 depicting the most extreme anisotropic scenario in which molecules are diffusing along a single axis. Accordingly, in CSF and gray matter, as noted above, the direction of water is equal in all directions (i.e., isotropic), and the value is close to 0. In contrast, in white matter, for example in the corpus callosum, the water is relatively free along the axons, but restricted perpendicular to the axons, and therefore more anisotropic, with FA being closer to 1. Thus in white matter, reduced FA is generally thought to reflect loss of white matter integrity that may reflect damage to myelin or axon membrane damage, or perhaps reduced axonal packing density, and/or reduced axonal coherence (see review in Kubicki et al. 2007).

Mean diffusivity (MD), the second most common measure (and proportional to the trace of the diffusion tensor), is

different from FA in that it is a measure of the *size* of the ellipsoid, rather than the *shape*, as is the case for FA. MD is similar to ADC, described above for DWI, but instead it is the average ADC along the three principal diffusion directions, where one axis is in the direction of the largest magnitude of the diffusion in the voxel, and the other two are perpendicular to the main diffusion direction. The main diffusion direction in white matter is referred to as the longitudinal or axial direction, while the other two directions are referred to as the radial or tangent axes. FA and MD are frequently observed as being inversely related. (For further descriptions of DTI and associated methods of analyses, the reader is referred to Pierpaoli and Basser 1996; Pierpaoli and Basser 1996; Smith et al. 2006; and the reviews in Ashwal et al. 2006; Fitzgerald and Crosson 2011; Hunter et al. 2011; Le and Gean 2009; Kou et al. 2010; and Niogi and Mukherjee 2010).

Figure 5, 6, and 7 depict the kind information that can be extracted from diffusion tensor images. For example, Figure 5 shows diffusion images that highlight white matter, along with colored maps that reflect the directions of the white matter fiber tracts in the brain. Figure 6 shows white matter tracts superimposed on structural images. Figure 7 shows an area identified as tumor in the frontal lobe, where white matter fiber tracts can be visualized in relation to the tumor and in relation to the frontal horn of the lateral ventricles. These figures reflect important, recent advances in methodology that are sufficiently robust and sensitive that they can be used for visualizing and quantifying white matter pathology *in vivo*, for the assessment of mTBI clinically. These tools are available now for this purpose and will be discussed further in the future directions section of this article.

DTI, however, is somewhat non-specific and it is not known whether disruptions in FA and MD are the result of disturbances in axonal membranes, myelin sheath, microtubules, neurofilaments, or other factors. More specific measures, which are being developed (see below), are needed to delineate further the biological meaning of alterations in white matter integrity (see review in Assaf and Pasternak 2008; Niogi and Mukherjee 2010).

While FA and MD are the two main dependent measures derived from DTI, there are other measures that have been developed, including Mode (Ennis and Kindlmann 2006), which defines more precisely the *shape* of the diffusion tensor (useful in distinguishing the anatomy of fiber tracts, including distinguishing fiber crossings from pathology). Other measures include Inter-Voxel Coherence (Pfefferbaum et al. 2000), which measures how similar anisotropic tensors are in neighboring voxels, useful in measuring anomalies in macroscopic axonal organization within the tract of interest, and Axial and Radial Diffusivity, which are purported to measure axonal and myelin pathology, respectively (Song et al. 2001; Song et al. 2003; Budde et al. 2007; Budde et al. 2011). These additional measures may provide more specific information regarding the microstructural abnormalities discerned using the sensitive, albeit less specific, measures of FA and MD.

Finally, another relatively new post-processing method is fiber tractography, which was developed to visualize and to quantify white matter fiber bundles in the brain (e.g., Conturo et al. 1999; Mori et al. 1999; Basser et al. 2000). This method makes it possible to follow fiber tracts along a diffusion direction in very small steps so as to create long fiber tracts that connect distant brain regions. The accuracy of fiber tractography is dependent upon a number of factors including image resolution, noise, image distortions and partial volume effects that result from multiple tracts crossing in a single voxel. The main advantage of DTI tractography, from a clinical research perspective, is that the whole fiber bundle, instead of just a portion of the fiber bundle, can be evaluated. DTI tractography is thus a promising tool that can be used not only to understand how specific brain regions are connected and where damage occurs along fiber bundles, but it can also be used to understand how this connectivity may be relevant to functional abnormalities. Further, tractography methods can be used to both visualize and to quantify white matter fiber bundle damage in a single case and thus these methods are potentially important for diagnosing mTBI based on radiological evidence.

Importantly, many of the measures described above are just beginning to be applied to investigate brain injuries in

Fig. 5 Diffusion tensor images acquired on a 3 T magnet. Left: fractional anisotropy (FA) map. White areas are areas of high anisotropy. Right: color by orientation map. Diffusion in the left-right direction is shown in red, diffusion in the superior-inferior direction is shown in blue, and diffusion in the anterior-posterior direction is shown in green

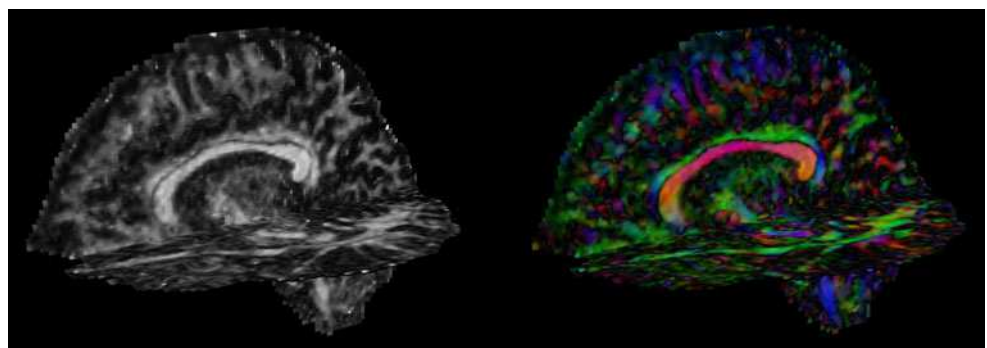


Fig. 6 Fiber tractography of commonly damaged tracts in mild traumatic brain injury, including: **a** the anterior corona radiata and the genu of corpus callosum, **b** the uncinate fasciculus, **c** the cingulum bundle in green and the body of corpus callosum in red, and **d** the inferior longitudinal fasciculus (Niogi and Mukherjee 2010; reprinted with permission Wolters Kluwer Health / Lippincott Williams & Wilkins)

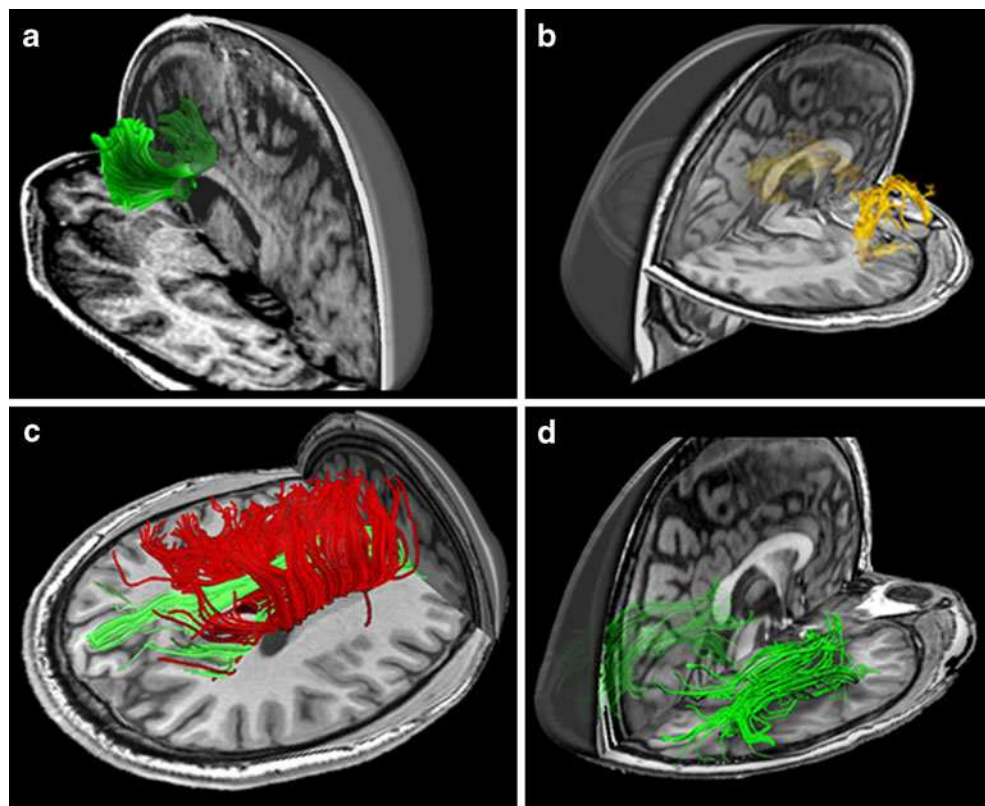
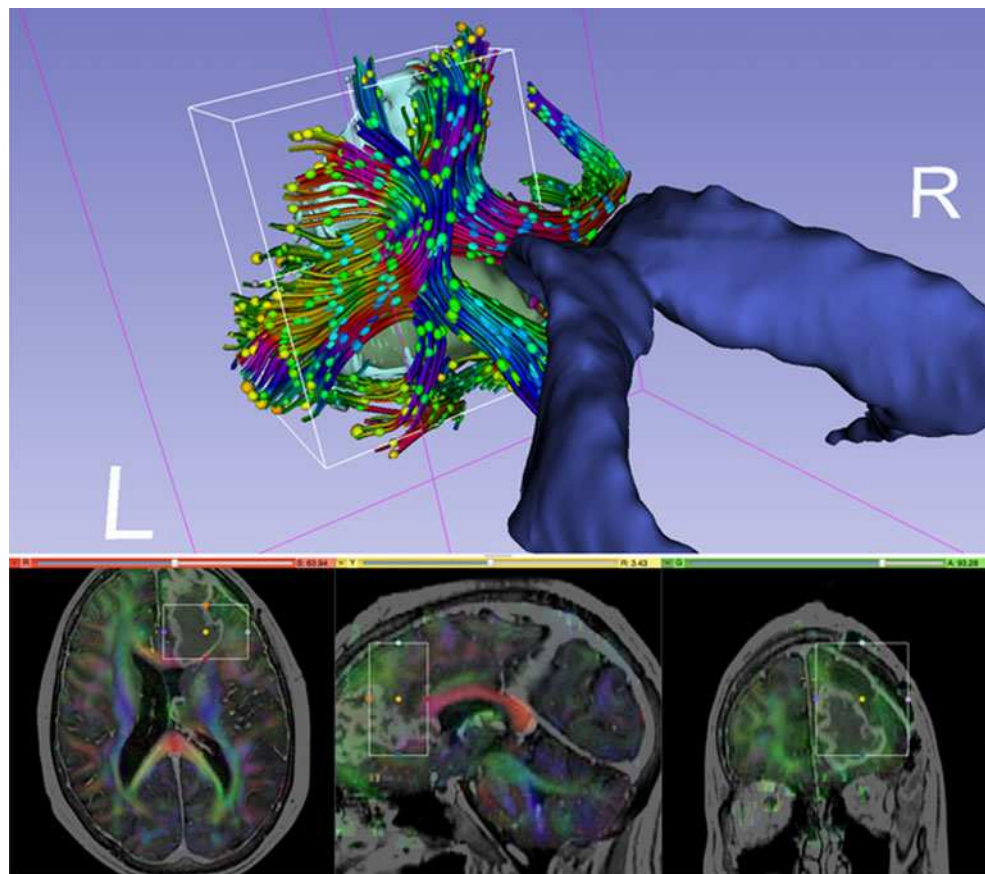


Fig. 7 Diffusion MRI data for neurosurgical planning. The tractography region of interest (ROI) is a box placed around the tumor (in green) in the frontal lobe. The ROI is also visualized with rectangles in the slice views below. Tracts are then created based on the principal diffusion directions, which are color-coded (bottom). Diffusion ellipsoids are shown along the tract to visualize the shape of the local diffusion



mTBI and thus this area is a relatively new frontier for exploration. The application of DTI, and the measures derived from DTI, will likely contribute enormously to our understanding of the nature and dynamics of brain injuries in mTBI.

Review of MRI findings in mTBI

Much of the work with MRI has been to investigate the higher sensitivity of MRI, compared with CT, for detecting brain abnormalities in mTBI (see previous discussion). Less attention has been given to investigating morphometric abnormalities in mTBI using area, cortical thickness, and/or volume measures. Table 2 lists studies, by first author and year, which have examined aspects of morphometric abnormalities in patients with mTBI. Most of these studies, however, include a range of TBI, from mild to severe (e.g., Anderson et al. 1995; Anderson et al. 1996; Bergeson et al. 2004; Bigler et al. 1997; Ding et al. 2008; Fujiwara et al. 2008; Gale et al. 2005; Levine et al. 2008; Mackenzie et al. 2002; Schonberger et al. 2009; Strangman et al. 2010; Tate and Bigler 2000; Trivedi et al. 2007; Warner et al. 2010a; b; Wilde et al. 2004; Wilde et al. 2006; Yount et al. 2002), with only a small number of studies that investigate morphometric abnormalities specifically in mTBI (e.g., Cohen et al. 2007; Holli et al. 2010). Additionally, while most of the studies listed in Table 2 categorize severity of TBI (i.e., mild, moderate, or severe) based on scores derived from the Glasgow Coma Scale (GCS; Teasdale and Jennett 1974), one study defines severity by posttraumatic amnesia (PTA) duration (Himanen et al. 2005).

The time of scan post-injury has also varied considerably from study to study with the least amount of time being a median of one day (Warner et al., 2010), up to a mean of 30 years (Himanen et al. 2005), with one study that did not report time of scan post-injury (Yurgelun-Todd et al. 2011). Additionally, most of these studies were performed using a 1.5 T magnet, with only a small number performed using a 3 T magnet (e.g., Ding et al. 2008; Trivedi et al. 2007; Warner et al. 2010a; b; Yurgelun-Todd et al. 2011). There are also different methods used to evaluate brain injuries, ranging from manual and automated measures of lesion volume (e.g., Cohen et al. 2007; Ding et al. 2008; Schonberger et al. 2009), to volume analysis (e.g., Anderson et al. 1995; Anderson et al. 1996; Bergeson et al. 2004; Bigler et al. 1997; Ding et al. 2008; Gale et al. 1995; Himanen et al. 2005; Mackenzie et al. 2002; Schonberger et al. 2009; Strangman et al. 2010; Tate and Bigler 2000; Trivedi et al. 2007; Warner et al. 2010a; b; Wilde et al. 2004; Wilde et al. 2006; Yount et al. 2002), to voxel-based-morphometry (VBM; Gale et al. 2005), to texture analysis (Holli et al. 2010a and b), to semi-automated brain region extraction

based template (SABRE) analysis (Fujiwara et al. 2008; Levine et al. 2008), to the use of FreeSurfer for volumetric analysis of multiple brain regions (e.g., Strangman et al. 2010; Warner et al. 2010a; b; Yurgelun-Todd et al. 2011).

With all the differences among the studies, the most important take home message is that MRI can be used to detect brain abnormalities in patients with TBI. It is also not surprising that the injuries that are most apparent are observed in more moderate and severe cases of TBI. Further, the volume of lesions can be detected, although whether or not these lesions are in frontal or non-frontal regions does not seem to differentiate between groups on measures of cognitive function (Anderson et al. 1995). Mild TBI patients, nonetheless, evince MR lesions in 30% of a sample of 20 patients (Cohen et al. 2007), and in one study, functional outcome was correlated with lesion volume and cerebral atrophy, although this study did not analyze, separately, mild, moderate, and severe cases of TBI (Ding et al. 2008).

Overall brain volume reduction (atrophy) also seems to be a common finding in what are likely to be more severe patients (e.g., Cohen et al. 2007; Ding et al. 2008; Gale et al. 1995; Gale et al. 2005; Levine et al. 2008; Mackenzie et al. 2002; Trivedi et al. 2007; Warner et al. 2010a; Yount et al. 2002), and there are also volume reductions noted in overall gray matter (e.g., Cohen et al. 2007; Ding et al. 2008; Fujiwara et al. 2008; Schonberger et al. 2009; Trivedi et al. 2007), with a finding also of gray matter volume reduction in the frontal lobe (e.g., Fujiwara et al. 2008; Strangman et al. 2010; Yurgelun-Todd et al. 2011), and in frontal and temporal lobes in some cases (e.g., Bergeson et al. 2004; Gale et al. 2005; Levine et al. 2008). Additionally, Bergeson et al. (2004) reported a correlation between frontal and temporal lobe atrophy and deficits in memory and executive function in patients with a range of severity from mild, to severe (GCS; 3–14).

Overall reduction in white matter has also been reported (e.g., Ding et al. 2008; Levine et al. 2008; Schonberger et al. 2009), as well as white matter reduction at the level of the mesencephalon, corona radiata, centrum semiovale (Holli et al. 2010a and b), and corpus callosum (Holli et al. 2010a and b; Warner et al. 2010a; Yount et al. 2002). Ding and coworkers noted that the changes in white and gray matter over time were correlated with acute diffuse axonal injuries and the latter predicted post-injury cerebral atrophy.

More specific reductions in volume in brain regions have also been observed, including in the hippocampus (Bigler et al. 1997; Himanen et al. 2005; Strangman et al. 2010; Tate and Bigler 2000; Warner et al. 2010a), amygdala (e.g., Warner et al. 2010a; b), fornix (Gale et al. 1995; Tate and Bigler 2000), thalamus (e.g., Strangman et al. 2010; Warner et al. 2010a; Yount et al. 2002), regions involving the cingulate gyrus (e.g., Gale et al. 2005; Levine et al. 2008;

Table 2 MR morphometry studies

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Anderson	1995	Subacute. ≥ 6 weeks.	Not Specified	Patients: 68 TBI patients (49 M, 19 F), [GCS 3-15]; 38 with frontal lesions and 29 with no frontal lesions. Controls: None.	Volumetric Analysis.	<ul style="list-style-type: none"> - No significant differences were observed between the two groups on lesion size and ventricle to brain ratio (VBR). - No significant differences were observed between groups on tests of neuropsychological functioning. - Patients had decreased fornix-to-brain ratio, total brain volume, CC volume; and increased temporal horn volume, ventricular volume, ventricle-to-brain ratio, and interpeduncular cistern volume. - Fornix-to-brain ratio correlated with multiple neuropsychological measures. - Cerebral peduncle and CC volume correlated with neuropsychological tests of motor function. - GCS was correlated with fornix-to-brain ratio, internal capsule volume, brain volume, and cerebral peduncle volume.
Gale	1995	Chronic. Average 21 months.	1.5 T	Patients: 88 TBI patients (51 M, 37 F; mean age 28.5), [GCS 3-15], 36 mTBI [GCS 11-15], 22 moderate TBI [GCS 7-10], 29 severe TBI [GCS 3-6]. Controls: 73 controls (36 M, 37 F; mean age 31).	Volumetric Analysis.	<ul style="list-style-type: none"> - Subjects with lesions had significantly smaller thalami, higher VBR, and lower GCS. - More severe injuries (GCS<9) had significantly smaller thalamic volumes and greater VBR than less severely injured subjects. - VBR was negatively correlated with GCS and thalamic volume, and positively correlated with lesion volume. - GCS was negatively correlated with lesion volume, and positively correlated with thalamic volume. - Patients relative to controls showed reduced hippocampal volume and
Anderson	1996	Subacute and Chronic. ≥ 6 weeks.	Not Specified	Patients: 63 TBI patients (45 M, 18 F); 35 with lesions (26 M, 9 F; mean age 29.43), 28 without lesions (19 M, 9 F; mean age 31.33). Controls: 33 controls (26 M, 9 F; mean age 29.24).	Volumetric Analysis	<ul style="list-style-type: none"> - Patients relative to controls showed reduced hippocampal volume and
Bigler	1997	Subacute and Chronic. 44 scans ≤100 days post-injury, 55 scans >100 days post-injury.	1.5	Patients: 94 TBI patients (59 M and 35 F; mean age 27) [GCS 3-15].	Volumetric Analysis.	<ul style="list-style-type: none"> - Patients relative to controls showed reduced hippocampal volume and

Table 2 (continued)

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Tate	2000	Subacute and Chronic. ≥ 2 months.	1.5 T	Controls: 96 controls (37 M, 59 F; mean age 31). Patients: 86 TBI patients (58 M, 28 F; mean age 30.9), [GCS 3–15].	Volumetric Analysis.	temporal horn enlargement. These measures correlated with cognitive outcome. - In patients >70 days post-injury, temporal horn volume correlated with IQ, and hippocampal volume correlated with verbal memory function. - Decreased fornix and hippocampal volume in patients versus controls - Fornix and hippocampal volumes correlated with degree of injury severity in patients. - Hippocampal volume correlated with memory functioning. - Brain volumes, CSF volumes, and % Volume of Brain Parenchyma (VBP) were not significantly different between patients and controls at the single time point.
MacKenzie	2002	Subacute and Chronic. 14 patients at >3 months after injury, 7 patients at 2 time points >3 months apart.	1.5 T	Patients: 14 patients (mean age 36.1) [GCS 9–15]; 11 with mTBI [GCS 13–15], and 3 with moderate TBI [GCS 9–12]. Controls: 10 controls (mean age 34.9) underwent one MR session, and 4/10 underwent 2 sessions > 3 months apart.	Volumetric Analysis.	- Rate of decline in %VBP and change in %VBP between the first and second time points were significantly greater in patients. - Change in %VBP was greater in patients with loss of consciousness than in those without.
Yount	2002	Chronic. Average 22.8 months.	1.5 T	Patients: 27 patients (18 M, 9 F; mean age 26) with TBI, [GCS 4–14]. No focal lesions or infarctions on MRI. Controls: 12 age and gender-matched controls.	Volumetric Analysis.	- Patients had significant atrophy, primarily in the posterior cingulate gyrus, and degree of atrophy was correlated with severity of injury. - Patients also had reduced CC and thalamic cross-sectional surface areas, with associated increased lateral ventricular volume, as well as reduced brain volume and increased ventricle-to-brain ratio. - Neuropsychological performance was not related to changes in

Table 2 (continued)

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Bergeson	2004	Subacute and Chronic. ≥ 90 days.	1.5 T	Patients: 75 TBI patients (50 M, 25 F; mean age 32.9) [GCS 3–14]. Controls: 75 controls (50 M, 25 F; mean age 31.4).	Volumetric Analysis.	<ul style="list-style-type: none"> cingulate gyrus cross-sectional surface area in the TBI patients. Patients had significantly increased atrophy in frontal and temporal lobes compared to controls. Atrophy in frontal and temporal lobes correlated with deficits in memory and executive function. Increased general brain atrophy was observed in patients with a positive BAL and/or a history of moderate to heavy alcohol use.
Wilde	2004	Subacute and Chronic. ≥ 90 days.	1.5 T	Patients: 77 patients [GCS 3–15]; 25 TBI and positive blood alcohol level (BAL) and 52 TBI with negative BAL. Controls: None.	Volumetric Analysis.	<ul style="list-style-type: none"> Increased general brain atrophy was observed in patients with a positive BAL and/or a history of moderate to heavy alcohol use.
Gale	2005	Chronic. Approximately 1 year (mean 10.6 months).	1.5 T	Patients: 9 patients with a history of TBI (8 M, 1 F; mean age 29.1), [GCS 5–15]. Controls: 9 controls: (8 M, 1 F; mean age 28.8).	Voxel Based Morphometry.	<ul style="list-style-type: none"> Patients showed reduced gray matter concentration in frontal and temporal cortices, cingulate gyrus, subcortical gray matter, and in the cerebellum. Decreased gray matter concentration was also correlated with lower scores on tests of attention and lower GCS.
Himanen	2005	Chronic. Average 30 years.	1.5 T	Patients: 61 patients (41 M, 20 F; mean age at injury 29.4); 17 mTBI [post-traumatic amnesia (PTA) <1 hr], 12 moderate TBI [PTA 1–24 hrs], 11 severe [PTA 1–7 days], 21 very severe [PTA >7 days] [GCS not reported]. Controls: None.	Volumetric Analysis.	<ul style="list-style-type: none"> Reduced hippocampal and increased lateral ventricular volumes were significantly associated with impaired memory functions, memory complaints, and executive functions. Volume of the lateral ventricles was the best predictor of cognitive outcome. There was also a modest relationship between severity of injury and cognitive performance.
Wilde	2006	Subacute and Chronic. ≥ 90 days.	1.5 T	Patients: 60 patients with severe-to-mild [GCS 3–15] TBI (38 M, 22 F; mean age 28.6). Controls: None.	Volumetric Analysis.	<ul style="list-style-type: none"> Longer Post-Traumatic Amnesia (PTA) duration predicted increased brain atrophy (ventricle to brain ratio). 6 % increase in the odds of developing later atrophy with each additional day of PTA.

Table 2 (continued)

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Cohen	2007	Acute to Chronic. 7 patients within 9 days, 13 patients from 1.2 months to 31.5 (average 4.6 years).	1.5 T	Patients: 20 mTBI patients (11 M, 9 F; median age 35), [GCS 13–15]. Controls: 19 controls (11 M, 8 F; median age 39).	Lesion Detection and Volumetric Analysis.	<ul style="list-style-type: none"> - MR imaging-visible lesions were seen in 30 % of patients. - The most common lesions were punctate foci and gliosis distant from the trauma site, known to be associated with axonal injury and gliosis in regions susceptible to sheering injury. - Patients exhibited increased global and gray matter atrophy. - Brain atrophy did not differentiate patients with and without lesions. - Patients had a greater decline in % brain volume change (%BVC) compared to controls. - Greater %BVC correlated with longer duration of coma post-injury.
Trivedi	2007	Subacute. Approximately 79 days; rescanned at approximately 409 days.	3 T	Patients: 37 TBI patients (27 M, 9 F, 1 N/A; mean age 29.3); 11 mTBI [GCS ≥ 13], 10 moderate TBI [GCS 9–12], 16 severe TBI [GCS ≤ 8]. Controls: 30 controls (13 M, 7 F; mean age 24.5).	Volumetric Analysis.	<ul style="list-style-type: none"> - Change in whole brain, white matter, and gray matter volumes, correlated with acute FLAIR Lesions volume. - Volume of acute FLAIR lesions correlated with volume of decreased FLAIR signal in the follow-up scans. - Functional outcome correlated with acute FLAIR lesion volume and chronic cerebral atrophy. - FLAIR lesions imaging were strongly predictive of post-traumatic cerebral atrophy.
Ding	2008	Acute and Chronic. (≤ 1 month) and (≥ 6 months).	3 T	Patients: 20 patients with TBI (13 M, 7 F; mean age 26); 4 complicated-mild TBI [GCS ≥ 13], 4 moderate TBI [GCS 9– 12]; and 12 severe TBI [GCS ≤ 8]. Controls: 20 controls (13 M, 7 F; mean age 28)	FLAIR Lesion Volume and Volumetric Analysis.	<ul style="list-style-type: none"> - There were patterns of regional volume loss associated with test performance across all three behavioral measures. The tasks were sensitive to effects of TBI.
Fujiwara	2008	Chronic. Approximately 1 year.	1.5 T	Patients: 58 TBI patients underwent MRI; 12 mild [GCS 13–15], 27 moderate [GCS 9–12], and 19 se- vere [GCS 3–8]. 18 patients with focal cortical contusions and 40 with diffuse injury only. Controls: 25 controls; behavioral testing only.	Semi-Automated Segmentation (SABRE).	<ul style="list-style-type: none"> - Performance in the Smell Identification Test (SIT), Object Alternation (OA), and the Iowa Gambling Task (IGT) was correlated with gray matter loss including

Table 2 (continued)

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Levine	2008	Chronic. ≥ 1 year.	1.5 T	Patients: 69 TBI patients; 13 mild [mean GCS 14.6], 30 moderate [mean GCS 11.1], 26 severe [mean GCS 6.7]. Controls: 12 age- and sex-matched controls.	Semi-Automated Segmentation (SABRE).	<p>ventral frontal cortex. SIT was the most sensitive to ventral frontal cortex damage, even in patients without focal lesions.</p> <ul style="list-style-type: none"> - The SIT was further related to temporal lobe and posterior cingulate/retrosplenial volumes. - OA and the IGT were associated with superior medial frontal volumes. - Stepwise dose-response relationship between parenchymal volume loss and TBI severity. - Patients with moderate and severe TBI were differentiated based on brain volume pattern from those with mild TBI, who were in turn differentiated from non-injured control subjects. - Volume loss correlated with TBI severity, particularly widespread in white matter and sulcal/subdural CSF. - The most reliable effects were observed in the frontal, temporal, and cingulate regions, although effects were observed to varying degrees in most brain regions. - Focal lesions were associated with greater volume loss in frontal and temporal regions compared to subjects without focal lesions. - Significantly reduced frontal and temporal volume loss seen in patients with diffuse injury compared to controls. - Older age and longer post traumatic amnesia (PTA) correlated with larger lesion volumes in both gray and white matter in almost all brain regions. - Older age was correlated with smaller gray matter volumes in most
Schonberger	2009	Chronic. Average 2.3 years.	1.5 T	Patients: 99 patients with mild to severe TBI (74 M, 24 F; mean age 34.5), [GCS 3–15]. Controls: None.	Lesion Volume, Automated Segmentation and Volumetric Analysis (SPM5).	

Table 2 (continued)

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Holli	2010(a)	Acute. ≤ 3 weeks.	1.5 T	Patients: 42 mTBI patients (17 M, 25 F; mean age 38), [GCS 13–15]. All patients had normal clinical CT and MRI. Controls: 10 controls (4 M, 6 F; mean age 39.8).	Texture analysis (MaZda).	neo-cortical brain regions, while longer PTA was associated with smaller white matter volumes in most brain regions. - Concluded that older age worsens the impact of brain injury; PTA is a good measure of severity but is not related to the particular kind of injury. - Significant differences were found in texture between the left and right side of mesencephalon, in the white matter of the corona radiata, and in portions of corpus callosum in patients. - Controls were less asymmetrical in texture than patients.
Strangman	2010	Chronic. Average 11.5 years.	1.5 T	Patients 50 TBI patients (36 M, 14 F; mean age 47.2) with TBI with reported memory difficulties; 12 mild [LOC ≤30 min, or GCS 13–15], 12 moderate [LOC 30 min–24 hr or GCS 9–12], 24 severe [LOC >24 hr or GCS <9], 2 n/a. Controls: None.	Automated Segmentation and Volumetric Analysis (FreeSurfer).	- Volume reduction in the hippocampus, lateral prefrontal cortex, thalamus, and several subregions of the cingulate cortex predicted rehabilitation outcome.
Warner	2010a	Acute. Initial median 1 day post-injury. Follow-up at median 7.9 months post-injury.	3 T	Patients: 25 patients with diffuse traumatic axonal injury (18 M, 7 F; mean age 26.8), [GCS 3–15]. Controls: 22 Controls (14 M, 8 F, mean age 32.4).	Automated Segmentation and Volumetric Analysis (FreeSurfer).	- Patients showed major global brain atrophy with a mean whole-brain parenchymal volume loss of 4.5 %. - In patients significantly decreased volume was observed in the amygdala, hippocampus, thalamus, CC, putamen, precuneus, postcentral gyrus, paracentral lobule, and parietal and frontal cortices. - Caudate and inferior temporal cortex did not show atrophy in patients. - Loss of whole-brain parenchymal volume, atrophy of the inferior parietal cortex, pars orbitalis, pericalcarine cortex, and

Table 2 (continued)

First Author	Year	Time Post-Injury	Magnet	Subjects	Analysis Method	Main Findings
Warner	2010b	Acute. Initial ≤ 1 week post-injury. Follow-up at 4–16 months post-injury.	3 T	Patients: 24 patients with diffuse traumatic axonal injury (16 M, 8 F; mean age 27.2) [mean GCS 6.4; range not reported]. Controls: None.	Automated Segmentation and Volumetric Analysis (FreeSurfer).	supramarginal gyrus predicted long-term disability. - Bilateral thalamus volumes correlated with processing speed. - Amygdala, hippocampal, and a range of cortical region volumes were correlated with learning and memory performance. - Thalamus, superior frontal, superior parietal, and precuneus cortices correlated with executive function. - Subcortical and cortical brain volumes were associated with learning and memory and processing speed, but not executive function.
Yurgelun-Todd	2011	Not Reported.	3 T	Patients: 15 patients with one or more TBI (all M; mean age 34.9); 14 with at least one mild TBI; 1 with moderate/severe TBI. [GCS not reported; (mild, moderate and severe according to The Ohio State University—TBI Identification Method (OSU-TBI)]. 14 subjects were normal on a clinical MRI. Controls 17 controls (all M; mean age 34.0).	Automated Segmentation and Volumetric Analysis (FreeSurfer).	- Patients exhibited lower right, left and total frontal lobe volume than patients. - Right and total cingulum FA correlated with suicidal ideation and impulsivity.

Key: M male, F female, GCS Glasgow Coma Scale, CC Corpus Callosum. Acute defined as under 1 month, subacute is defined as >1 month but <6 months, and chronic is defined as >6 months

Strangman et al. 2010; Yount et al. 2002), as well as increased lateral ventricles, temporal horns of the lateral ventricles, and/or ventricular brain ratio (e.g., Anderson et al. 1995; Bigler et al. 1997; Gale et al. 1995; Himanen et al. 2005; Wilde et al. 2006; Yount et al. 2002). Reduced volume in subcortical gray matter regions has also been reported (Gale et al. 2005), as has reduced volume in the putamen, precuneus, post-central gyrus, paracentral lobule, parietal cortex, pericalcarine cortex, and supramarginal gyrus (Warner et al. 2010a).

Taken together, these findings suggest that morphometric brain abnormalities are observed in patients with TBI, although many studies did not separate mTBI from moderate and severe TBI. Moreover, in addition to combining mild TBI with moderate and severe cases, the differences among the studies reviewed make the interpretations of findings difficult, and have led to a sponsored work group meeting in 2009, entitled “the Common Data Elements Neuroimaging Working Group.” This work group was established to make recommendations for “common data elements” that will likely be useful for characterizing “radiological features and definitions,” which are critically needed to characterize TBI (Duhaime et al. 2010). This work group was sponsored by multiple national healthcare agencies, including the Defense Centers of Excellence (DCOE), The National Institute of Neurological Diseases and Stroke (NINDS), The National Institute on Disability and Rehabilitation Research (NIDRR), and the Veterans Administration (VA). This work group was also charged with making recommendations for radiological image acquisition parameters that should be standardized in the quest for delineating brain injuries in TBI, particularly given that different imaging acquisition parameters have been used for different applications, as well as for different research studies. Further, if radiological imaging is to be used as surrogate endpoints for evaluating treatment in clinical trials, then some type of standardization of the image acquisition parameters is an important consideration (Duhaime et al. 2010; Haacke et al. 2010).

Haacke et al. (2010) also notes that brain imaging, particularly using more advanced imaging techniques, affords an important and unique opportunity to visualize and to quantify brain injuries in TBI, which is particularly useful in what he describes as the 90 % of cases that are categorized as mild. He and his coworkers note that a systematic characterization of brain injuries in TBI will likely lead to increased predictive power in the area of clinical trials and clinical interventions. The new methods that Haacke and coworkers describe (2010) include, DTI, SWI, MRS, SPECT, PET, Magnetoencephalography, and Transcranial Doppler. Haacke et al. (2010) also discuss the importance of combining techniques in the same subjects, such as PET and fMRI.

Selecting optimal protocols has been the focus of investigation in other disorders such as Alzheimer’s disease (e.g.,

Leung et al. 2010) and schizophrenia (e.g., Zou et al. 2005). One has to keep in mind, however, that for multi-center studies, not all centers have the most up to date, state-of-the-art imaging, and for this reason some compromises need to be made to acquire the best imaging data possible across centers, with a focus on more state-of-the-art and experimental protocols being more possible at research centers. Nonetheless, the points raised by this working group (Duhaime et al. 2010; Haacke et al. 2010) are important and there is much room for improvement in the kind of imaging data and analyses performed in the investigation of TBI. For mTBI this becomes even more crucial as subtle, small changes are unlikely to be detected using more gross radiological measures of brain pathology. Below, we review findings from diffusion imaging studies of mTBI, an important technology for characterizing diffuse axonal and focal axonal injuries, and which is among the most promising imaging tools for revealing subtle, small areas of brain injury in mTBI.

Review of DTI findings in mTBI

DTI is a sensitive measure of axonal injury that is particularly important for evaluating small and subtle brain alterations that are characteristic of most mTBI. DTI will also likely become an important diagnostic tool for individual cases of mTBI, particularly where MR and CT are negative. With respect to the latter, DTI can depict multifocal and diffuse axonal injuries in individual cases of mTBI. Normative atlases of DTI derived measures that depict anatomical variation in healthy controls can also be created so that individual cases may then be compared with an atlas in order to discern the pattern of pathology in an individual case (e.g., Bouix et al. 2011; Pasternak et al. 2010). We will return to the use of atlases in the section on future directions of research, which follows.

Below we review DTI findings in mTBI. Table 3 lists those studies that focus on mTBI only, or that include other categories such as moderate and severe TBI, but nonetheless conduct statistical analyses separately for the mTBI group. Table 4, on the other hand, includes those studies that do not separate findings in mild TBI from moderate and severe TBI, making findings from these studies more similar to many of the findings reported for morphometry measures in Table 2, where mild, moderate, and severe TBI were often not analyzed separately.

Arfanakis and coworkers (2002) were the first to use DTI to investigate diffuse axonal injuries in mTBI. They investigated FA and MD in anterior and posterior corpus callosum, external capsule, and anterior and posterior internal capsule in 5 patients with acute mTBI (within 24 h of injury) and 10 controls. These brain regions were selected as likely

showing damage based on post-mortem findings. Results showed no mean diffusivity (MD) differences between mTBI patients and controls. Group differences were, however, observed for the corpus callosum and the internal capsule, where fractional anisotropy (FA) was reduced in the mTBI group compared with controls. Importantly, the latter findings are consistent with histopathology findings in mTBI patients who died from other causes (e.g., Adams et al. 1989; Bigler 2004; Blumbergs et al. 1994; Oppenheimer 1968). These investigators concluded that DTI is an important early indicator of brain injury in mTBI and has the potential for being an important prognostic indicator of later injury. These investigators were also prescient in noting that longitudinal studies are needed to understand the dynamic nature of mTBI and how it may reflect changes in brain alterations over time. They did not, however, include a follow up scan of subjects in their study.

Inglese and coworkers (2005) used DTI measures to investigate both acute ($n=20$ mTBI mean of 4 days post-injury) and chronic mTBI patients ($n=26$ mTBI, mean of 5.7 years post-injury) compared with controls ($n=29$). These investigators used FA and MD measures of diffusion to detect abnormalities in the centrum semiovale, the corpus callosum, and the internal capsule. They reported FA reduction in all three structures in mTBI compared with controls, as well as increased MD in the splenium of the corpus callosum, which was higher in the acute sample, but lower in the posterior limb of the internal capsule compared to the chronic sample. These findings are similar to the Arfanakis et al. (2002) study, although these investigators also included patients with chronic TBI. These findings also highlight the importance of detecting brain alterations that change over the course of brain injury.

Miles et al. (2008) also investigated mTBI patients on average 4 days post-injury and again at 6 months follow up on neuropsychological measures (only). They reported increased MD and reduced FA in the centrum semiovale, genu and splenium of the corpus callosum, and in the posterior limb of the internal capsule in mTBI compared with controls. Moreover, 41% of mTBI cases evinced cognitive impairments at baseline, and 33% at follow up 6 months later. More specifically, while there was no correlation between baseline measures of MD/FA and cognitive measures, baseline MD and FA correlated significantly with cognitive measures at follow up, with FA predicting executive function, and a trend for MD to be associated with reaction time. These findings suggest that there may be predictors of cognitive function from baseline measures of impairment as measured on DTI, even when there are no correlations between these measures and cognitive measures at baseline. These investigators also did not include follow up DTI scans at 6 months, only cognitive follow up.

Other investigators examining acute and subacute time periods report similar findings. For example, one study of

mild and moderate TBI was conducted within 5 to 14 days post-injury in patients who had positive findings on clinical CT. TBI patients showed reduced FA in the genu of the corpus callosum (mTBI only) and increased radial diffusivity (RD) in the genu of the corpus callosum in both mild and moderate TBI (Kumar et al. 2009). Matsushita and coworkers (2011) investigated both mild and moderate TBI patients with a median of 3.5 days post-injury. They reported decreased FA in the splenium of the corpus callosum in mTBI compared with controls. Other parts of the corpus showed reduced FA in the moderate group including genu, stem, and splenium. These findings suggest more abnormalities in the moderate TBI group.

Bazarian and coworkers (2007) acquired DTI data from mTBI patients within 72 h of brain trauma and they included a measure of postconcussive symptoms and quality of life assessments. They repeated these assessments 1 month later. Decreased trace was reported particularly in the left anterior internal capsule, and *increased* median FA was reported in the posterior corpus callosum in mTBI compared with controls. Of note, trace measures correlated with postconcussive scores at 72 h and at 1 month post-injury and FA values correlated with 72 h postconcussive score as well as with a test of visual motor speed and impulse control. All subjects evinced normal findings at time of injury on conventional CT, suggesting that normal findings on conventional CT and MRI are inadequate for characterizing the kind of injury observed in mTBI.

The findings of lower trace and *increased* FA, however, are more perplexing as the expectation is that if there is damage to the integrity of white matter then FA will be reduced, and MD likely increased, and this is often reported (see Table 3 and 4). An increase in FA and a decrease in MD may indicate axonal swelling that occurs early in the course of injury and may correlate with poor clinical outcome. Bazarian and coworkers (2007) have also suggested this possibility.

Bazarian and coworkers (2011), in another study that involved one athlete with a concussion and an additional 8 athletes with multiple (26–399) subconcussive blows to the head, reported FA and MD changes that were greatest in the one concussed athlete, intermediate in the subconcussive group, and lowest for controls. Of note, they observed that both FA and MD changed in both directions, i.e., increases and decreases were observed. Kou and coworkers (2010) suggest that increased MD and decreased FA may indicate vasogenic edema, which will likely resolve over time, whereas increased FA and reduced MD may indicate cytotoxic edema, reflected by axonal swelling and more restricted diffusion of water, thereby explaining the increase in FA and decrease in MD. Thus increased FA and associated decreased MD, early in the course of brain injury, may indicate a poor prognosis and hence identifying this group

Table 3 DTI studies in mild TBI

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Arfanakis	2002	Acute. (24 hrs). In 2 patients, baseline compared with DTI at 1 month follow-up.	1.5 T	Patients: 5 patients (3 M, 2 F; mean age 35.6) [GCS 13–15]. Conventional CT normal. Controls: 10 controls (5 M, 5 F; mean age 28.9 years).	Analysis and Methods: ROI Analysis. Dependent Measures: Trace, FA and LI. (Lattice Index).	5 ROI structures with selected volumes (i.e., representative only), in the left and right hemispheres: Anterior and posterior CC, external capsule, anterior and posterior internal capsule.	<ul style="list-style-type: none"> - Patients but not controls showed FA differences for ROIs between homologous hemispheres. - Reduced FA in patients in the internal capsule and CC compared to controls. - No trace differences reported. - At follow-up two patients showed improvement in some but not all areas.
Inglese	2005	Acute and Chronic. In 20 of the patients, imaging was performed at a mean of 4.05 days post-injury. In the remaining 26, imaging was performed a mean of 5.7 years post-injury.	1.5 T	Patients: 46 patients (29 M, 17 F; mean age 36) [GCS 13–15]. Conventional MRI showed contusions in 5 patients and hematomas in 3. Controls: 29 age- and sex-matched controls (15 M, 14 F; mean age 35). Negative findings on conventional MRI.	Analysis and Methods: Whole-Brain Histogram Analysis and ROI Analysis. Dependent Measures: FA and MD.	3 ROI structures with selected voxels (i.e., representative, only): the centrum semiovale, CC, and internal capsule.	<ul style="list-style-type: none"> - No differences between groups using histogram-derived measures. - Patients showed reduced FA in the CC, internal capsule, and centrum semiovale, and increased MD in the CC and internal capsule, compared to controls. - MD in splenium of CC was higher in patients scanned earlier but lower in the posterior limb of the internal capsule, compared to patients scanned later.
Bazarian	2007	Acute. 72 hours: post-concussive (PCS) and neurobehavioral testing. At 1 month: quality of life and PCS assessments ($n=11$).	3 T	Patients: 6 subjects [GCS 13–15]. Conventional CT normal. Controls: 6 age- and sex-matched orthopedic controls; Conventional CT normal. Subjects (patients and controls) included 8 M and 4 F; aged 18–31.	Analysis and Methods: 2 types of whole brain analyses performed: VBM and a novel, quantile analysis. <i>ROI analysis:</i> Regions of interest were also analyzed using a quantile approach. Dependent Measures: FA, Trace, PCS, neurobehavioral battery, and quality of life assessments.	1) Whole brain analysis. 2) 5 ROIs were selected and manually outlined in native space: anterior internal capsule, posterior internal capsule, anterior CC, posterior CC, and external capsule.	<ul style="list-style-type: none"> - Whole brain analysis showed lower 1st percentile trace values in patients compared with controls. - Trace from whole brain analysis correlated with PCS scores at 72 hrs and at 1 month post-injury. - Trace from whole brain analysis was lower in the left anterior internal capsule, and showed higher median FA values in the posterior CC in patients compared with controls.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Kraus	2007	Chronic. ≥ 6 months out from injury; average 107 months for all TBI subjects.	3 T	Patients: 37 TBI patients; 20 mTBI (8 M, 12 F; mean age 35.85) [LOC <30 min], 17 moderate to severe TBI (8 M, 9 F; mean age 34.88) [LOC >30 min and/or GCS <13], 5 in each TBI group with other associated trauma. Controls: 18 controls (7 M, 11 F; mean age 32.83).	Analysis and Methods: ROI analysis. ROIs drawn on standardized space, FA maps. Dependent Measures: FA, RD, AD, white matter load defined as total number of regions with reduced FA. Neurocognitive measures relevant to attention, memory, and executive function.	13 ROI structures: anterior and posterior corona radiata, cortico-spinal tracts, cingulum fiber bundles, external capsule, forceps minor and major, genu, body and splenium of the CC, inferior fronto- occipital fasciculus, superior longitudinal fasciculus and sagittal stratum.	<ul style="list-style-type: none"> - FA values correlated with 72-h PCS score, visual motor speed, and impulse control. - Findings suggest lower trace and increased FA may indicate axonal swelling early in the course of axonal injury, which correlates poorly with clinical outcome. - FA decreases were seen in all 13 ROIs for moderate/severe TBI, but only in the cortico-spinal tract, sagittal stratum, and superior longitudinal fasciculus in mTBI. - Patients with moderate/severe TBI compared to controls showed increased AD and RD. - Patients with mTBI compared to controls showed increases in AD, but not RD, suggesting myelin damage not present. - White matter changes along a spectrum from mTBI to moderate/severe TBI and axonal but not myelin damage may characterize mTBI.
Lipton	2008	Chronic. 8 months to 3 years Retrospective study of consecutive admissions of mTBI.	1.5 T	Patients: 17 mTBI patients (8 M, 9 F; age 26–70) [GCS 13–15, LOC <20 min]. Negative CT/ MRI findings at time of initial injury. Later, at time of scanning, 1 mTBI subject had a small area of	Analysis and Methods: Whole- brain histogram analysis and Voxel-wise analysis of retrospective cases.	Whole-brain analysis.	<ul style="list-style-type: none"> - mTBI patients showed decreased FA and increased MD in CC, subcortical white matter, and internal capsules, bilaterally.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Miles	2008	Acute. Average 4 days (range: 1–10).	1.5 T	signal intensity likely due to gliosis. Controls: 10 controls of similar age and gender distribution. Negative MRI findings at time of scan.	Dependent Measures: FA, MD. Histogram parameters, i.e., kurtosis, skew.		- Whole brain decrease in FA in mTBI by histogram analysis.
		Follow-up at 6 months for neuropsychological measures.		Patients: 17 patients (11 M, 6 F; mean age 33.44, range 18–58) [GCS 13–15, LOC <20 min]. Controls: 29 sex- and age-matched controls (15 M, 14 F; mean age 35, range 18–61). Not matched on education.	Analysis and Methods: ROI analysis. Dependent Measures: FA, MD. Neuropsychological measures at baseline (≤ 24 hour of imaging) and 6 month follow-up for 12/17 mTBI.	Structural ROI using circles: centra semiovale, the genu and the splenium of the CC, and the posterior limb of the internal capsule.	- Reduced FA and increased MD suggests that mTBI may be at one end of the diffuse axonal spectrum and the patients complaining of symptoms many months, and in some cases several years, post-injury are characterized by lack of white matter integrity in brain regions known to be affected in TBI. - mTBI patients had increased MD and reduced FA compared with controls. - Of the mTBI patients 41 % had cognitive impairments at baseline and 33 % at follow-up. - At baseline no dependent measures were significantly correlated. - Increased MD and decreased FA at baseline correlated with cognitive measures at follow-up. - Findings suggest short-term predictors of cognitive function at 6 months. (Note: there was no DTI at 6 month follow-up).

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Niogi	2008a	Subacute and Chronic. ≥ 1 month (range 1–65 months). ≥ 1 persistent symptom for postconcussive syndrome.	3 T	Patients: 34 patients (18 M, 16 F; mean age 37.4, range 16–61). [GCS 13–15]. 11 mTBI-negative MRI findings; 11 mTBI micro- hemorrhage; 12 mTBI-non-specific white matter hyperintensities or chronic hemorrhagic contusions. Controls: 26 controls (19 M, 7 F; mean age 28.3, range 17–58).	Analysis and Methods: ROI Analysis. Manual ROI, ellipsoid shapes for each ROI. Dependent Measures: FA. Reaction time to Attention Network Task (Fan et al. 2005).	ROI structures: uncinate fasciculus, anterior corona radiata, anterior aspect of the inferior longitudinal fasciculus, genu of the CC, the cingulum bundle, and the superior longitudinal fasciculus.	- Reduced FA in mTBI patients in anterior corona radiata (41% of patients), uncinate fasciculus (29%), genu of the CC (21%), inferior longitudinal fasciculus (21%), and cingulum bundle (18%). - Reaction time on a simple cognitive task correlated to number of damaged white matter structures. - (Note: 10/11 mTBI with negative MRI findings showed FA reductions relative to controls.)
Niogi (Extension of 2008a paper)	2008b	Subacute and Chronic. ≥ 1 month post-injury (mean 16.9 months, range 1–53 months). ≥ 1 persistent symptom for postconcussive syndrome.	3 T	Patients: 43 patients (28 M, 15 F; mean age 32.4) [GCS 13–15]. Negative findings for conventional MRI images of 12/43 patients. Controls: 23 controls (17 M, 6 F; mean age 29.9) Negative findings for conventional MRI.	Analysis and Methods: ROI analysis. Manual ROI, ellipsoid shapes for each ROI. Dependent Measures: FA. Attention Network Task (Fan et al. 2005), California Verbal Learning Test (CVLT) to test memory performance.	ROI structures: uncinate fasciculus, anterior corona radiata, anterior aspect of the inferior longitudinal fasciculus, genu of the CC, the cingulum bundle, and the superior longitudinal fasciculus.	- mTBI and controls showed a significant correlation between attentional control, measured by the Attentional Network Task, and FA in left anterior corona radiata, as well as a significant correlation between memory performance, measured by the CVLT, and FA in the uncinate fasciculus, bilaterally. - In mTBI, FA was reduced in both these brain regions compared with controls. - Findings suggest FA can be used as a biomarker for neurocognitive function and dysfunction.
Rutgers	2008a	Subacute and Chronic. Median 5.5 months (range 0.1–109.3 months).	1.5 T	Patients: 21 mTBI patients (12 M, 9 F; mean age 32±9) [GCS 13–15]. 17/21 patients negative findings	Analysis and Methods: ROI, tractography.	ROI structures: cerebral lobar white matter, cingulum, CC, anterior and posterior limb of the internal	- On average, patients showed reduced FA in 9 regions, predominantly in cerebral lobar white matter,

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
				on MRI; others contusions and 1 extra-axial hematoma.		capsules, mesencephalon, brain stem, and cerebellum. Cerebral lobar white matter was subdivided into centrum semiovale, frontal lobe, parietal lobe, temporal lobe, and occipital lobe.	cingulum, and CC compared to controls (191 regions).
				Controls: 11 controls (8 M, 3 F; mean age 37 ± 9). No known history of positive MRI findings.	Dependent Measures: FA, volume, ADC, number and length of through-passing fibers in each ROI, tractography measure of discontinuity.		- Discontinuity on fiber tracking was seen in only 19.3 % of fibers.
							- Other regions primarily involved supratentorial projection fiber bundles, callosal fibers, and fronto-temporo-occipital association fiber bundles.
							- The internal capsules and infratentorial white matter were relatively unaffected.
							- There was no association between time interval of scanning and post-injury measures.
Rutgers	2008b	Chronic. Average 2.8 months.	1.5 T	Patients: 39 TBI patients (27 M, 12 F; mean age 34 ± 12); 24 mild [GCS 13–15]; 9, moderate [GCS 9–12]; and 6, severe TBI [GCS ≤ 8]. Controls: 10 controls (7 M, 3 F; mean age 37 ± 9). No known history of positive MRI findings.	Analysis and Methods: ROI analysis done by drawing ROI manually on the parts of the CC on FA maps of each subject. Dependent Measures: FA, ADC, number of fibers.	ROI structures: CC genu, body, and splenium.	- Patients with mTBI < 3 months post-trauma ($n=12$) showed reduced FA and increased ADC in the genu of the CC. - Patients with mTBI investigated > 3 months post-trauma ($n=12$) showed no differences. - More severe trauma was associated with genu and splenium FA reduction, increased ADC, and fewer numbers of fibers.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Huang	2009	"Post-acute"	1.5 T	Patients: 10 patients mild TBI (mean age 25.0±11.5; mean education 12.7±4.7 years) [GCS 13–15]. 7 of 10 negative findings on conventional CT/MRI. Controls: 14 age-matched healthy subjects (mean age 27.4±15.2, mean education 12.9±3.2 years).	Analysis and Methods: Probabilistic tractography, Tract Based Spatial Statistics, MEG Dependent Measures: MEG delta slow waves, FA	Fronto-occipital fasciculus, superior longitudinal fasciculus, inferior longitudinal fasciculus, uncinate fasciculus, CC, and cingulum bundles. Automated Whole Brain Analysis.	- Results presented as a case series. - MEG slow waves originated from cortical gray areas with reduced DTI. - In some cases, abnormal MEG delta waves were observed in subjects without obvious DTI abnormality, indicating that MEG may be more sensitive than DTI in diagnosing mTBI.
Lipton	2009	Acute. ≤ 2 weeks.	3 T	Patients: 20 mTBI patients (9 M, 11 F; mean age 33.4) [CGS 13–15, LOC <20 min, PTA <24 hr]. Controls: 20 matched controls (9 M, 11 F; mean age 34.2).	Analysis and Methods: Voxel-wise analysis. Dependent Measures: FA, MD.	Automated analysis of whole brain.	- Patients had multiple clusters of reduced FA in frontal white matter, including dorsolateral prefrontal cortex, with several clusters also showing higher MD as compared to controls. -Reduced dorsolateral prefrontal cortex FA was significantly correlated with worse executive functioning in patients.
Lo	2009	Chronic. ≥ 2 years.	1.5 T	Patients: 10 patients (5 M, 5 F; age range 20–51) [CGS 13–15]. For those who had conventional MR/CT, the findings were negative. Following research scan, 1 subject had a small focal area showing lobar gliosis.	Analysis and Methods: ROI.	ROIs placed in the genu and splenium of the CC, posterior limb of the internal capsule, and in the pontine tegmentum.	- Compared to controls, patients had lower FA and higher ADC in the left genu of the CC.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Geary	2010	Chronic. ≥ 6 months.	3 T	Controls: 10 controls (5 M, 5 F; mean age 44). Controls were patients referred for MRI due to headache and had no history of head trauma.	Dependent Measures: FA, ADC.		- Patients showed increased FA in the posterior limb of the internal capsule bilaterally compared to controls.
				Patients: 40 mTBI patients (17 M, 23 F; mean age 34.53) [American Congress of Rehabilitation Medicine, 1993 criteria], 14 subjects had previous head trauma. Negative MRI/CT findings.	Analysis and Methods: ROI.	ROIs structures: anterior and posterior corona radiata, corticospinal tracts (including parts of the corticospinal tract and superior thalamic radiation), external capsule, cingulum, forceps minor, forceps major, inferior fronto-occipital fasciculus, superior longitudinal fasciculus, uncinate fasciculus, sagittal stratum, and body, genu, and splenium of CC.	- TBI patients had decreased FA in the superior longitudinal fasciculus, sagittal stratum, and uncinate fasciculus compared to controls.
Holli(b)	2010	Acute. ≤ 3 weeks.	1.5 T	Controls: 35 controls (16 M, 19 F; mean age 32.54) matched to patients on age, education, years of employment, and estimated premorbid intelligence.	Dependent Measures: FA.		- Poor performance on a verbal memory task was correlated with reduced FA in the uncinate fasciculus and in the superior longitudinal fasciculus in patients.
				Patients: 42 patients (17 M, 25 F; mean age, 38.8) [GCS 13–15]. DTI analyses were performed on 34 patients. Negative CT/MRI findings.	Analysis and Methods: Texture analysis (MaZda).	Regions corresponding to the mesencephalon, centrum semiovale, and CC.	- Significant asymmetries in texture parameters were seen in all three regions studied in the patients, these asymmetries were less prevalent in the controls. - Several texture parameters correlated with FA and ADC values. - FA values in the left mesencephalon were

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Maruta	2010	Subacute to Chronic. 6 weeks and 5 years (mean 2.7 years).	3 T	10 age- and sex-matched controls (4 M, 6 F; mean age, 39.8). Patients: 17 patients (10 M, 7 F; age range 20–52), [GCS 15 with chronic postconcussive syndrome, recruited from clinics]. 8 normal, 9 showed abnormalities. Controls: 9 controls (6 M, 3 F; age range 19–31). No prior history of TBI.	Analysis and Methods: ROIs selected a priori.	Anterior corona radiata, genu of the CC, uncinate fasciculus, cingulum bundle, forceps major, and superior cerebellar peduncle.	positively correlated with verbal memory. -ADC in the body of the CC was negatively correlated with visual memory. - Gaze error variability correlated with the mean FA values of the right anterior corona radiata (ACR) and the left superior cerebellar peduncle, and the genu of the CC. - Reaction time correlated to FA in the genu of the CC, and FA in the left uncinate fasciculus correlated with poorer verbal memory.
Mayer	2010	Acute. ≤ 21 days (mean 12 days).	3 T	Patients: 22 patients (mean age 27.45) [GCS 13–15, LOC <30 min, PTA <24 hr]. Controls: 21 sex-, age-, and education-matched controls (mean age 26.81).	Analysis and Methods: ROI. Dependent Measures: FA, AD, RD.	Genu, splenium, and body of the CC, as well as the superior longitudinal fasciculus, the corona radiata, the superior corona radiata, the uncinate fasciculus, and the internal capsule for both hemispheres.	-TBI patients had increased FA and reduced RD in the CC and several left hemisphere tracts compared to controls, i.e., uncinate fasciculus, internal capsule, and corona radiata. -Follow-up data showed partial normalization of DTI values in several white matter tracts. - The authors concluded that initial injury to axons might disrupt ionic homeostasis and the balance of intra/extra cellular water, which effects diffusion that is perpendicular to the axons.
Zhang	2010	Subacute. 30 (± 2) days.	3 T	Patients: 15 student-athletes with mTBI (mean age 20.8 years) [Grade 1 MTBI according to Cantu]	Analysis and Methods: Voxel-wise whole brain analysis and ROI.	The CC was chosen as a primary ROI and subdivided into the genu, body, and splenium. In	-Neither whole-brain analysis nor ROI analysis showed significant alteration in FA.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Warner	2010b	Acute. ≤1 week	3 T	2006. GCS not reported]. Negative MRI findings.	Dependent Measures: FA, ADC.	addition, the right hippocampus, left and right dorsolateral prefrontal cortex were evaluated.	- mTBI patients showed larger variability of FA in the genu, and body of the CC than controls.
		Follow-up at 4–16 months.		Controls: 15 normal student-athletes with no history of mTBI (mean age 21.3). Negative MRI findings. The entire sample was 70%M and 30%F.			
Warner	2010b	Acute. ≤1 week	3 T	Patients: 24 patients with diffuse traumatic axonal injury (16 M, 8 F; mean age 27.2) [mean GCS 6.4; range not reported]. Controls: None.	Analysis and Methods: Automated Segmentation and Volumetric Analysis (FreeSurfer). Dependent Measures: Regional Volumes, FA, MD.	CC, fornix body, bilateral fornix crus, bilateral perforant pathway, cingulum, uncinate fasciculus, and inferior fronto-occipital fasciculus.	- The volume of the hippocampus correlated with the FA in the fornix body, fornix crus, perforant pathway, and cingulum bundle. - Volume of the right precuneus correlated with MD in the fornix body and right uncinate fasciculus. Note: Morphometric findings not related to DTI are presented in Table 2.
		Follow-up at 4–16 months.					
Bazarian	2011	Acute. (72 hours post-injury).	3 T	Patients: One athlete concussion [witnessed LOC >20 min, transient amnesia or confusion; GCS not reported] and 8 athletes with 26–399 subconcussive head blows. Controls: 6 control subjects. 2 control subjects had isolated minor orthopedic injuries.	Analysis and Methods: Whole brain analysis. FA and MD changes were measured in five ROIs. Dependent Measures: FA, MD.	External capsule, posterior and anterior CC, posterior and anterior limb of the internal capsule.	- FA changes were highest for the concussion subject, intermediary for subjects with subconcussive head blows, and lowest for controls.
		Prospective cohort study. Subjects underwent DTI pre- and postseason within a 3-month interval. (Concussed subjects underwent repeat testing ≤72 hours of injury. Subjects who did not suffer a concussion during the season underwent repeat					

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Cubon	2011	Subacute and Chronic. ≥1 month (mean 115 days, SD 104 days) for the sports-related concussion subjects. ≥ 1 year for subjects with moderate and severe TBI.	3 T	Patients: 10 college students with concussion (5 M, 5 F; mean age 19.7 years) [Diagnosis based on International Consensus Agreements 2000, GCS not reported]. 2 moderate TBI subjects (mean age 20) [GCS 9–12]. 3 severe TBI (mean age 47.3) [GCS ≤8]. Controls: 10 sex- and age-matched athletes (5 M, 5 F; mean age 20.4 years). Sex- and age-matched controls, for the 2 moderate (mean age 22) and 3 severe TBI subjects (mean age 46).	Analysis and Methods: TBSS.	Whole brain WM skeleton (TBSS).	<ul style="list-style-type: none"> - The changes in FA and MD in the concussion subject were in the right corona radiata and right inferior longitudinal fasciculus. - FA and MD changes from pre-season to post-season were in both directions, i.e., increased and decreased FA and MD, although more were in the expected direction, i.e., decreased FA and increased MD. - Concussed subjects showed increased MD in several clusters in the left hemisphere in comparison to controls. - The largest cluster included sagittal stratum (including the inferior longitudinal fasciculus and inferior fronto-occipital fasciculus), retrolenticular part of the internal capsule, the posterior thalamic radiation (including the optic radiation), the acoustic radiation, and the superior longitudinal fasciculus. - The second largest cluster was located along the superior longitudinal fasciculus, anterior and superior to the part of the superior longitudinal fasciculus.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Davenport	2011	Chronic. 2–5 years after blast injury.	3 T	25 veterans with mTBI (24 M, 1 F; mean age 36) [LOC <30 min, PTA <24 hours, and neurological symptoms; GCS not reported].	Analysis and Methods: 20 standard probabilistic tractography-based ROIs.	Forceps major, forceps minor, anterior thalamic radiations, hippocampal portion of cingulum, cingulum, corticospinal tract, inferior fronto-occipital fasciculus, inferior longitudinal fasciculus, superior longitudinal fasciculus, temporal portion of superior longitudinal fasciculus, uncinate.	- A global pattern of lower white matter integrity was seen in blast mTBI patients but not in controls.
				Controls: 33 veterans (28 M, 5 F; mean age 32.5).	Dependent Measures: FA.		- Patients with multiple blast injuries tended to have a greater FA voxels decreased than individuals with a single blast injury.
Grossman	2011	Chronic. MRI and a neuropsychological battery either ≤ 1 year after injury, or > 1 year after injury.	3 T	Patients: 22 patients (14 M, 8 F; mean age 38.2) [GCS 13–15].	Analysis and Methods: ROI.	Thalamus and the anterior limb, genu, and posterior limb of the internal, the splenium of the CC, and the centrum semiovale.	- Lowered DTI and DKI derived measures in the thalamus and the internal capsule were seen in patients examined one year after injury in comparison to controls.
				Controls: 14 healthy controls (9 M, 5 F; mean age 36.5) matched to patients according to gender, age, and formal education	Dependent Measures: MK, FA, MD.		- In addition to these regions, patients examined more than one year after injury also showed similar differences in the splenium of the corpus callosum and the centrum semiovale.
							- Combined use of DTI and DKI provides a more sensitive tool for identifying brain injury.
							- MK in the thalamus might be useful for early prediction of permanent brain damage and cognitive outcome.
Henry	2011	Acute. 1–6 days post-concussion.	3 T	Patients: 18 athletes with mTBI (all M; mean age	Analysis and Methods: Voxel-based approach (VBA).	A voxel-wise 2 x 2 repeated-measures analysis of	- mTBI patients showed increased FA in dorsal

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Lange	2011	Subacute. 6–8 weeks.	3 T	<p>22.08) [Diagnosis based on American Academy of Neurology Quality Standards Subcommittee and Neurology, 1997; GCS not reported].</p> <p>Controls: 10 athletes (all M; mean age 22.81).</p>	<p>DTI Analysis Method and Dependent Measures</p> <p>Analysis and Methods: ROIs.</p> <p>Dependent Measures: FA, MD, AD</p>	<p>variance (ANOVA) using SPM8 to the derived scalar images (FA, AD, and MD) was used.</p> <p>Genu, body, and splenium of the CC.</p>	<p>corticospinal tracts and in the CC at both time points compared to control.</p> <p>- mTBI patients showed increased AD in the right corticospinal tracts at both time points compared to controls.</p> <p>- mTBI patients showed decreased MD in the corticospinal tracts and CC at both time points compared to controls.</p> <p>- No differences on FA or MD between mTBI patients and controls.</p>
				<p>Patients: 60 mTBI patients (43 M, 17 F; mean age 30.8) [Closed head injury and; LOC >1 minute, PTA >15 minutes, GCS <15, or abnormality on CT]. $n=41$ negative CT findings, $N=15$ positive CT findings, and $n=4$ not ordered. $N=21$ with postconcussive disorder based on ICD-10; $N=39$ without postconcussive disorder.</p> <p>Controls: 34 controls (25 M, 9 F; mean age 37.1) with orthopedic/soft-tissue injuries. $N=0$ positive findings, $n=3$ negative findings, and $n=31$ not ordered. 52.9% of controls met ICD-10 criteria for postconcussive disorder.</p>	<p>Dependent Measures: FA, MD.</p>		<p>- mTBI patients reported more post-concussion symptoms than controls.</p> <p>- mTBI patients showed a nonsignificant trend increase in MD in the</p>

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
MacDonald	2011	Subacute. ≤ 90 days.	1.5 T	Patients: 63 patients (all M, median age 24) [Diagnosis based on U.S. military clinical criteria for traumatic brain injury; GCS not reported]. 21 controls (all M, median age 31) exposed to blasts, but none with sustained TBI.	Analysis and Methods: ROI.	Genu and splenium of the CC, the right and left middle cerebellar peduncles, the right and left cerebral peduncles, the right and left uncinate fasciculi, and the right and left cingulum bundles.	splenium of the CC compared to controls. - TBI subjects showed decreased RA in the middle cerebellar peduncles, cingulum bundles, and in the right orbitofrontal white matter. - Follow-up DTI scans in 47 TBI subjects 6–12 months later showed persistent abnormalities.
Matthews	2011	Self-reported history of concussion.	3 T	Patients: 22 patients with a history of blast-related TBI. [LOC <30 min, PTA <25 hr, CGS 13–15]. 11 TBI patients with Major Depressive Disorder (MDD) (all M, mean age 26.8). 11 TBI patients without MDD (all M, mean age 30.3). Controls: None.	Dependent Measures: Relative anisotropy (RA), MD, AD, RD. Analysis and Methods: VBA		- Patients with MDD showed lower FA in corona radiata, CC and superior longitudinal fasciculus compared to non-MDD patients.
Matsushita	2011	Acute. Median 3.5 days.	1.5 T	Patients: 20 patients (18 M, 2 F; mean age 39.3); 9 mild TBI [GCS 13–15, LOC <30 min, PTA <24 hr]. 11 moderate TBI [LOC ≥30 min and/or GCS 9–12]. Controls: 27 matched controls (13 M, 14 F; mean age 42.9).	Analysis and Methods: ROI.	Genu, stem, and splenium of the CC and the corona radiata, anterior limb of the internal capsule, posterior limb of the internal capsule, frontal white matter, and occipital white matter of the periventricular white matter.	- FA in the superior longitudinal fasciculus correlated negatively with depressive symptoms. - Decreased FA in the splenium of the CC in mTBI compared to controls. - Decreased FA in the genu, stem and splenium of the CC in moderate TBI compared to controls.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Messe	2011	Acute. 7–28 days (subacute phase), Subacute. 3–4 months (late phase).	1.5 T	Patients: 23 patients [LOC <30 min, CGS 13–15, PTA <24 hr, or neurological symptoms]; 11 with good outcome (8 M, 3 F; mean age 27.8), 12 with poor outcome (7 M, 5 F; mean age 31.3). 7 of 11 with good outcome had negative MRI findings and 6 out of 12 poor outcome had negative MRI findings. Controls: 23 controls (12 M, 11 F; mean age 30).	Analysis and Methods: VBM, TBSS. Dependent Measures: FA, MD, AD, RD.	Whole Brain.	<ul style="list-style-type: none"> - MD was higher in poor outcome patients compared with both controls and good outcome patients, in the forceps major and minor of the CC, inferior fronto-occipital fasciculus, and inferior longitudinal fasciculus. - MD was higher in poor outcome patients compared to controls in superior longitudinal fasciculus and corticospinal tract, and left anterior thalamic radiation. - No difference in diffusion variables was found between patients with good outcome and controls.
Smits	2011	Subacute. Average 30.6 days.	3 T	Patients: 19 patients included (10 M, 9 F; mean age 26) [GCS 13–15]. Controls: 12 controls (8 M, 4 F; mean age 28).	Analysis and Methods: TBSS. Dependent Measures: FA, MD.	Whole Brain.	<ul style="list-style-type: none"> - There were no differences in MD between patients and controls. - Decreased FA was in the right temporal subcortical white matter in patients compared to controls. These regions included inferior fronto-occipital fasciculus. - Increased MD was correlated with severity of post-concussive symptoms in the inferior fronto-occipital fasciculus, inferior longitudinal fasciculus and the superior longitudinal fasciculus.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Sponheim	2011	Chronic. Several Months.	1.5 T	Patients: 9 patients (all M; mean age 33.7) [Diagnosis based on American Congress of Rehabilitation Medicine Special Interest Group on Mild Traumatic Brain Injury and the concussion grading system by the American Academy of Neurology; GCS not reported]. Controls: 8 controls (all M; mean age 30.3).	Analysis and Methods: ROI.	Forceps major, forceps major and anterior thalamic radiations.	<ul style="list-style-type: none"> - Decreased FA correlated with severity of post-concussive symptoms in the uncinate fasciculus, inferior fronto-occipital fasciculus, internal capsule, CC, parietal subcortical white matter and frontal subcortical white matter. - Microstructural changes in white matter are likely a neuropathological substrate of postconcussive syndrome. - EEG phase synchrony was less in lateral frontal regions in patients.
McAllister	2012	Acute. Preseason and ≤10 days of a diagnosed concussion.	3 T	Subjects: 10 athletes (all M; age range 15–23) with mTBI [Diagnosis by certified athletic trainer or team physician; GCS not reported].	Dependent Measures: Electroencephalogram (EEG) phase synchronization and FA.	CC.	<ul style="list-style-type: none"> - EEG phase synchrony was correlated with FA in the left anterior thalamic radiations and the forceps minor in patients but not controls. - Change in FA correlated with mean and maximum strain rate.

Table 3 (continued)

First Author	Year	Type of Study (time post-injury)	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
				None.	Dependent Measures: FA, MD, Strain, Strain Rate.		<ul style="list-style-type: none"> - Change in MD correlated with mean and maximum strain. - Change in MD correlated with length of injury-to-imaging interval, but change in FA did not.
<p>Key: <i>M</i> Male, <i>F</i> Female, <i>PTA</i> Posttraumatic Amnesia, <i>LOC</i> Loss of Consciousness, <i>GCS</i> Glasgow Coma Scale, <i>CC</i> Corpus Callosum, <i>ADC</i> Apparent Diffusion Coefficient, <i>FA</i> Fractional Anisotropy, <i>MD</i> Mean Diffusivity, <i>RD</i> Radial Diffusivity, <i>AD</i> Axial Diffusivity, <i>DKI</i> Diffusional Kurtosis Imaging, <i>DTI</i> Diffusion Tensor Imaging, <i>MK</i> Mean Kurtosis, <i>ROI</i> Region of Interest, <i>TBSS</i> Tract-Based Spatial Statistics</p> <p>This table presents findings derived from diffusion tensor imaging (DTI) including: Trace, Mean Diffusivity (MD), Fractional Anisotropy (FA), Axial Diffusivity (AD), Radial Diffusivity (RD), and Mean Kurtosis (MK). In studies where non-DTI diffusion weighted imaging (DWI) was also presented we include the main measure, Apparent Diffusion Coefficient (ADC). In the description of subjects, we provide, when available, the Glasgow Coma Scale (GCS) score, Loss of Consciousness (LOC) duration, and Posttraumatic Amnesia (PTA) duration. Acute is defined as under 1 month, subacute is defined as >1 month but less than 6 months, and chronic is defined as >6 months</p>							

Table 4 DTI studies with different DTI severities including mild

First Author	Year	Type of Study [time post-injury]	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Huisman	2004	Acute <7 days.	1.5 T	Patients: 20 patients (15 M, 5 F; mean age 31). [GCS 4-15]. Controls: 15 healthy subjects with a matching age distribution (mean age 35).	Analysis and Methods: ROI Analysis. Dependent Measures: FA, ADC.	Posterior limb of the internal capsule (bilaterally) and the splenium of the CC. Measurements in the thalamus and putamen served as internal references.	<ul style="list-style-type: none"> - FA values were significantly reduced in the internal capsule. - FA values were significantly correlated with GCS and Rankin scores for the internal capsule and splenium. - ADC values were significantly reduced within the splenium. - Correlation between FA and clinical markers was better than that for the ADC values. - ADC of the internal capsule was not correlated with the GCS and Rankin scores.
Salmond	2006	Chronic > 6 months.	3 T	Patients: 16 patients (13 M, 3 F; mean age 32) [Median GCS 7, range 3-13]. Controls: 16 controls [12 M, 3 F; mean age 34].	Analysis and Methods: Voxel based analysis. Dependent Measures: FA, MD.	Maps of FA and MD were calculated from the diffusion-weighted images.	<ul style="list-style-type: none"> - Major white matter tracts and association fibers in the temporal, frontal, parietal and occipital lobes showed bilateral decreases in FA. - Increases in MD were found in widespread areas of the cortex. - A positive correlation was found between MD and impairment of learning and memory in the left posterior cingulate, left hippocampal formation and left temporal, frontal and occipital cortex.
Benson	2007	Acute to Chronic. Variable from days to years (mean 35.3 months, range 3 days to 15 years).	1.5 T	Patients: 20 patients (13 M, 7 F; mean age 35.5) [GCS 3-15]. 6 mTBI and 14 moderate / severe TBI All CT+except N=3 mTBI. Controls: 14 age-matched controls (mean age 27.5).	Analysis and Methods: White matter whole-brain FA histogram parameters. Dependent Measures: FA, Kurtosis, Skewness.	Whole-brain analysis using histogram shape parameters.	<ul style="list-style-type: none"> - White matter whole-brain FA histograms showed a decrease in FA in TBI patients with no overlap between patient and controls. - Histogram shape parameters correlated with each other and with admission GCS and PTA. - Mean FA was the best predictor of PTA duration ($r=-.64$) and GCS ($r=-.47$).

Table 4 (continued)

First Author	Year	Type of Study [time post-injury]	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Kumar	2009	Acute. 5–14 days.	1.5 T	Patients: 83 patients [62 M, 21 F; mean age 34.25]. 26 mTBI [GCS 13–15, mean 14.5]. 57 moderate TBI [GCS 9–12]. All were positive for clinical findings on CT at injury. Controls: 33 age- and sex- matched controls (22 M, 11 F; mean age 32.1).	Analysis and Methods: ROI. Dependent Measures: FA, RD, MD, AD.	CC (divided into 7 segments).	<ul style="list-style-type: none"> - 40% of PTA variance in ADC was accounted for by FA. - Most of the FA decrease was likely accounted for by increased diffusion in the short axis dimension, reflecting dysmyelination and axonal swelling. - The correlation of injury severity with changes in FA suggests a role for DTI in early diagnosis and prognosis of TBI. - Decreased FA in genu in mTBI compared to moderate TBI, and in both TBI groups compared with controls. - Decreased FA in splenium in moderate TBI compared to controls. - Decreased MD in moderate TBI compared to controls. - Increased RD in genu and splenium in mTBI and moderate TBI compared to controls. - Increased RD in midbody CC in moderate TBI compared to controls. - Decreased AD in genu in moderate TBI compared to controls. - Poor neuropsychological outcome at 6-months was associated with DT abnormalities in the CC. - No group differences in FA and ADC were found. However, FA of the left and right posterior
Levin	2010	Chronic. Post-injury interval 871.5 days.	3 T	Patients: 37 veterans (mean age 31.5) with mild or moderate TBI [GCS not provided]. 5	Analysis and Methods: Tractography, standard single-slice ROI measurement, and	Non-tractography ROI protocols included the total CC (including genu, body,	

Table 4 (continued)

First Author	Year	Type of Study [time post-injury]	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Hartikainen	2010	Acute. 3 weeks.	1.5 T	<p>had positive findings on clinical MRI.</p> <p>Controls: 15 veterans without a history of TBI or exposure to blast (mean age 31.4), including 7 subjects with extracranial injury (mean post-injury interval 919.5 days), and 8 who were uninjured.</p> <p>Patients: 18 patients (6 M, 12 F; 11 asymptomatic and 7 symptomatic; mean age 43) with mild and moderate TBI. All moderate subjects had positive findings on clinical CT or MRI.</p> <p>Controls: None.</p>	<p>voxel-based analysis. Manually-traced ROIs on a slice-by-slice basis, and quantitative fiber tractography to assess white matter integrity</p> <p>Dependent Measures: FA, ADC</p>	<p>splenium, and total), and the right and left anterior and posterior limbs of the internal capsule.</p> <p>- ADC for the left and right uncinate fasciculi and left posterior internal capsule was negatively correlated with this measure of verbal memory.</p> <p>- Correlations of DTI variables with symptom measures were non-significant and inconsistent.</p> <p>- Symptomatic patients had higher FA and lower ADC in the mesencephalon than asymptomatic patients.</p>	<p>internal capsule and left corticospinal tract positively correlated with total words consistently recalled.</p> <p>- ADC for the left and right uncinate fasciculi and left posterior internal capsule was negatively correlated with this measure of verbal memory.</p> <p>- Correlations of DTI variables with symptom measures were non-significant and inconsistent.</p> <p>- Symptomatic patients had higher FA and lower ADC in the mesencephalon than asymptomatic patients.</p>
Little	2010	Chronic. ≥12 months.	3 T	<p>Patients: 12 patients with mTBI (mean age 31.2). 12 moderate to severe TBI (mean age 33.3) Severity was based on duration of Loss of Consciousness and Post-Traumatic Amnesia. 4 mTBI and 9 moderate to severe patients had positive findings on MRI or CT.</p> <p>Controls: 12 age- and education-matched controls (mean age 30.8).</p>	<p>Analysis and Methods: ROI</p> <p>Dependent Measures: FA, ADC</p>	<p>12 ROIs in cortical and CC structures and 7 subcortical ROIs (anterior, ventral anterior, ventral lateral, dorsomedial, ventral posterior lateral, ventral posterior medial, and pulvinar thalamic nuclei).</p>	<p>- None of the other FA or ADC measurements were significantly different between symptomatic and asymptomatic patients.</p> <p>- TBI patients showed reductions in FA in the anterior and posterior corona radiata, forceps major, the body of CC, and fibers identified from seed voxels in the anterior and ventral anterior thalamic nuclei.</p> <p>-FA from cortico-cortico and CC ROIs did not account for significant variance in neuropsychological function. However, FA from the thalamic seed voxels did account for</p>

Table 4 (continued)

First Author	Year	Type of Study [time post-injury]	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Singh	2010	Acute to Chronic. Mean ~1 month.	1.5 T	Patients: 12 patients (mean age 28) with mild to moderate brain injuries (loss of consciousness for <1 h) primarily due to falls, assault or traffic accidents.	Analysis and Methods: ROI analysis.	Differences in the FA maps between each TBI subject and the control group were computed in a common space using a t-test, transformed back to the individual TBI subject's head space, and then thresholded to create ROIs that were used to sort tracts from the control group and the individual TBI subject. Tract counts for a given ROI in each TBI subject were compared to group mean for the same ROI in order to quantify the impact of injury along affected pathways. The same procedure was used to compare the TBI group to the control group in a common space.	variance in executive function, attention, and memory. - Within TBI subjects affected pathways included hippocampal/fornix, inferior fronto-occipital, inferior longitudinal fasciculus, CC (genu and splenium), cortico-spinal tracts and the uncinate fasciculus.
Brandstack	2011	Acute. Mean 7 days	1.5 T	Controls: 10 age-matched volunteers (mean age 27). No evidence of neurological disease on MR imaging. Patients: 22 patients (GCS 6-15) admitted to the emergency department for TBI. On clinical MRI 7 were negative for findings, 15 showed cortical contusions or traumatic axonal injury. Controls: 14 healthy subjects.	Dependent Measures: FA, MD, AD, RD. Analysis and Methods: ROI analysis.	Measurements were performed at 46 different locations including bilateral cortical, subcortical and deep brain structures.	- Most of these regions were also detected in the group study. - TBI patients with and without visible lesions did not show any differences in ADC values but both groups differed from the controls in several cortical and deep brain regions. - Increased ADC values were common in TBI groups but decreased ADC values were relatively uncommon. - Regional ADC values and the number of abnormal regions did not correlate with either GCS or

Table 4 (continued)

First Author	Year	Type of Study [time post-injury]	Magnet	Subjects (N, gender, age)	DTI Analysis Method and Dependent Measures	Brain Region(s)	Main Finding(s)
Ljungqvist	2011	Acute. Within 11 days and at 6 months post-injury.	1.5 T	Patients: 8 patients with TBI (4 M, 4 F; mean age 36.4) [GCS 3–14]. All patients were CT negative. Controls: 6 controls (3 M, 3 F; mean age 33.1).	Analysis and Methods: ROI analysis. Dependent Measures: FA, Trace, AD, RD.	Polygonal ROIs were manually placed in the CC.	GOS (Glasgow outcome scale)-E scores. - Patients showed a reduction in FA in the corpus callosum in the acute phase compared to controls. - There was no significant change in AD, RD, or Trace. - At 6 months, a significant reduction in FA and a significant increase in Trace and AD in patients compared to controls.
Yurgelun-Todd	2011	Not reported.	3 T	Patients: 15 M veterans with TBI (mild, moderate and severe according to The Ohio State University - TBI Identification Method). Controls: 17 M controls: 10 civilians, 6 veterans.	Analysis and Methods: ROI analysis. Dependent Measures: FA, MD.	ROIs for major white matter tracts were created for the genu and the cingulum using the Johns Hopkins University White Matter Labels Atlas.	- TBI patients showed a decrease in FA values in the left cingulum, and left and total genu compared to controls. - The TBI group showed greater impulsivity - Total and right cingulum FA positively correlated with current suicidal ideation and measures of impulsivity.

Key: M Male; F Female; GCS Glasgow Coma Scale, CC Corpus Callosum, ADC Apparent Diffusion Coefficient, FA Fractional Anisotropy, MD Mean Diffusivity, RD Radial Diffusivity, AD Axial Diffusivity, ROI Region of Interest

This table presents findings derived from Diffusion Tensor Imaging (DTI), including: Trace, Mean Diffusivity (MD), Fractional Anisotropy (FA), Axial Diffusivity (AD), Radial Diffusivity (RD), and Mean Kurtosis (MK). In studies where non-DTI diffusion weighted imaging (DWI) was also presented, we include the main measure, Apparent Diffusion Coefficient (ADC). In the description of the subjects, we provide, when available, the Glasgow Coma Scale (GCS) score, Loss of Consciousness (LOC) duration, and Posttraumatic Amnesia (PTA) duration. Acute is defined as under 1 month, subacute is defined as >1 month but greater than 6 months, and chronic is defined as >6 months.

early post-injury may make early interventions possible. Here, measures such as free water, which characterize water as belonging to tissue versus extracellular water (e.g., Pasternak et al. 2009), may be helpful in determining intracellular versus extracellular edema, i.e., cytotoxic versus vasogenic edema. Such measures are just beginning to be used in mTBI by our group (e.g., Pasternak, Kubicki and et al. 2011a; b).

A further issue is whether or not an observed increase in FA early in the course of injury is predictive of poorer outcome, or if an increase in FA at any time over the course of the injury is a poor indicator of outcome. This question is relevant, particularly given that some investigators do not report increased FA at 24 h post-injury (e.g., Arfanakis et al. 2002), while others report increased FA at 72 h (Bazarian et al. 2007). Of interest here, Henry et al. (2011) scanned 18 athletes with mTBI at 1 to 6 days post-injury, later in post-injury than either the Arfanakis or Bazarian studies, and they also reported increased FA and decreased MD in dorsal corticospinal tracts and in the corpus callosum at both baseline and at 6 month follow up. Further, Mayer et al. (2010) scanned mTBI patients 21 days post-injury, on average 12 days post-injury. They, too, reported *increased* FA and reduced radial diffusivity (RD) in the corpus callosum in mTBI patients compared with controls. Finally, a study by Hartikainen et al. (2010) compared 11 asymptomatic TBI patients with 7 symptomatic TBI patients, which included both mild and moderate cases, with moderate TBI patients all having abnormal findings on clinical CT or MRI. In comparing these two groups, the symptomatic patients showed *increased* FA and lower ADC in the mesencephalon compared with the asymptomatic patients. Thalamus, internal capsule, and centrum semiovale did not differentiate between these two groups. There was no control group. Nonetheless, the notion that more symptomatic patients showed *increased* FA is important and could serve as a biomarker for predicting those patients who have, or will have, a poorer outcome.

The implications of *increased* FA and reduced MD, and whether these measures are indicators of poor outcome clearly needs further investigation. A longitudinal study would help to follow the natural progression of brain injury in mTBI over time in order to determine the significance of *increased* versus *decreased* FA that is observed in mTBI. Work by Lipton and coworkers (see empirical study in this special issue) have investigated, in a longitudinal design, areas of increased and decreased FA, sometimes observed in the same patient, and they suggest that increased FA may be associated with a compensatory mechanism or neuroplasticity in response to brain injury, but it is not necessarily a direct reflection of brain injury per se. Further work is needed to determine the biological meaning and implications of increased and decreased FA, as the story may be

more complex than interpretations given thus far to explain these phenomenon.

Other noteworthy findings of brain injury in mTBI include reduced FA in frontal white matter, including dorso-lateral prefrontal cortex, where Lipton et al. (2009) reported several clusters of increased MD, which were correlated with worse executive functioning in a group of acute mTBI patients compared with controls. Other findings include abnormalities in mesencephalon, centrum semiovale, and corpus callosum (Holli et al. 2010b), with findings for the mesencephalon being correlated with verbal memory in mTBI patients. Additionally, reduced FA has been reported in the anterior corona radiata, in the genu of the corpus callosum, and in the left superior cerebellar peduncle (Maruta et al. 2010). In the latter study, gaze positional errors in an eye movement task were correlated with mean FA values of the right anterior corona radiata, the left superior cerebellar peduncle, and the genu of the corpus callosum, all tracts that support spatial processing and are involved in attention, leading these investigators to suggest that gaze errors might be a useful screening tool for mTBI.

Kraus and coworkers (2007) focused on chronic patients who had mild ($n=20$), moderate and severe ($n=17$) injury, and 18 controls. They investigated FA as well as radial diffusivity (RD), axial diffusivity (AD), and white matter load; the latter defined as the total number of regions with reduced FA. She and her coworkers reported FA decreases in all thirteen brain regions examined in the moderate to severe group. In the mTBI group, FA was reduced in only the corticospinal tract, sagittal striatum, and superior longitudinal fasciculus. Both AD and RD were increased in several white matter regions in the moderate to severe group, whereas in the mTBI group only increases in AD were observed, suggesting that myelin damage is not present in mTBI but is present in moderate to severe TBI. These investigators concluded that white matter changes that indicate diffuse axonal injury in TBI show alterations along a spectrum from mild to severe TBI.

Lipton et al. (2008) also investigated more chronic patients. They compared 17 mTBI patients who had negative CT/MRI findings at the time of injury, with 10 controls who also showed negative findings on MRI. One of the mTBI subjects subsequently was observed to develop a small area of increased signal intensity, likely the result of gliosis. Decreased FA and increased MD were observed in the corpus callosum, subcortical white matter, and in the internal capsules, bilaterally. These brain regions are similar to those observed as abnormal in the acute studies noted above (i.e., Arfanakis et al. 2002; Inglese et al. 2005; Bazarian et al. 2007; Miles et al. 2008).

Niogi and colleagues (2008a) investigated a group of patients 1 to 65 months following injury; all characterized as having more than one symptom of postconcussive

syndrome. Subjects included 34 mTBI patients that were separated into those with negative MRI findings ($n=11$), those with micro-hemorrhage ($n=11$), and those with white matter hyperintensities or chronic hemorrhagic contusions, compared with controls ($n=26$). They investigated six regions of interest and reported reduced FA in the anterior corona radiata (41 %), the uncinate fasciculus (29 %), the genu of the corpus callosum (21 %), the inferior longitudinal fasciculus (21 %), and the cingulum bundle (18 %). Reaction time was correlated with a number of damaged white matter structures and it was noted that 10 of the 11 of the mTBI patients with negative MRI findings showed reductions in FA relative to controls. In a slightly larger sample of mTBI patients, this group also reported significant correlations between attentional control and FA reduction in the left anterior corona radiata, as well as significant correlations between memory performance and reduced FA in the uncinate fasciculus, bilaterally (Niogi et al. 2008b). These cognitive correlates with white matter fiber tract abnormalities findings are consistent with what would be expected given the function of these tracts, further suggesting that FA may be useful as a biomarker for neurocognitive function and dysfunction in mTBI.

Given the different magnet strengths, with some conducted on a 1.5 T magnet, and others conducted on a 3 T magnet (see Tables 3 and 4), as well as differences in the analysis methods employed, and the dependent measures used, as well as differences in the selection of brain regions to investigate, in addition to differences in the post-injury time of the study, and differences in whether subjects had positive or negative findings on conventional CT or MRI, it is surprising that there is as much convergence and consistency with respect to the detection of brain abnormalities in mTBI using DTI. Given the DTI findings reviewed above, and listed in Tables 3 and 4, as well as the frequently of negative CT and structural MRI scans, it is also quite clear that DTI is by far the most sensitive *in vivo* method to detect subtle brain abnormalities in mTBI.

While each of the 43 DTI studies investigating mTBI, and included in Tables 3 ($n=32$) and 4 ($n=11$), report some DTI abnormalities, their anatomical location does not always converge. This lack of convergence is not, however, surprising, given the heterogeneity of brain injuries, as well as the variability in these studies between time of injury and DTI scan. Some regions, however, are reported more often than the others, which might further suggest their increased vulnerability to axonal injury.

For example, in reviewing specific brain regions, some, as noted above, including the corpus callosum and parts of the corpus, are abnormal in mTBI (e.g., Arfanakis et al. 2002; Bazarian et al. 2007; Grossman et al. 2011; Henry et al. 2011; Holli et al. 2010b; Huisman et al. 2004; Inglese et al. 2005; Kumar et al. 2009; Lipton et al. 2008; Little et al.

2010; Ljungqvist et al. 2011; Lo et al. 2009; Messe et al. 2011; Maruta et al. 2010; Matthews et al. 2011; Mayer et al. 2010; McAllister et al. 2012; Miles et al. 2008; Niogi et al. 2008a; b; Rutgers et al. 2008a; b; Singh et al. 2010; Smits et al. 2011; Warner et al. 2010(b); Yurgelun-Todd et al. 2011).

Rutgers and coworkers (2008a) have also reported reduced FA predominantly in 9 major regions in mTBI patients, and one of these regions included the corpus callosum. In a separate study by this group of investigators (Rutgers et al. 2008b), mTBI and moderate TBI subjects were included. Patients with mTBI or less than 3 months post-injury showed reduced FA and increased ADC in the genu of the corpus callosum, whereas patients with greater than 3 months post-injury showed no such differences. This group of investigators also showed that more severe trauma was associated with FA reduction in the genu and splenium of the corpus callosum, along with increased ADC and fewer numbers of fibers. These findings suggest that there may be a reversal of damage to the corpus callosum in patients with mTBI who recover after 3 months, and that more severe damage to the corpus callosum may be associated with a worse outcome. Again, a longitudinal study that investigates the course of injury over time would provide important information regarding the staging, progression, and possible recovery and reversal of brain injuries over time.

Huisman et al. (2004) included TBI patients with Glasgow Coma Scale scores between 4 and 15, and hence moderate and severe were not separated from mild in evaluating the corpus callosum. Matthews et al. (2011) examined mTBI patients with major depressive disorder and those without a major depressive disorder. These investigators found that those with a major depressive disorder showed reduced FA in the corpus callosum (also corona radiata and superior longitudinal fasciculus) compared with those without a major depression. Zhang et al. (2010), on the other hand, reported no differences in the corpus callosum using either whole brain analysis or region of interest analyses between mTBI athletes and controls, but mTBI patients did show greater variability of FA in the genu and in the body of the corpus callosum than did controls. Lange et al. (2011) also reported no differences in FA or MD between mTBI and controls, although they did report a non-significant trend for an increase in MD in the splenium of the corpus callosum in mTBI compared with controls. MacDonald et al. (2011) also reported no differences in genu or splenium of corpus callosum between mTBI and controls. The controls in this study, however, were 21 controls with blast exposure but without head trauma. It may be, however, that exposure to blasts results in subconcussive injury to the brain as is reported in sports-related injuries (e.g., Cubon and Putukian 2011; McAllister et al. 2012). Accordingly, fewer findings between groups might be

accounted for by the fact that the control group is more similar to the mTBI group. Levin et al. (2010) also did not find differences for the corpus callosum between TBI patient and controls on FA or ADC measures, but here mTBI cases were not separated from moderate TBI and the controls were 15 veterans, 7 with extracranial injury.

Again, the issue of using a military population as a control group needs more careful consideration as many of these individuals may have sustained blast injuries where they did not experience loss of consciousness but which may, nevertheless, have resulted in subtle alterations to the brain (and thus use of such controls might result in a decrease in the sensitivity of the imaging methods).

Davenport and coworkers (2011) selected veterans with and without blast exposure in their study where they investigated 25 veterans returning from Operation Enduring Freedom and Operation Iraqi Freedom who had been exposed to blasts and subsequently developed symptoms indicative of mTBI, and they included a comparison group comprised of 33 veterans who had not experienced either blast exposure or head injury during their tour of duty. These investigators reported diffuse and global patterns of reduced FA in white matter in the blast exposure group compared to the group not exposed to blast. Furthermore, those who experienced more than one blast related mTBI showed a larger number of reduced FA voxels in the brain. Interestingly, and surprisingly, 58 % of the no blast group had experienced a previous mTBI as civilians, prior to entering the service. This group did show differences, however, compared with the blast exposure group with symptoms. Here the issue may not be exposure per se, but the differentiation between those with and without symptoms being more important in differentiating between groups. Yurgelun-Todd et al. (2011) also investigated a sample of 15 veterans with mild, moderate, and severe TBI and compared them with 10 civilians and 6 veterans. They reported decreased FA in left cingulum and genu of the corpus callosum. The TBI group showed greater impulsivity. In addition, both total and right cingulum FA reduction was correlated with increased suicidal ideation and increased impulsivity.

Other brain regions reported as abnormal in mTBI include the internal capsule (Arfanakis et al. 2002; Bazarian et al. 2007; Bazarian et al. 2011; Cubon and Putukian 2011; Grossman et al. 2011; Huisman et al. 2004; Inglese et al. 2005; Lipton et al. 2008; Lo et al. 2009; Mayer et al. 2010; Miles et al. 2008), the external capsule (Arfanakis et al. 2002; Bazarian et al. 2007; Bazarian et al. 2011), the centrum semiovale (Grossman et al. 2011; Holli et al. 2010b; Inglese et al. 2005; Miles et al. 2008), inferior fronto-occipital fasciculus (Cubon and Putukian 2011; Messe et al. 2011; Singh et al. 2010; Smits et al. 2011), inferior longitudinal fasciculus (Cubon and Putukian 2011; Messe et al. 2011; Niogi et al. 2008a; b; Singh et al. 2010), superior longitudinal fasciculus (Cubon and Putukian 2011; Geary et

al. 2010; Mayer et al. 2010; Matthews et al. 2011; Niogi et al. 2008a; b), uncinate fasciculus (Geary et al. 2010; Mayer et al. 2010; Niogi et al. 2008a; b; Singh et al. 2010), corona radiata (Little et al. 2010; Maruta et al. 2010; Matthews et al. 2011; Mayer et al. 2010; Niogi et al. 2008a; b), corticospinal tract (Henry et al. 2011; Messe et al. 2011; Singh et al. 2010), the cingulum bundle (MacDonald et al. 2011; Niogi et al. 2008a; b; Rutgers et al. 2008a), forceps minor and major (parts of corpus callosum; Messe et al. 2011), forceps major (Little et al. 2010; Messe et al. 2011), cerebral lobar white matter (Lipton et al. 2009; Rutgers et al. 2008a), mesencephalon (Hartikainen et al. 2010; Holli et al. 2010b), the sagittal stratum (Cubon and Putukian 2011; Geary et al. 2010;), frontal lobe, parietal lobe, temporal lobe, occipital lobe (Salmond et al. 2006), dorsolateral prefrontal cortex (Lipton et al. 2009; Zhang et al. 2010), cerebellar peduncles (MacDonald et al. 2011; Maruta et al. 2010), hippocampus (Singh et al. 2010), fornix (Singh et al. 2010), thalamus (Grossman et al. 2011), thalamic radiation (Cubon and Putukian 2011; Messe et al. 2011), orbitofrontal white matter (MacDonald et al. 2011), subcortical white matter (Lipton et al. 2008), fronto-temporo-occipital association fiber bundles (Rutgers et al. 2008a), acoustic radiation (Cubon and Putukian 2011), and deep cortical brain regions (Brandstack et al. 2011).

In summary, there is a great deal of variability in DTI studies of mTBI with respect to the time period of scanning at post-injury, as well as other factors including magnet strength, brain regions examined, and methods of analyses, as noted above. Further, differences in both anatomical location of observed brain alterations, as well as in the nature of these alterations (i.e., increased versus decreased FA), all contribute to the difficulty in interpreting this body of data. The findings are nonetheless striking in that they all suggest that radiological evidence supports small and subtle brain injuries in mTBI (see Table 3 and 4 for details). This evidence would not be possible if conventional MRI and CT scans alone were used to establish brain injury; it takes more advanced and sophisticated methods such as DTI that are sensitive to diffuse axonal injury to delineate these abnormalities. Most of the studies reviewed, however, were cross-sectional studies, with scans acquired at different times post-injury. The cross-sectional nature of most of these studies further highlights the need for longitudinal studies that follow a group of mTBI patients from early in the course of injury, i.e., the first 24 to 72 h, with repeat scans at several weeks, 1 month, 3 months, 6 months, and 1 year. Such data would provide important information regarding the reversal of damage as well as provide important information about what radiological features early in the course of injury predict good outcome versus poorer outcome with concomitant post-concussive symptoms. There is also a clear need for individualized imaging biomarkers (personalized

medicine approach), where a single subject could be compared to a normative group for accurate diagnosis, with MR biomarkers also providing information about prognosis in order to implement treatment plans. These and other issues are the topic of future directions of research, which follows.

Future directions of research

Summary Until quite recently no sufficiently robust radiological technologies existed, on either the acquisition or post-processing side, to detect small and subtle brain alterations that are extant in mTBI (see also Irimia et al. 2011). Today, there are advanced image acquisition sequences available to identify and to quantify small regions of extra- and intra-cortical bleeding (i.e., SWI), as well as diffuse axonal injuries (i.e., DTI). These advanced imaging tools are particularly important for investigating brain pathology in mTBI, where conventional MRI and CT imaging have failed to provide radiological evidence of brain injuries. Hence we are now able to detect and to localize brain alterations in mTBI, which is a critical first step, as it means that the diagnosis of mTBI can be based on radiological evidence, rather than symptoms alone. We are now also able to evaluate the extent of brain injuries. Moreover, we have the capability to observe changes post-injury, and longitudinally, to determine prognosis based on early and intermediate stages of brain injury. The latter may lead to the early identification of those individuals who are likely to recovery versus those who are more likely to experience prolonged postconcussive symptoms.

Thus a focus on longitudinal studies is needed to understand the dynamic nature of brain injury changes over time, as there is very little information about the different patterns of recovery versus non-recovery, and how these changes are reflected by a given imaging modality. For example, lesions may be visible using one imaging modality but not another, depending upon the pattern and stage of recovery. Consequently, the combined use of multimodal imaging, using semi-automated measures for analyses, and including a longitudinal focus would greatly further our understanding of mTBI. These and other future directions for research are elaborated upon below.

Multi-modal imaging and standardized protocols What is needed is the establishment of acquisition protocols that include multimodal imaging to characterize brain alterations in mTBI, as one imaging modality may not accurately capture brain alterations in mTBI. Both Duhaime and coworkers (2010) and Haacke and coworkers (2010) also discuss the need for more standardized image acquisition protocols for both research and for clinical purposes. It is important also to select optimal imaging acquisition

protocols that characterize the kind of injury that is present in mTBI. This approach has been used successfully in research in Alzheimer's disease, multiple sclerosis, and in psychiatric disorders such as schizophrenia (e.g., Leung et al. 2010; Zou et al. 2005). Following these principles is the Clinical Consortium on PTSD and TBI (INTRuST; see details: <http://intrust.spl.harvard.edu/pages/imaging> and see <http://intrust.sdsc.edu/>), a new initiative sponsored by the Department of Defense, which includes the acquisition of multimodal imaging, from six multi-national centers, using a high resolution, multi-shell DTI protocol as well a SWI and resting-state fMRI acquisition sequences, in addition to anatomical MRI sequences.

More specific measures of microstructural abnormalities With regard to diffusion imaging, future advances will likely include more specific parameters than FA and MD. For example, free-water is a measure that can be derived from conventional DTI to increase the specificity of observed microstructural abnormalities. The free-water model (Pasternak et al. 2009) assumes that there are two distinct compartments, one that is comprised of water molecules that are freely diffusing in extracellular space, and another that is comprised of the remaining molecules, which are restricted by the cellular tissue. As a result, it is possible to estimate explicitly the volume of extracellular water, a measure that is sensitive to vasogenic edema, and therefore consequently likely specific to neuroinflammation as well. In addition, DTI indices such as FA and MD that are corrected for free-water can be used to estimate the properties of the remaining compartment, i.e., cellular tissue. These corrected values are tissue specific (Pasternak et al. 2009, 2011c) and the correlation between corrected FA and corrected MD is reduced (Metzler-Baddeley et al. 2012), which makes it possible to use these two parameters, separately, to evaluate tissue degeneration versus extracellular swelling (vasogenic edema and inflammation) and cell swelling (cytotoxic edema). In the very near future, this free-water method may be utilized to investigate and to track brain injury over time and to establish possible biomarkers that predict outcome based on knowing the type (vasogenic versus cytotoxic), location, and extent of the edema.

Multi-shell diffusion weighted imaging (DWI) and kurtosis Multi-shell diffusion imaging is another new approach that may be useful in future imaging studies of mTBI. Here, DWI is acquired at multiple b-values in the same session and it provides additional microstructural information about the organization of white and gray matter. In these scan sequences, b-values, which are higher than the standard DTI acquisition (e.g., 3000 mm/s²), are used to obtain diffusion properties that vary with different gradient strengths. Diffusion Kurtosis Imaging (DKI), a technique

that uses multi-shell diffusion imaging, measures the non-Gaussian behavior of water diffusion, and has been shown to be sensitive to characterizing subtle changes in neuronal tissue (Jensen et al. 2005).

The kurtosis measure is likely more sensitive to biological processes such as “reactive astrogliosis” compared with the more general measures of FA and MD (Zhou et al. 2012). Specifically, kurtosis is a measure of the deviation from the diffusion tensor model (Gaussian diffusion), and thus complements the other measures that are derived from the tensor model. For example, the directionally specific kurtosis measure has been shown to be more sensitive to developmental changes in white and gray matter than FA or MD in rat brains (Cheung et al. 2009). Thus, in this way, kurtosis is more sensitive than traditional diffusion measures to changes in restricted diffusion and thereby has the potential to detect changes related to cells (i.e., astrocytes, neurons, and oligodendrocytes), as opposed to less specific measures of changes in the integrity of tissue (e.g., FA and MD).

Lack of homogeneity in brain trauma and the need for a personalized medicine approach While we have only touched the surface with respect to what more sensitive imaging technology can inform us about mTBI, another area of interest for future research is to go beyond group analyses, i.e., comparing a group of patients with mTBI with a group of normal controls. Group analyses, in fact, are somewhat misleading because they are predicated on finding larger differences between groups than within groups. Unfortunately, brain trauma is a very heterogeneous disorder, and group analyses, particularly those that use whole brain analyses (i.e., comparing average mTBI brain to average MRI brain), are not well suited for accurate analysis because they obscure the individual differences that characterize brain injuries. The heterogeneity of brain trauma is a topic that is also reviewed in this issue by Ms. Sara Rosenbaum and Dr. Michael Lipton.

To circumvent this heterogeneity, a personalized approach needs to be developed that can be incorporated into comparisons between mTBI and normal controls. Such an approach must go beyond a visual inspection of DTI maps such as FA and ADC, to a quantitative, objective approach. The latter may then be used as both radiological evidence of brain injury and to provide an individual profile of brain injury, as well as to form the basis for comparing normal controls and patients with mTBI.

One possible approach to this problem is to build normative atlases based on normal controls, along with robust statistics (e.g., z-score and receiver operator-ROC- curves) to detect “out-of-the-normal” imaging features (e.g., FA, MD, free-water, and kurtosis) at various locations in the brain. This information may then be used to detect brain regions that are abnormal in any of these measures, leading

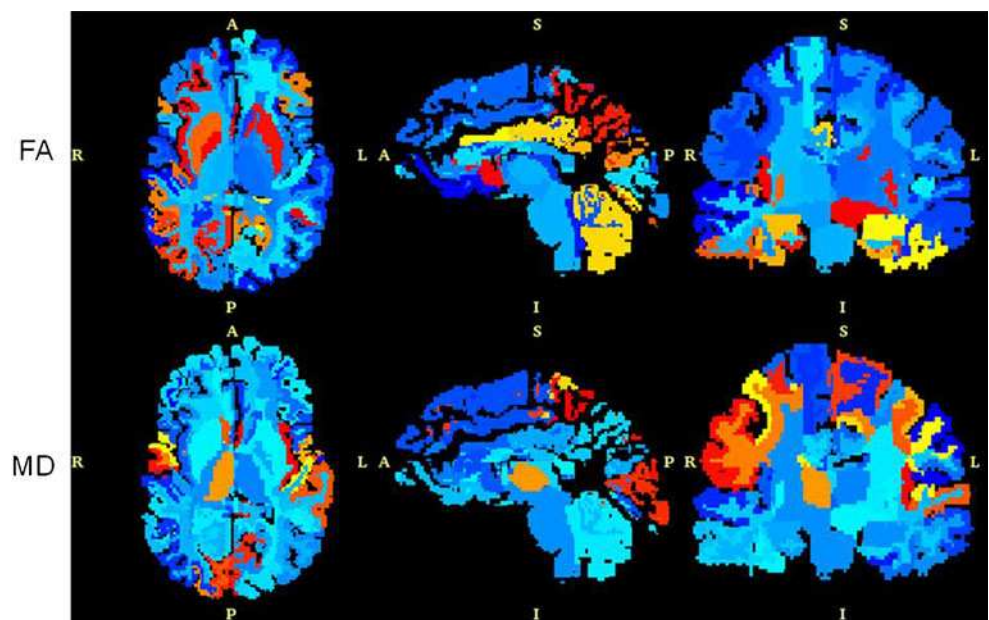
to a diagnosis of diffuse axonal injury (FA, MD), or edema (free water), or myelin damage (kurtosis), which would be specific for each individual case. In this way, one could not only establish a profile of injury for each individual patient, but one could also perform group analyses based on the severity and load of injuries (i.e., extent and number of brain regions involved), without relying on the assumption of a common pattern of injury location among all patients with TBI. The latter assumption is the current approach to group analyses including brain-wise, voxel-based analyses that create averages of brain regions, i.e., comparing a group of healthy and injured brains simultaneously, and demonstrating where in the brain for the entire group of mTBI (not each individual), differs from a group of healthy controls.

Figure 8 shows an example of one mTBI case compared to a normative atlas of FA and of MD, where z-score maps highlight brain regions that are more than three standard deviations from the normative brain atlas for FA (top of figure) and MD (bottom of figure). This is the kind of information that can be evaluated for individual cases, where normative atlases can be built to examine any number of measures (i.e., FA, MD, free-water, kurtosis, etc.).

A further example is shown in Figure 9, which depicts more than three standard deviations from the normative brain atlas for free-water, where subject specific maps were created to show abnormal free-water (edema) in two individuals. The individual on the top shows more localized abnormalities, while the individual on the bottom shows more small, scattered lesions that have an edematous or neuroinflammatory component (Pasternak et al. 2011b). These findings may vary across subjects, from localized pathologies to having a more diffuse and scattered pattern of pathology, as seen here, and, importantly, provide information about individual pathology that is not detected using conventional MR or CT. These variations pose extreme challenges to group comparisons, though group comparisons may still be made. They also highlight the heterogeneity that is characteristic of TBI, including mTBI.

Tractography Another promising method for probing alterations in white matter in mTBI is tractography. The word tractography refers to any method for estimating the trajectories of the fiber tracts (bundles) in the white matter. Many methods have been proposed for tractography, and the results will vary depending on the chosen method. For example, deterministic tractography involves directly following the main diffusion direction, whereas probabilistic methods estimate the likelihood of two regions being connected (Bjornemo et al. 2002; Behrens et al. 2003). The most common deterministic approach is streamline tractography (see Figure 10) (Basser et al. 2000; Conturo et al. 1999; Mori et al. 1999; Westin et al. 1999), which is closely related to an earlier method for visualization of

Fig. 8 Z-score maps for a patient with chronic mTBI subject. Z-score maps were created from a comparison to a normative atlas. The regions in red and yellow show statistically significant abnormal regions for either FA (top) or MD (bottom)



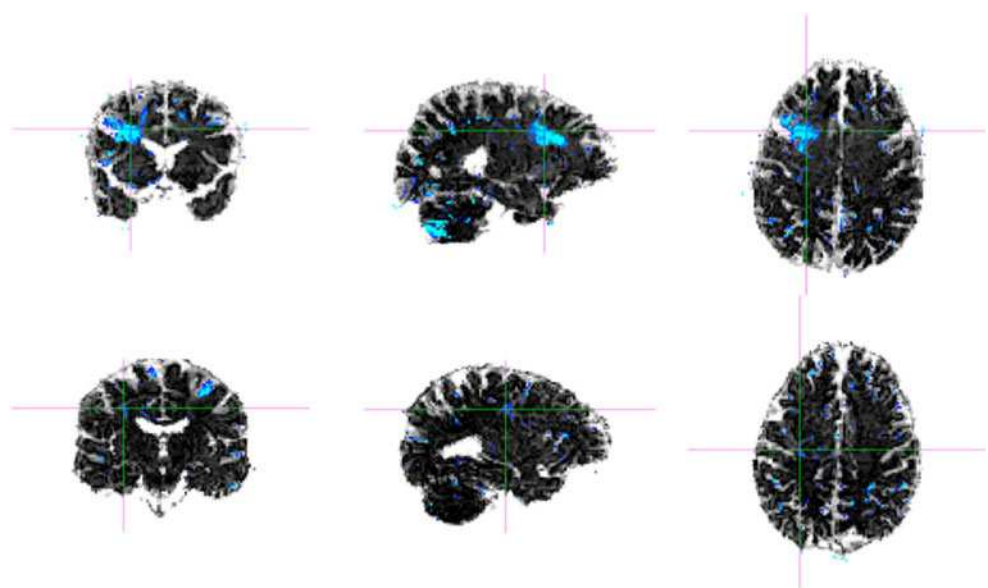
tensor fields known as hyperstreamlines (Delmarcelle and Hesselink 1992). For a clinical and technical overview of tractography in neurological disorders, the reader is referred to Ciccarelli et al. (2008). For reviews of tractography techniques including explanations of common tractography artifacts and a comparison of methods, the reader is referred to Jones (2008) and Lazar (2010).

DTI based streamline tractography has been used by several investigative groups to examine schizophrenia and other neurological and psychiatric disorders (see review in Kubicki et al. 2007; Shenton et al. 2010; Whitford et al. 2011). The main limitation of this method is that it does not allow one to trace fibers through complex fiber orientations such as branching and crossing fibers. This is because DTI based streamline

tractography assumes that there exists only one fiber bundle oriented coherently in a single direction at each voxel. Thus voxels where different fiber bundles cross cannot be characterized using this model. Consequently, several advanced models have been proposed in the literature, such as a high-order tensor model (Ozarian 2003; Barmpoutis et al. 2009), a spherical harmonics based nonparametric model (Anderson 2005) and a multi-tensor model (Tuch et al. 2002; Pasternak et al. 2008; Malcolm et al. 2010), among others.

Figure 10 shows a method of tracing the corpus callosum fiber bundle using single tensor DTI based tractography (on the top) and another method using two-tensor DTI based tractography (on the bottom) that illustrates the improved fiber tracking using the two-tensor method. This method makes it

Fig. 9 Z-score maps for two patients with chronic mTBI. Z-score maps were created from a comparison to a normative atlas. The images at the top show a patient with more localized regions of increased free-water while the images at the bottom show a patient with more diffuse regions of increased free-water (blue color indicates statistically significant areas of free-water compared with the normative atlas)



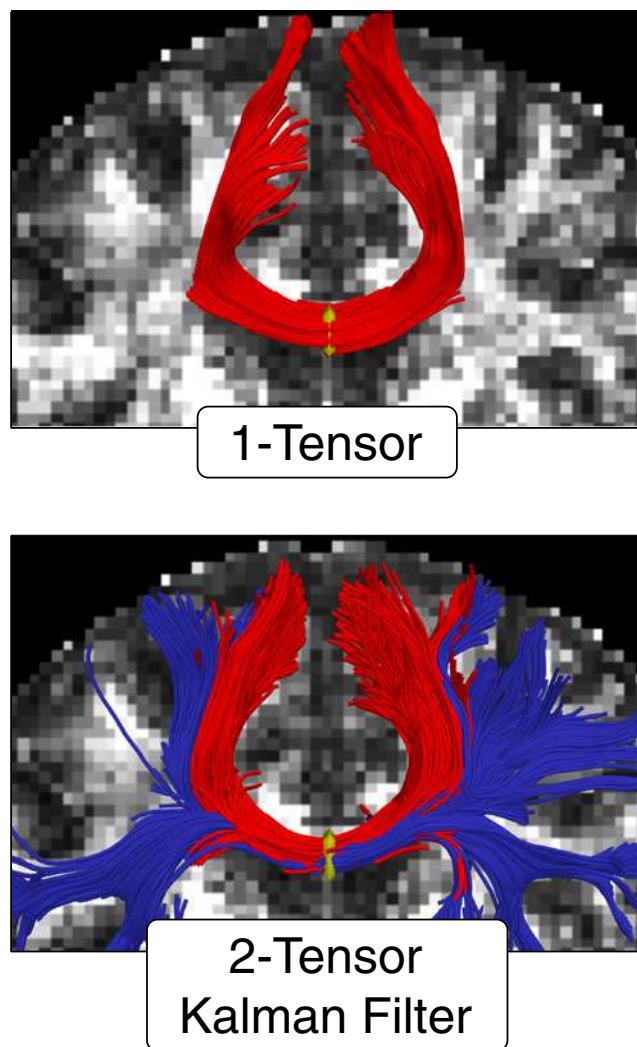


Fig. 10 Part of the corpus callosum fibers, where seeding was done in the mid-sagittal plane of the corpus callosum. Top figure shows the tracing using the standard single-tensor model and bottom figure shows tracts generated with the two-tensor model

possible now to trace multiple fiber tracts that traverse the brain and connect several different brain regions (e.g., Malcolm et al. 2010; Pasternak et al. 2008; Tournier et al. 2008).

Figure 11 shows all of the fibers in the brain that travel through the corpus callosum, in a single case, using two-tensor tractography (Malcolm et al. 2010). Of note here, the methodology for analysis can remain the same as before, i.e., trace a certain fiber bundle in healthy controls and compute the “typical” diffusion properties such as FA, MD, etc., then trace the same bundle in TBI subjects, and finally compare them to detect differences. What is different in terms of moving from a single tensor model to two and multi-tensor models is that it improves the ability to accurately identify crossing fiber tracts.

Mean-squared-displacement and return-to-origin probabilities
Other more sensitive markers of diffusion, such as mean-

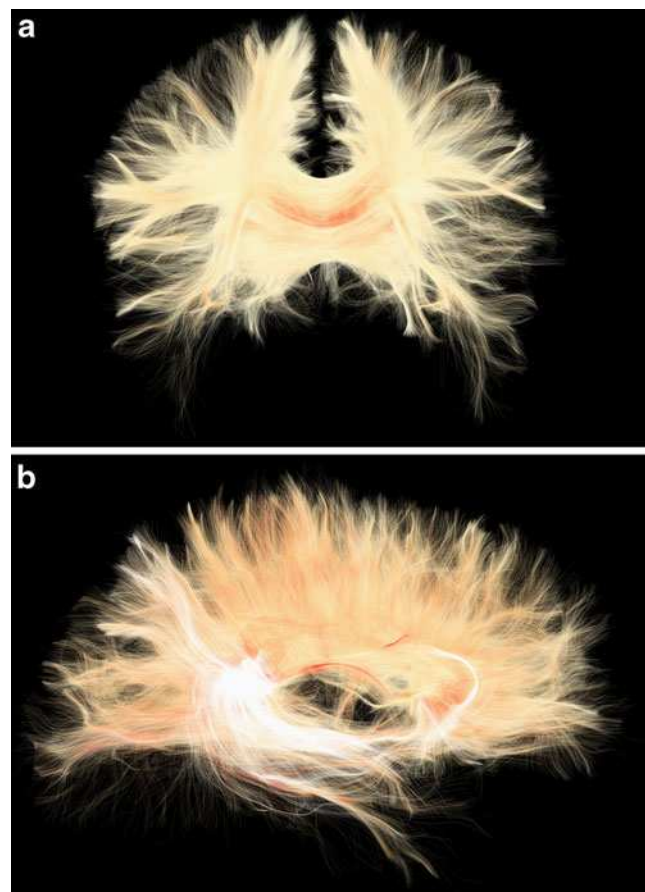


Fig. 11 Panel A shows a coronal view of white matter fiber tracts using a two-tensor model that go through the corpus callosum Panel B is a sagittal view of the corpus callosum shown in Panel A

squared-displacement and return-to-origin probabilities, can be obtained from more advanced diffusion scans such as multi-shell (multiple b-values) diffusion imaging (e.g., Mitra 1992; Yu-Chien et al. 2007). Although the scan time for these acquisitions is longer, they provide more subtle information beyond what can be obtained from DTI as it is currently used. For example, the return-to-origin probability measures the probability that a water molecule will return to its starting point in a given amount of time. This measure will be higher for white matter due to restriction on the motion of water as a result of the coherent layout of the white matter fibers. This probability will be lower in gray matter and lowest in CSF, where water is essentially free to move. Thus this measure captures subtle changes in the organization of white matter and has been shown to be more sensitive to de-myelination of white matter tracts compared to DTI measures such as FA (Assaf et al. 2005).

Another diffusion measure that is more sensitive to the restriction of water molecules due to cellular boundaries is mean-square-displacement (MSD)(e.g., Assaf et al. 2002). This measure is the mean distance travelled by a water molecule in a given diffusion time. Thus, MSD is highly

dependent on the cellular structure at any given location and hence could be related to the concentration of certain biochemicals. This could be validated by correlating this measure with the concentration of certain biochemicals such as phospholipids as obtained using an MRS scan. Hence, such measures can be very useful for detecting mTBI since the underlying tissue changes are often very subtle and may be missed by current DTI and DKI imaging protocols. In the near future, this approach could also be combined with other brain biomarkers that are based, for example, on blood-serum, the latter a powerful complementary tool that provides information about genes and proteins affected by brain injury (see section below; see also Mondello et al. 2011).

Identification and delineation of brain injury, and the development of biomarkers for possible treatment and treatment efficacy trials Advanced multi-modal neuroimaging techniques provide radiological evidence of mTBI that go beyond self-report and other more conventional measures, and may elucidate further the mechanisms and neurophysiology underlying mTBI. This is an important first step. More studies, however, are needed using multi-modal imaging techniques. Further, based on their enhanced sensitivity, many of these more advanced techniques should be used to monitor treatment efficacy, and serve as endpoints for new trials of medication aimed at neuroplasticity or neuroinflammation in TBI.

One example of multi-modal neuroimaging is to combine MRS and DTI in the same subjects. MRS and DTI are highly complimentary given the biochemical and structural focus of each modality. However, few studies have utilized the two together. In severe head injury, the combination of these two modalities has provided greater diagnostic accuracy for predicting outcome one year following injury (Tollard et al. 2009), than either MRS or DTI alone. It is likely that this same combination would also provide greater sensitivity to the more subtle changes in mTBI.

The co-localization of DTI and MRS may also provide greater insight into the underlying physiological changes that occur in mTBI. An early MRS study by Cecil and coworkers (Cecil et al. 1998) did not have DTI available at the time, but their findings of decreased N-acetyl aspartate (NAA; a putative neuronal and axonal marker; see review in this issue by Lin et al.) in the corpus callosum corroborates DTI findings of reduced FA, as previously described in the current review. As NAA is transported down axons and the loss of FA is attributed to axonal injury, a strong correlation between these two measures further strengthens the argument for axonal injury. NAA MRS measures can also help to validate DTI studies in regions of fiber crossings and confirm that decreased FA can be attributed to loss of fiber

integrity as opposed to technical issues. Studies of schizophrenia (e.g., Tang et al. 2007) and motor neuron disease (Nelles et al. 2008) have also utilized MRS and DTI in this complimentary fashion.

Additionally, by combining different biomarkers from blood with MR biomarkers, we may be able to delineate further specific types of brain injury involved in mTBI, as well as how they change over time, and what the evolution is of secondary damage or progression of types of injury over time. More specifically, serum biomarker profiles, as an outcome measure of brain damage, are being used to detect brain damage in ischemic stroke and TBI in animal studies (Liu et al. 2010). This approach may also be useful in humans where TBI-specific biomarkers could be developed, based on proteomics, to provide distinctive profiles of specific genes and proteins that are altered in mTBI (e.g., Mondello et al. 2011). The free-water model, described above, could also be combined with measures of proteins involved in neuroinflammation in the brain to develop combined TBI-specific biomarkers that may detect brain injuries and predict course, outcome, and treatment response better than using either a proteomic or MR biomarker alone.

Thus combining multimodal imaging modalities, and combining genomic and proteomic biomarkers with MR biomarkers, may lead to the discovery of more specific biomarkers of biochemical and physiological processes, which, in turn, may provide important new information about primary and secondary consequences of injury, and assist in determining what combination of biomarkers are indicative of axonal injury, inflammation, demyelination, apoptosis, neuroregeneration, etc., and what combination best predict outcome and treatment. It is also likely that the development of multiple biomarkers will lead to a new stratification of patients based on several biomarkers that, when combined, are more specific to important measures of brain injury than is any one biomarker alone. This new approach to developing multi-modal MR biomarkers and combining these with serum based proteomic biomarkers to investigate brain injuries in mTBI may go a long way to providing more accurate diagnosis of mTBI, as well as to providing important indicators of treatment response and outcome.

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